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7th Framework Programme*



**First Joint Call of Programme Owners from
EU Member States, countries associated to the 7th EU RTD Framework Programme
and the Mediterranean Partner Countries on Renewable Energies, Water Resources
and their connections for the Mediterranean Region (ERANETMED)**

GUIDELINES FOR APPLICANTS

Please note: This Guide is based on the rules and conditions contained in the legal documents applicable to the ERANETMED Joint Call in the "RENEWABLE ENERGIES", "WATER RESOURCES MANAGEMENT" and "ENERGY-WATER NEXUS" theme and the national funding schemes of the ERANETMED Funding Parties.



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0 PREFACE

This **Guide for Applicants** provides information about the procedure for the submission of project proposals under the **first ERANETMED Joint Call in the “Renewable Energies”, “Water Resources Management” and “Energy-Water Nexus” themes.**

Part 1 provides some general information for applicants by a frequently asked question section. In part 2 the actual online submission procedures via the online submission tool “pt-outline” and some step-by-step advice on how to proceed with your submission. However, the submission of a project via the online tool should be self-explanatory.

For additional information about the first Joint Call, please refer to the ERANETMED website, available at <http://www.eranetmed.eu>

If your questions are not answered in this guide, please contact the ERANETMED National Contact Point (NCP, see Annex) of your country of residence. The Common Call Management Secretariat at the German Aerospace Centre, European and International Cooperation (DLR, Germany) is available if your National Contact Point is not available:

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1. GENERAL INFORMATION FOR APPLICANTS

What is an ERA.NET scheme?

ERA.NET is an instrument that was introduced under the EU's Sixth Framework Programme for Research, Technological Development and Demonstration Activities (FP6). Its main purpose is to support transnational activities between EU Members States and Associated countries in order to coordinate national research policies.

What is the ERANETMED project?

ERANETMED (ERA.NET with the Mediterranean Partner Countries) is a European Union (EU) project aimed at promoting a unified European approach to collaborating with the Mediterranean in the field of science and technology research for innovation and sustainable development. ERANETMED forms part of the 7th Framework Programme suite of European research initiatives, and boasts as primary objective the creation of a "European Research Area Network" for the Mediterranean Partner Countries, similar to other "ERA-Nets" operating in relation to areas as diverse as Africa, (ERAfrica), Russia (ERA.Net RUS+), India (New INDIGO) and the Far East (KorA-Net).

Funded by the European Commission for an amount of almost 2.5 million Euros, ERANETMED unites eight EU countries (Cyprus, France, Germany, Greece, Italy, Malta, Spain, Portugal), as well as Turkey, with six Mediterranean Partner Countries (Algeria, Egypt, Jordan, Lebanon, Morocco, Tunisia) and the international organisation Centre International de Hautes Études Agronomiques Méditerranéennes (CIHEAM-IAMB) in a consortium built around a mutual recognition of the value of unifying efforts to strengthen intercontinental research collaboration and promotion.

What are the main objectives of ERANETMED?

ERANETMED has a range of activity clusters aiming:

- establishing a framework for communication and coordination of programme owners and managers related to S&T cooperation from Europe and Mediterranean Partner Countries (MPC)
- strengthening Euro-Mediterranean R&I Cooperation promoting joint activities
- developing joint funding schemes and procedures between Member States and Associated States of the European Union and Mediterranean Partner Countries (MPC)
- strengthen MPC capacities to enhance research and innovation and increase the impact of research on the socio-economic development of the region.



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Which funding agencies and countries are participating in the first ERANETMED Joint Call and what is the financial contribution to the call?

Institution	Themes	Contribution
CIHEAM, International	Water, Nexus	300.000 €
TUBITAK (Turkey)	Energy, Water, Nexus	500.000 €
DGRSDT, Algeria	Energy, Water	1.000.000 €
RPF, Cyprus	Energy, Water, Nexus	200.000 €
STDF, Egypt	Energy and Nexus	750.000 €
ASRT, Egypt	Water	750.000 €
ANR, France	Energy, Water, Nexus	2.000.000 €
CNRS - F, France	Energy, Water, Nexus	200.000 €
HCST, Jordan	Energy, Water	220.000 €
CNRS - L, Lebanon	Energy, Water, Nexus	200.000 €
MESRSFC, Morocco	Energy, Water, Nexus	1.000.000 €
FCT, Portugal	Energy, Water, Nexus	500.000 €
MESRST, Tunisia	Energy, Water, Nexus	600.000 €
BMBF, Germany	Energy	1.500.000 €
MCST, Malta	Energy, Water, Nexus	400.000 €
MINECO, Spain	Energy	500.000 €
MIUR, Italy	Energy, Water, Nexus	2.000.000 €
GRST, Greece	Energy, Water, Nexus	800.000 €
	Total	13.420.000€



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Which institutions are providing funding for the call?

The "Executive Committee of Funding Parties (ECFP)" comprises the following institutions:

- CIHEAM - Centre International de Hautes Etudes Agronomiques Méditerranéennes, Bari, International Organization
- TUBITAK - The Scientific and Technological Research Council, Turkey
- DGRSDT - Direction la Recherche Scientifique et du Développement Technologique, Algeria
- RPF - Research Promotion Foundation, Cyprus
- STDF – Science, Technology Development Fund, Egypt
- ASRT – Academy of Scientific Research and Technology, Egypt
- ANR - Agence Nationale de la Recherche, France
- CNRS-F - Conseil National de la Recherche Scientifique, France
- HCST - Higher Council Science and Technology, Jordan
- CNRS-L – Conseil National de la Recherche Scientifique, Lebanon
- MESRSFC – Ministère de l'Enseignement Supérieur, de la Recherche Scientifique et de la Formation des Cadres, Morocco
- FCT - Foundation for Science and Technology, Ministry of Education and Science, Portugal
- MESRST - Tunisian Ministry of Higher Education and Scientific Research, Tunisia
- BMBF - Federal Ministry of Education and Research, Germany
- MCST - Malta Council for Science and Technology, Malta
- MINECO - Ministry of Economy and Competiveness, Spain
- MIUR - Ministry of Education, Universities and Research. Italy
- GRST - General Secretariat for Research and Technology , Greece

The list of participating countries does not comprise all EU Member States, Associated countries, and the Mediterranean Partner Countries. Does that mean that the countries that are not listed are not eligible for participation and funding?

Partners from countries that are not listed may participate in joint research consortia provided that the project partner agrees to fund its total share on its own budget and expresses this intention in a respective letter. However, this partner is not eligible to receive any funding from ERANETMED.



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What are the fundamental principles of the first ERANETMED Joint Call in “Renewable Energies”, “Water Resources Management” and “Energy-Water-Nexus”?

The fundamental principles governing the evaluation of project proposals are:

- **Transparency.** The process for reaching funding decisions will be clearly described and available to any interested party.
- **Equality of treatment.** All proposals shall be treated alike, irrespective of where they originate or the identity of the proposers.
- **Ethical considerations.** Any proposal that contravenes fundamental ethical principles may be excluded from being evaluated and selected at any time.

What research topics are eligible for funding under the first ERANETMED Joint Call for “Renewable Energies and Energy Efficiency”, “Water Resources Management” and “Energy-Water-Nexus”?

Projects must address only one main Theme: Renewable Energy or Water or Water-Energy Nexus.

Each of the three themes must be addressed by focusing solely on one or more of the sub-themes described here after.

The active participation of key industrial partners and technology suppliers is encouraged to form a multi-sector, multi-disciplinary consortium able to achieve the full impact on the theme. Awareness and innovative capacity building approaches are encouraged.

- **“Renewable energies and energy efficiency (call identifier: JC-ENERGY-2014)”**

This theme has the overarching objective of capitalizing on local large availability of renewable sources of energy, by developing adapted packages of solutions for the sustainability of vulnerable communities, both in terms of supply and demand, in addition to promoting the well-integrated penetration of renewable energy solutions in the inhabited areas, while taking into account local cultural heritage, cost-effectiveness, environmentally friendly and innovative approach. Applicants should also take in consideration pressing challenges related to energy security, efficiency, supply and climate change.

a) Hybrid renewable energy system integration

The objective is to support development and demonstration activities in the area of the integration of renewable energy sources to the electric utility. Hybrid integration of those technologies should be adapted to provide cost effective solutions to the increasing energy demand in the Mediterranean area. Different configurations can be considered to increase the efficiency, power availability, flexibility, dispatch ability, energy storage, etc. Projects will have to demonstrate the potential in these respects and will have to prove the concepts at



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least at demonstration or laboratory scale. The project should enhance the shared technology development between EU and Mediterranean researchers. Efficient hybridisation of two or more renewable energy systems, CSP, PV, Wind, Marine, Biomass and Biofuel is expected to broaden substantially the deployment area of renewable energy technology.

Proposals with a clear plan for the commercial exploitation of the developed technology are preferred. Outcomes should also focus on reliability and increased system stabilisation and efficiency energy supply to minimise the effects of system failures.

b) Smart Micro-grids

Proposals should address demonstration of concepts for active micro-grid networks enabling the integration of increased numbers of small and medium-size distributed energy resources. Each successful project should test a specific concept at a single demonstration site under realistic conditions of inhabitants and users. The active participation of key partners from Mediterranean electricity utility and technology suppliers and authorities is highly encouraged to have impact on this topic. Applicants should also consider reducing the impact on the environment by relying on low-carbon energy resources (photovoltaic, wind, and concentrated solar power) that are either already in place or planned to be deployed in parallel with other initiatives.

c) Renewable energy and energy efficiency for smart and rural communities

The main objective is to increase and improve access to innovative, affordable and sustainable energy services for rural area by focusing on accessible renewable energy solutions as well as on energy efficiency measures. The development of closer and long-term links among the different Euro-Mediterranean stakeholders from research, industry and business sectors will foster technology and know-how sharing to solve bottlenecks of Mediterranean energy systems.

- **“Water resources management (call identifier JC-WATER-2014)”**

This theme must be addressed in the context of global change, particularly demographic, climate, new trends of water yields and availability. The problem related to water quality, water tariffs and economic aspects are also key aspects as well as implication with policy and governance.

a) Integrated water management from the catchment to coastal zone

The scope is Integrated Water Resources Management (IWRM) from catchment – basin to coastal zone, thus account for both inland and coastal zone water resources, the multiple use of waters and the interlinks with basin scale socio-economic activities, policy and governance. In the coastal zone, coastal surface and ground-water quality as well as salinity in estuaries and lagoons due to changes of river flows caused by irrigation, hydropower and water supply should be addressed. Applicant should also address catchment-basin scale land use changes in relation to water quality and quantity, reservoir management,



intense agricultural activities as well as urban and industrial development depleting water resources and contaminating water.

One important impact expected is a substantial contribution to policy and governance, ensuring the sustainable management of water resources at catchment to basin scale, also establishing a systematic process of developing, allocating and monitoring the use of water resources. Therefore, it is expected that different management bodies should start to work together in order to find common approaches and tools to enhance good governance.

b) Integrated water use efficiency

The main objective is the development of new research and possibly enhancing new technologies in water use efficiency in the whole chain, from supply to end-users, coping with water scarcity, climate, water yields and water quality. Research will have to address water saving for different uses from civil to agricultural and industrial while identifying best water management practices to improve efficiency, increase water productivity, promoting the use non-conventional water resources and identifying appropriate measures to face extreme events and conditions.

c) Water reuse in agriculture

The applicants should address the re-use of treated municipal waste water as well other low-quality water resources and its impact on future water availability. More specifically the objective is to foster innovative research on waste water treatment technology and re-use in agriculture accounting for public health, land management and environment. In addition, applicants should address most relevant constraints and barriers to the social acceptance, public awareness, socio-economic aspects including pricing and tariffs and the problems related to building good policies and governance.

d) Water desalination

The objective is to identify ways for developing adequate technologies or optimising existing technology for desalination accounting for cost-energy effective and environmentally friendly schemes. Social and political awareness and adequate governance should be addressed as a key for the successful and sustainable development desalination.

- **“ENERGY-WATER nexus (call identifier: JC-NEXUS-2014)”**

Applicants could also decide to address energy and water issues in the same project. The objective is to develop research and enhance new innovative approaches and technologies in order to maximise the energy efficiency and use of renewable energy accounting for the reduction of impact on natural water yields. Equally, the applicant should address ways to increase efficiency of water systems (including conventional and non-conventional water systems) through adequate energy saving and renewable energy technology development and application. Proposals should also explore and indicate ways to reduce impact on fossil energy sources and emissions through a better water and energy management. It is of paramount importance to take into account different socio-economic, cultural,



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geographical, climate and policy/governance framework conditions. Applications in rural and marginal areas are encouraged.

Actions addressed

Four types of collaborative activities – “actions” – can be funded by this call: Collaborative research, Innovation, Capacity Building and Mobility. These actions include the following targets and specific activities:

a. Collaborative research.

The collaborative research is a joint undertaking by a partnership of institutions (“consortium”) designed to produce new knowledge through scientific research, whereby each team within the partnership actively pursues specific task objectives with a view to pooling the results to contribute to the achievement of a set of common, well-defined project objectives. Collaborative research should take into account to develop an impact, demand and policy driven research, also accounting the inclusion of SMEs and enhancing innovation.

b. Innovation, including prototype development and demonstration actions

In particular, it is a joint undertaking by a partnership of institutions (“consortium”) designed to bridge the gap between the outcomes of research projects and commercialisation, by supporting activities related to the first application and further market uptake of innovative techniques, processes, products or services, and helping overcome barriers that could hamper their commercial success.

c. Capacity building

It is a joint undertaking by a partnership of institutions (“consortium”) designed to assist relevant organisations to improve their capacities and/or their enabling environment for research and innovation. Activities should target the strengthening of institutional capacities, rather than individual capacities. If combined with Mobility, it could target strengthening of individual capacity of young researchers through individual grants, bursaries and scholarships.

d. Mobility

Mobility is mainly based on supporting grants to young researchers from MPCs/AC to develop post-graduate studies linked with funded projects.

As a **basic condition**, all projects must be collaborative research projects. Therefore, it will be mandatory for each proposal to include at least action a) (Collaborative research). Applicants are encouraged to propose collaborative projects including at least another of the above actions (b,c,d) provided that the national funder covers such action.



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Cross-cutting issues

Applicants are encouraged to take into account for their research important cross-cutting issues, particularly: socio-economic, governance, ICT and gender. Please check the National Regulation whether the national funder covers the cross-cutting issues.

Participation rules

Applicants

Applicants must be eligible for funding according to the regulations of their respective national Funding Parties. They can represent research and higher education entities, companies, and other legal entities such as NGOs, once again subject to institutional restrictions set by individual Funding Parties.

Consortia

The project consortium must comprise project partners from at least 3 different countries whose Funding Agencies contribute to the 1st Joint Call, of which at least one from an EU Member State/Associated Country and one from a Mediterranean Partner Country. The Project Consortium designates a Project Coordinator which must belong to an entity legally established in one of the countries funding this Joint Call.

Duration

The duration of a project can range between 24 and 36 months.

Funding

The funding of an individual proposal will depend on the nature and duration of the proposed activities and must be justified in terms of the resources needed to achieve the objectives of the project. The funding requested should therefore be realistically adjusted to the actual needs of the proposal, taking into account synergies with any other funds available.

Do I need to have an agreement with my national funding body in order to submit a proposal within the ERANETMED Joint Call?

No. Any eligible institution from a country participating in the first ERANETMED Joint Call can submit a proposal directly to the Common Call Management Secretariat using the Call Management System pt(outline* (online submission only).

The participating Funding Parties have appointed ERANETMED National Contact Points (NCP) that will help institutions from the respective countries to submit applications. If you need special support and assistance for your project preparation, please contact your National Contact Point (a list of National Contact Points is provided in the Annex and also at the ERANETMED website at <http://www.eranetmed.eu>).



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What is the “juste retour” principle?

The “juste retour” principle means that national contributions are distributed only to selected research teams from that particular country.

Are the research proposals under ERANETMED Joint Call in the “Renewable Energies and Energy Efficiency”, “Water Resources Management” and “Energy-Water-Nexus” identical to FP7 proposals?

No. The ERANETMED Joint Call is a different programme that follows separate rules and regulations for application, submission and evaluation of research proposals.

How do I submit a project proposal under the first ERANETMED Joint Call?

Project proposals are submitted exclusively through the web-based Call Management System pt-outline. In order to start the application procedure, please go to

for **Renewable Energy and Energy Efficiency** proposals

https://secure.pt-dlr.de/ptoutline/ERANETMED_ENERGY

for **Water Resources Management** proposals

https://secure.pt-dlr.de/ptoutline/ERANETMED_WATER

for **Energy-Water Nexus** proposals

https://secure.pt-dlr.de/ptoutline/ERANETMED_NEXUS

Please do not forget to save your data every time you have worked on your proposal. All information will then be saved until you enter the system again with your log-in data and continue working on your proposal.

Note that proposals submitted by post, e-mail, fax or any other means will not be accepted and rejected without notice!

!!! Submission just before the deadline should be avoided. High Internet traffic during the last days before the submission deadline of the Call may make access difficult. Differences in time zone should also be taken into account in this regard.

How do I use the pt-outline tool?

First, the Project Coordinator has to gain access to the online tool at

Renewable Energies and Energy Efficiency:

https://secure.pt-dlr.de/ptoutline/ERANETMED_ENERGY



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or **Water Resources Management:**

https://secure.pt-dlr.de/ptoutline/ERANETMED_WATER

or **Energy-Water-Nexus**

https://secure.pt-dlr.de/ptoutline/ERANETMED_NEXUS

When accessing the submission system for the first time, the Project Coordinator will be asked to enter her/his e-mail address. In return s/he will receive by e-mail a user ID and a password. Her/his account will be activated after receiving an email containing the password.

The password grants all partners in the consortium access to the project proposal submission page, where it is possible to complete parts of the project proposal or to place or replace the proposal in part or in full.

The exact mode of writing the proposal is at the discretion of the project consortium, which may choose to share the workload, or not, in whatever way they wish. After final submission of the Proposal, the Proposal Coordinator will automatically receive by e-mail an acknowledgement of receipt with the Proposal's registration number. All Proposals must be finally submitted by the Project Coordinators before the end of the deadline. Delayed or incomplete proposals will be considered non-eligible!

In addition to the online submission procedure, should I submit a paper copy of the proposal?

No, there is no need to additionally send a paper version of the project proposal, unless a specific internal / national criterion of a Funding Party applies. In order to check the National Criteria, please check the Call text!

Is there a deadline for the submission of a project proposal?

Yes. The deadline for the submission of a research proposal is **02 February 2015, 16:00 UTC±0h** Note that access to the on-line submission system pt-outline will be closed at the deadline.

What are the national eligibility criteria?

The ERANETMED Joint Call in the "Renewable Energies", "Water Resources Management" and "Energy-Water-Nexus" comprises 18 different National Funding Parties with a joint call management system. To respect the necessary national funding rules and procedures, national eligibility criteria have been defined by the participating Funding Parties. Project partners are advised to check in advance what type of costs are eligible for them as well as the funding rates and limits of the Funding Parties in question. For that please check the National Regulations (see Annex 2) or contact your ERANETMED National Contact Point (see Annex 1).



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What kinds of applicants are eligible for participation and funding?

Concerning your institution's eligibility for funding, please check National Regulations (see Annex 2) or contact your ERANETMED National Contact Point (see Annex 1).

Can I be an evaluator and a partner of a project proposal at the same time?

No. Evaluators are selected by the Common Call Management Call Secretariat from the EVAL-INCO database following a selection procedure. If you are coordinator or partner in a project proposal of the first ERANETMED joint call, you are not allowed to perform as an evaluator.

What is the role of the coordinator?

The coordinator of a project consortium has the overall responsibility for the project. He/she is the contact person to the Common Call Management Secretariat. The coordinator is responsible for submitting the proposal (Though all Partners may and should participate actively in writing it) and taking care for the overall success of the project. The coordinator will receive the results of the evaluation and is in charge of submitting the annual scientific report to the Common Call Management Secretariat.

What partners have to be included in the project proposal at the submission stage?

The whole consortium has to be identified and included at this submission stage of the proposal. It is very important to ensure that the minimum participation requirements are met (please refer to the Call text!) and that all core-consortium partners are eligible for funding under the rules of the first ERANETMED Joint Call. Please check the eligibility of your organization with your ERANETMED National Contact Point (see Annex 1) and check the National Regulations (see Annex 3).

How many partners should cooperate in a project proposal?

The project consortium must comprise project partners from at least three different countries whose Funding Agencies contribute to the 1st ERANETMED Joint Call, of which at least one from an EU Member State/Associated Country and one from a Mediterranean Partner Country.

These project partners must be eligible for funding according to the regulations of their respective Funding Parties, which are members of the Executive Committee of Funding Parties. Once the minimum number of partners required for the formation of an eligible project consortium is assembled, additional project partners, even if non-eligible for funding or from non-funding countries, may join any consortium submitting a proposal within the context of this Joint Call. These partners must carry their own costs and each of them has to present a letter of intent stipulating their adherence to the consortium at own



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expense (the provenance of such funding is immaterial, and may equally be provided by another consortium partner according to its national regulations; for the purposes of proposal submission and evaluation, such funding will still be considered as “self-financing”). This letter must be presented at the time of proposal submission.

Double Submission

A given proposal may only be submitted for one of the main Themes supported within the framework of ERANETMED call (i.e. either Renewable Energy or Water or Energy-Water nexus) and a given Consortium can be granted only one proposal for the all Themes.

Are there specific funding rules for companies?

Yes, specific funding rules may apply to companies. Please check the respective National Regulations (see Call text).

What happens if one of the project partners is found ineligible after the submission of a project proposal?

The coordinators of the proposals will be informed by the Common Call Management Secretariat about the rejection of the ineligible partner by e-mail and by regular mail without delay after the submission deadline. If the minimum eligibility criteria of consortium composition are fulfilled by the project consortium despite one or more partners being not eligible, the coordinator has to inform Common Call Management Secretariat within one week about his/her decision to continue (without this partner/ with this partner at their own expense (letter of intent to be handed in) or with new partners) and present a revised version of the proposal. If the coordinator is not eligible, the whole proposal will be discarded.

Should I provide a detailed financial plan when submitting a project proposal?

Yes. The project coordinator is expected to calculate the overall costs and the requested amounts per partner. During the on-line submission process, it is required to give a detailed description of the requested funds with respect to the allocation to different cost categories (labour costs, travel and subsistence, events, equipment, consumables, subcontracts, overheads, other costs).

How many applicants from a country are allowed to participate in a project?

There is no limit on the number of applicants from a country that can participate in a project, provided that the minimum requirements for the consortium are met.



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What are the evaluation criteria for a project proposal?

The following criteria will be at the base of the whole Scientific Evaluation process:

Criterion 1: - Scientific and/or technological excellence (Threshold 3/5)

- Quality, innovation and competitiveness of the transnational project.
- Sound concept, quality of objectives, progress beyond the state-of-the-art
- Quality and effectiveness of the scientific and technological methodology and associated work plan
- Relevance to the concept of the call: contribution to the objective of the call, innovation beyond research.

Criterion 2: Quality and efficiency of the implementation and the management (Threshold 3/5)

- Quality and efficiency of the management structure and procedures, its organization and coordination, including the management and sharing of data.
- Quality and relevant experience of the individual participants, including interdisciplinarity and integration potential within partnership.
- Quality of the consortium as a whole (including complementarity, balance between North and South) and added value of the transnational collaboration.
- Appropriate allocation and justification of the resources to be committed (budget, staff, equipment), project feasibility and work-plan

Criterion 3: Potential impact (Threshold 4/5)

- Contribution to the advancement of knowledge and innovation, impact on sustainable development, and impact on socio-economic growth of the Mediterranean countries.
- Capacity to uptake research results.
- Appropriateness of measures for the dissemination and/or exploitation of transnational projects results, and management of intellectual property.
- Contribution to capacity building, training and mobility.

Proposals recommended for funding will need to score above the threshold for each criterion and get a minimum aggregated whole score at least 10 out of 15 points. Half marks are permitted.



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What is the threshold for each criterion?

For the criteria 'Scientific and/or technological excellence' and 'Quality and efficiency of the implementation and the management' the passing threshold is 3 points out of 5. For the criterion 'Potential impact' the threshold is 4 out of 5.

Proposals recommended for funding will need to score above the threshold for each criterion and get a minimum aggregated whole score of at least 10 out of 15 points. Half marks are permitted.

What is the evaluation procedure for the ERANETMED Joint Call?

The selection of the proposals for funding is based on an international, independent peer-review procedure. A dedicated pool of evaluators, consisting of external independent experts, assesses anonymously the merits of the submitted proposals. Each proposal is supposed to be evaluated by three external evaluators. An Evaluation Panel, consisting of high level scientific experts, consolidates the results of the evaluation and makes recommendations to the Executive Committee of Funding Parties (ECFP). The final funding decision rests with the Executive Committee of Funding Parties (ECFP).

Where can I find more information about ERANETMED and the ERANETMED Joint Call?

Please visit the website <http://www.eranetmed.eu> for further information about ERANETMED and the Joint Call in the "Renewable Energies and Energy Efficiency", "Water Resources Management" and "Energy-Water-Nexus".



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2. How to use the Online Submission System

2.1. Registration for the Online Submission System

In order to submit a proposal the Project Coordinator has to gain access to the online submission system through the web site.

When accessing the submission system for the first time, the Project Coordinator will be asked to enter her/his e-mail address. In return s/he will receive by e-mail a user ID and a password. Her/his account will be activated after receiving an email containing the password.

2.2 Access to the Submission System

The password grants all partners in the consortium access to the project proposal submission page, where it is possible to complete parts of the project proposal or to place or replace the proposal in part or in full. The exact mode of writing the proposal is at the discretion of the project consortium, which may choose to share the workload, or not, in whatever way they wish.

Submission just before the deadline should be avoided. High Internet traffic during the last days before the submission deadline of the Call may make access difficult. Differences in time zone should also be taken into account in this regard.

2.3 Acknowledgement of receipt and registration number

After final submission of the Proposal, the Project Coordinator will automatically receive by email an acknowledgement of receipt with the Proposal's registration number.

2.4. Structure of the Proposal

Proposals must be submitted in Arial font, size 12, single spacing, in accordance with the following template:

SECTION A: GENERAL INFORMATION

A1. Proposal Details

- Call Identifier
- Title. Give the title of your project (less than 200 characters).
- Short Title or Acronym (max. 10 letters).
- Key-words: Supply key-words to specify your scientific subject.
- Intended starting date: (Not earlier than... Not later than....)
- Duration: In between 24 and 36 months.



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- Total cost: Estimated overall budget of the project with breakdown per partner, including costs not covered by ERANETMED such as 'in kind' payments/contributions and other costs, which should be specified.
- Requested funding: The total amount of funding requested from ERANETMED.
- Participation of any research team involved in this Proposal in any other Proposal submitted within the framework of this Call.

Summary (max. 500 words)

Summarise the main questions and/or approach and objectives; give a short description of the activities and expected results of the project. This summary must be manually input into the relevant on-line section.

A2. Background, Questions and Objectives (max. 1500 words)

- Give a detailed justification of the objectives of the project within the context of the state-of-the art of the scientific area related to the project:
- Present the research issues and, if applicable, also the main questions related to other actions addressed by the project (innovation, capacity, building, mobility).
- Precisely describe the scientific novelty of the project and, when applicable, innovation, capacity building and/or mobility objectives.
- Give the scientific basis of the project and related state-of-art and – where applicable - the basis for innovation and the need for capacity building and mobility.
- Highlight the interdisciplinary character of the project and explain how its added value is to be exploited. Explain how these disciplines, and the combination thereof, are best suited to address the interface of the societal challenges identified.
- Explain the scientific added value and contribution of European-Mediterranean research networks and related transnational collaboration on the theme addressed.
- Explain the relevance and importance of the research and – when applicable - innovation, capacity building and mobility proposed, in terms of shared knowledge, applications (new products, services, processes, social innovations) and/or in terms of economic and societal impact.
- If the proposal is part of a larger national or international project, explain its precise role and how it fits into this wider context.
- Explain the role and contribution of stakeholders in the project at all stages.



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A4. Project Description (max. 2500 words)

- Give an overall description of the project and justify the methodology chosen to reach the objectives.
- Give an overall description and the general approach and methodology chosen to achieve the project objectives. Highlight the particular advantages of the methodology chosen; specify the expected project results (in quantitative terms where appropriate).
- Explain where there might exist a potential for synergy between different tasks of the project and how this is going to be exploited.
- Give references of relevant scientific publications.

SECTION B: TEAM INFORMATION (max. 500 words per partner)

- Identify the participating teams and the institutions to which they belong.

For each team, the following information should be given:

- Team Details:
 - Give the total number of team members. The size of each team should be limited to those people actually needed for performing the tasks.
 - Describe the background and particular expertise of the team in relation to the tasks to be performed. Describe how the teams complement each other in the execution of the project.
 - If relevant, provide a maximum of five references of relevant, recent scientific publications or patents which best show the capability of the team to perform the work proposed. Indicate for each the name of the authors, the title of the article, the journal or other publication, the date and place of issue. If a publication exists on a website, give its address.
 - Describe the relevant instrumentation and infrastructure available in view of the tasks assigned to the team.
 - Describe the specific contribution of each project partner.
 - Describe prospects for establishing efficient and sustainable partnerships within the network, including transfer of know-how and experience.



SECTION C: PROJECT MANAGEMENT (max. 1000 words)

- Describe how the overall coordination and monitoring of the project will be implemented. Provide if possible a project organisational chart. Indicate the decision-making bodies and processes foreseen as part of the project execution (decision boards, coordination meetings).
- If appropriate, set up a Gantt chart or detailed diagram giving the time schedule of the tasks and mark their interrelations; add milestones where important goals will be reached and/or decisions on further approach will have to be made; indicate a critical path marking those events which directly influence the overall time schedule in case of delays.
- Explain how information flow and communication will be enhanced within the project (e.g. via the use of communication software, through consortium- and task meetings, by the temporary placement of project participants at other partner institutions). Provide detail of specific planned meetings and exchanges, and highlight factors likely to lend additional value to these, such as the involvement of young researchers.
- Risk management: Indicate where there are risks of not achieving the objectives and fallback positions, if applicable.

SECTION D: BREAKDOWN OF COSTS

- For each team, give the cost breakdown and a brief justification for all allowable costs. All costs should be given in Euros.

SECTION E: IMPACT OF PROJECT RESULTS (max. 1000 words)

- Describe the expected results of the project and their utilisation potential.
- Describe the expected impact of the project on the societal challenges addressed in the project as well as on cross-cutting issues.
- Describe the expected impact of the project on the scientific disciplines involved in the project.
- Describe the expected impact of the project results in terms of economic and societal needs of the Euro-Mediterranean Region.
- Sketch out a result exploitation plan which explains:
 - How the new knowledge generated through the project and other deliverables of the project will be exploited (apart from publications and other information-sharing activities, also including databases, problem solving concepts, computer codes, technical solutions, etc.);
 - The appropriateness of measures for the dissemination and/or exploitation of transnational projects results;



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- If relevant: how innovative results will be further exploited through an implementation plan for the project results;
- How intellectual property, including foreground knowledge, patents, copyrights, license agreements and any other arrangements will be managed.

SECTION F: ETHICS, GENDER, YOUNG RESEARCHERS (max. 500 words)

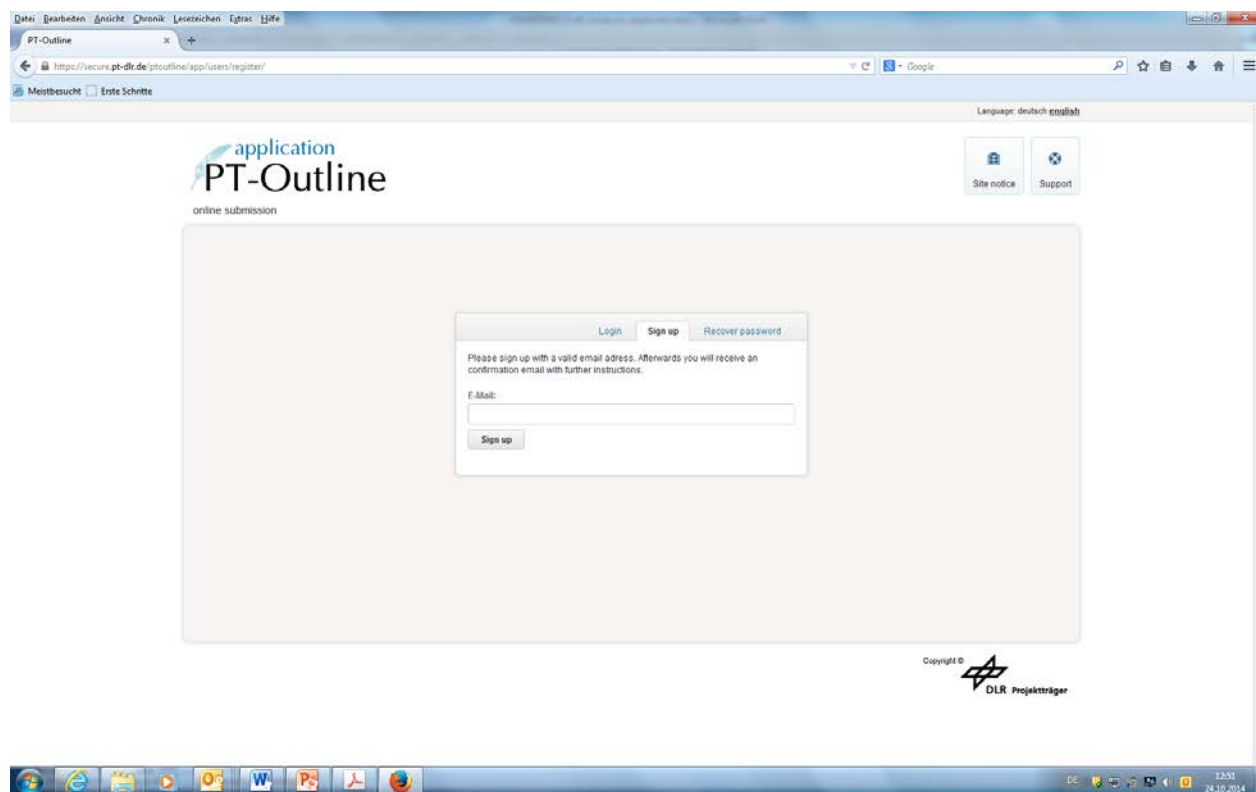
- If applicable: Clearly explain the way(s) in which the project intends to deal with ethical issues that may be associated with the project.
- If applicable: Explain how gender is taken into account in the project.
- If applicable: Explain how young researchers are supported through the project activities



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3. SCREENSHOTS OF THE ONLINE APPLICATION PROCEDURE



Step 1 is the **registration** process. Before you can log into the electronic proposal submission system, you need to register. Please click on "Sign up", enter your E-mail address and follow the instructions. You will receive a password via the given E-mail address and password you can log into the online submission system.



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The screenshot shows the PT-Outline application interface. At the top, there is a navigation bar with menu items: Datei, Bearbeiten, Ansicht, Chronik, Lesezeichen, Extras, Hilfe. The browser address bar shows the URL: https://secure.pt-dlr.de/ptoutline/app/rounds/index. The user is logged in as marion.korres@dlr.de. The application title is "application PT-Outline" with "online submission" below it. There are buttons for "Site notice" and "Support". A session timeout notice reads "session timeout: 16:05 o'clock (UTC+0h)". The main section is titled "My calls" and contains a table with one entry. The table has columns: TITLE, ACRONYM, START, and FINISH. The entry is: ERANETMED Renewable Energies, ERANETMED_ENERGY, 01-10-2014 07:00 UTC+0h, 02-02-2015 16:00 UTC+0h. Below the table, it says "Showing 1 to 1 of 1 entries". At the bottom right, there is a copyright notice for DLR Projektträger.

TITLE	ACRONYM	START	FINISH
ERANETMED Renewable Energies	ERANETMED_ENERGY	01-10-2014 07:00 UTC+0h	02-02-2015 16:00 UTC+0h

Step 2: You can see the open calls you are registered for. Please click the call to enter the electronic application forms



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The screenshot shows a web browser window displaying the PT-Outline application. The page title is "application PT-Outline" and it is for an "online submission". The user is logged in as "marion.korres@dlr.de". The main content area is titled "ERANETMED Energy" and includes a sidebar with a menu of form sections: "ERANETMED Energy", "General Information", "Project Coordinator", "Project Partner", "Proposal Details", "Breakdown of Costs", and "Binding submission". The main text area contains instructions for users, including a list of steps: 1. Download the "Call Text" and the "Guidelines for Applicants" for more information on the Call, on the National and Regional regulations, and how to fill and submit your proposal. 2. Please complete the electronic forms (General Information, Project Coordinator, Project Partners, Project Details) by inserting project-specific data into the designated fields. 3. Please download the template for Breakdown of Costs, complete it and then upload the file again (excel document).

Step 3: The first page gives you a general overview of the different parts of the online submission procedure (see the different menu items).



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The screenshot shows a web browser window displaying the 'General Information' section of the ERANETMED application form. The browser address bar shows the URL: <https://secure.pt-dlr.de/ptoutline/app/forms/edit/11/53/653>. The page title is 'PT-Outline'. The form is titled 'forms (SCHRUBBER)' and includes a sidebar with navigation links: 'ERANETMED Energy', 'General Information' (selected), 'Project Coordinator', 'Project Partner', 'Proposal Details', 'Breakdown of Costs', and 'Binding submission'. Below the sidebar, there are sections for 'Call details' and 'ERANETMED Joint Call Secretariat' contact information. The main form area contains the following sections:

- General Information**: A note that all fields marked with an asterisk are mandatory.
- Project Acronym***: A text input field with a maximum of 10 characters.
- Full Project Title***: A text input field for the full name of the project, less than 200 characters.
- Call Identifier and Subtheme***: A list of checkboxes for selecting a call identifier and subtheme:
 - JC-Energy-2014 - Hybrid Renewable Energy System Integration
 - JC-Energy-2014 - Smart Micro-grids
 - JC-Energy-2014 - Renewable Energy and Energy Efficiency for Smart and Rural Communities
- Alternative thematic area**: A text input field for an alternative thematic area.
- Type of activity**: A list of checkboxes for selecting the type of activity:
 - Collaborative Research Project
 - Collaborative Innovation Project
 - Capacity Building Project
 - Mobility
- Cross cutting Issues**: A list of checkboxes for selecting cross-cutting issues:
 - Socio-economics
 - Governance
 - ICT
 - Gender
- Keywords**: A note that applicants are encouraged to provide four keywords that best describe their project.
- Keyword 1**: A text input field for the first keyword.
- Keyword 2**: A text input field for the second keyword.

Step 4: Here, you are requested to give some general information about your research project such as the thematic focus, the type of activity and cross cutting issues. You are also requested to provide a summary of your project



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The screenshot shows a web browser window with the following content:

- Browser Address Bar:** https://secure-pt-dlr.de/ptoutline/app/forms/edit_multiform/11/55/653
- Page Header:** Overview | ERANETMED_ENERGY | SCHRUBBER | Project Coordinator | session timeout: 11:25 o'clock (UTC+0h)
- Left Sidebar (forms (SCHRUBBER)):**
 - ERANETMED Energy
 - General Information
 - Project Coordinator**
 - Project Partner
 - Breakdown of Costs
 - Binding submission
- Call details:**
 - Call acronym: ERANETMED_ENERGY
 - Call deadline: 02-02-2016 16:00 UTC±0h
 - ERANETMED Joint Call Secretariat: Ms Marion Korres, Tel: +49 228 3821 1421, Marion.Korres@dlr.de, http://www.eranetmed.eu/
 - Technical Contact: German Aerospace Center (DLR), Project Management Agency IKT-Service, Rosa-Luxemburg-Strasse 2, 10178 Berlin, ptoutline@dlr.de, +49(0)30-67055-767 (Germany IT Support)
 - show details
- Project Coordinator Form:**
 - All fields marked with * are mandatory fields.
 - Academic Title:
 - First name *
 - Family name *
 - Name of institution *
 - Organisation/ Division
 - Street, No. / Post Box *
 - Postal code
 - Town *
 - Country *
 - Phone *
 - Fax

Step 5: Here, please provide information about the "Project Coordinator".



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The screenshot displays the PT-Outline web application interface. The browser window shows the URL <https://ecase...1tyvZyRvo5mG>. The application header includes the title 'application PT-Outline' and navigation links for 'ptoutline - Overview', 'Site notice', and 'Support'. The main content area is titled 'Project Partner' and features a session timeout notice. A sidebar on the left lists navigation options, with 'Project Partner' selected. The main form area contains a list of project partners and a detailed form for 'Project Partner 1' with various input fields. A sidebar on the left provides call details and contact information for the ERANETMED Joint Call Secretariat.

Step 6: Here, please provide information about the "Project Partners". Please click on "Add a new project partner" to give information on each partner of the consortium. The item "Project Partners" follows the same procedure as for the "Project Coordinator". Note that adding additional partners requires saving the information for the first partner etc.



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Step 7: Please fill in the details of your proposal



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The screenshot shows a web browser window displaying the PT-Outline application. The browser address bar shows the URL: <https://secure-pt-dlr.de/ptoutline/app/forms/edit/11/52/653>. The application header includes the text "application PT-Outline" and "online submission". There are navigation buttons for "ptoutline - Overview", "Site notice", and "Support". The main content area is titled "Breakdown of Costs" and includes a sidebar with a list of forms: "ERANETMED Energy", "General Information", "Project Coordinator", "Project Partner", "Proposal Details", "Breakdown of Costs" (highlighted), and "Binding submission". The "Breakdown of Costs" form contains instructions: "All fields marked with * are mandatory fields.", "Please download the 'Breakdown of Costs' (excel calculation document).", "Your file should not exceed 10 Mb in size. It may take some minutes to complete the upload.", and "Any further files with annexes or additional information (company brochures, supporting documentation, reports, audio, video, multimedia etc.) will not be considered for evaluation." There is a "Durchsuchen..." button and a "Save" button. The footer of the application provides contact information for the ERANETMED Joint Call Secretariat and the Technical Contact at DLR.

Step 8: Please download the template "Breakdown of Costs" (excel table), complete the file with the financial information. After completion, it will automatically calculate the overall costs for each partner, which should be inserted into the online application tool. Please upload the filled in "Breakdown of Costs" into the system.



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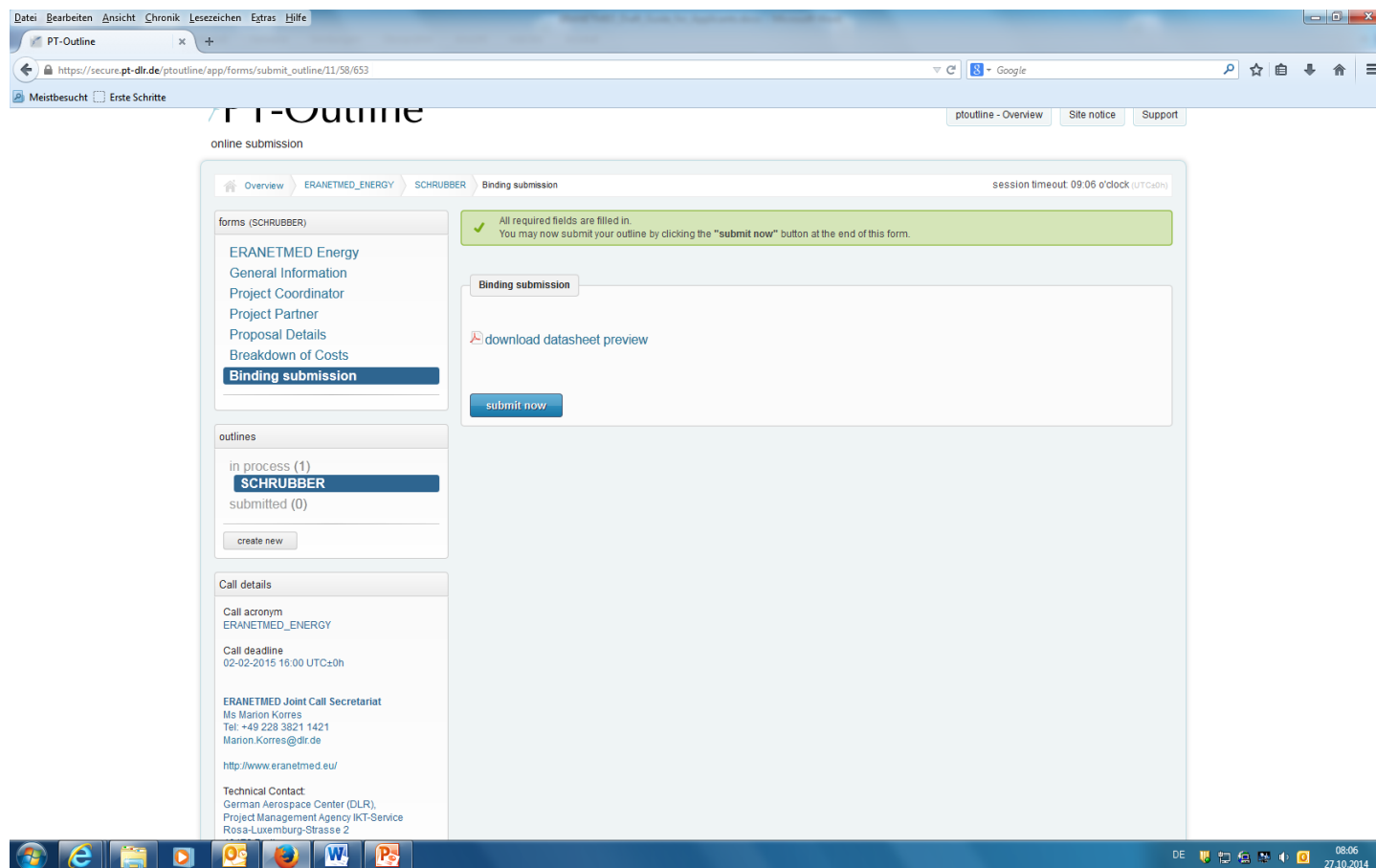
The screenshot shows a web browser window displaying the PT-Outline application. The browser's address bar shows the URL: https://secure.pt-dlr.de/ptoutline/app/forms/submit_outline/11/58/653. The application header includes the logo 'application PT-Outline' and navigation links for 'ptoutline - Overview', 'Site notice', and 'Support'. The user is logged in as 'marion.korres@dlr.de'. The main content area is titled 'online submission' and features a breadcrumb trail: 'Overview > ERANETMED_ENERGY > SCHRUBBER > Binding submission'. A 'session timeout: 16:41 o'clock (UTC+01)' message is visible in the top right. On the left, a sidebar lists 'forms (SCHRUBBER)' with categories: 'ERANETMED Energy', 'General Information 1 input hint', 'Project Coordinator 4 input hints', 'Project Partner 15 input hints', 'Proposal Details 6 input hints', 'Breakdown of Costs', and 'Binding submission' (highlighted). Below this is a 'Call details' section with information for 'ERANETMED Energy' and 'ERANETMED Joint Call Secretariat'. The main content area for 'Binding submission' contains a 'download datasheet preview' link and a prominent blue button labeled 'check outline for input issues'.

Step 9: Under the item 'Binding Submission', once you click on 'check outline for input issues' you get hints of missing information in the system.

You can generate a data sheet preview showing all the information you have inserted into the system



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Step 10: If everything is finally checked and filled, you can see the "Submit now" button. Please click on the 'Submit now' button for final submission of your research proposal. After submission, you will receive a confirmation e-mail about the successful submission of your proposal.



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ANNEX 1: Contact data of the ERANETMED National Contact Points

International Organisation	
<p>Maroun El Moujabber E-mail: elmoujabber@iamb.it</p> <p>Centre International de Hautes Etudes Agronomiques Méditerranéenne, Bari (CIHEAM)</p> <p>Themes: Water, Nexus</p>	
Algeria	
<p>(DGRSDT) Themes: Energy, Water</p>	
Cyprus	
<p>Ms Georgia Kleanthous E-mail: gkleanthous@research.org.cy</p> <p>Research Promotion Foundation (RPF)</p> <p>Themes: Energy, Water, Nexus</p>	
Egypt	
<p>Mr. Dr Amr Radwan E-mail: innov@sti.sci.eg Phone: +20227920126</p> <p>Academy of Scientific Research and Technology (ASRT)</p> <p>Themes: Water</p>	<p>Ms. Nevine Nabil E-mail: nevine.nabil@stdf.org.eg</p> <p>Ms. Pakinam Idris (located at MHESR) Email: eranetmed.msr@gmail.com</p> <p>Science, Technology Development Fund (STDF)</p> <p>Themes: Energy, Nexus</p>



France	
<p>Mr. Fabrice Dentressangle E-mail: fabrice.dentressangle@agencerecherche.fr</p> <p>Agence Nationale de la Recherche (ANR)</p> <p>Themes: Energy, Water, Nexus</p>	<p>Mr. Maurice Héral E-mail: maurice.heral@agencerecherche.fr</p> <p>Agence Nationale de la Recherche (ANR)</p> <p>Themes: Energy, Water, Nexus</p>
France	
<p>Mr. Etienne Ruellan E-mail: Etienne.ruellan@cnr.fr</p> <p>Conseil National de la Recherche Scientifique (CNRS-F)</p> <p>Themes: Energy, Water, Nexus</p>	
Germany	
<p>Ms. Marion Korres E-mail: marion.korres@dlr.de Phone: +49 (0)228 3821 1421</p> <p>Project Management Agency at the German Aerospace Centre (DLR)</p> <p>Theme: Energy</p>	
Greece	
<p>Ms. Paraskevi Afentaki E-mail: pafe@gsrt.gr</p> <p>General Secretariat of Research and Technology (GRST)</p> <p>Themes: Energy, Water, Nexus</p>	<p>Ms. Afroditi Patroni</p> <p>General Secretariat of Research and Technology, (GRST)</p> <p>Themes: Energy, Water, Nexus</p>



Italy	
<p>Mr. Aldo Covello E-mail: aldo.covello@miur.it Phone: +390697726465</p> <p>Ministry of Education, Universities and Research (MUIR)</p> <p>Themes: Energy, Water, Nexus</p>	<p>Ms. Chiara Gliozzi E-mail: chiara.gliozzi@miur.it Phone: +39 06 9772 7288</p> <p>Ministry of Education, Universities and Research (MUIR)</p> <p>Themes: Energy, Water, Nexus</p>
Jordan	
<p>Mr. Omar Amawi E-mail: o.amawi@hcst.gov.jo</p> <p>Higher Council Science and Technology (HCST)</p> <p>Themes: Energy, Water</p>	
Lebanon	
<p>Ms. Dr. Elise Noujeim E-mail: enjeim@cnrs.edu.lb</p> <p>Conseil National de la Recherche Scientifique (CNRS-L)</p> <p>Themes: Energy, Water, Nexus</p>	<p>Ms. Rula Atweh E-mail: rula.atweh@cnrs.edu.lb</p> <p>Conseil National de la Recherche Scientifique (CNRS-L)</p> <p>Themes: Energy, Water, Nexus</p>
Malta	
<p>Mr. Ian Gauci Borda E-mail: ian.a.gauci-borda@gov.mt</p> <p>The Malta Council for Science & Technology (MCST)</p> <p>Themes: Energy, Water, Nexus</p>	<p>Ms. Diana Spiteri E-mail: diana.a.spiteri@gov.mt</p> <p>The Malta Council for Science & Technology (MCST)</p> <p>Themes: Energy, Water, Nexus</p>



Morocco	
<p>Mr. Mouradi Abdelhak E-mail: abmouradi@gmail.com Phone: 05 37 21 76 49</p> <p>Ministry of Higher Education, Scientific Research and Executive Training (MESRSFC)</p> <p>Themes: Energy, Water, Nexus</p>	<p>Mr Ezzarfi Abdelouahid E-mail: a.ezzarfi@yahoo.fr Phone: 05 37 21 76 49</p> <p>Ministry of Higher Education, Scientific Research and Executive Training (MESRSFC)</p> <p>Themes: Energy, Water, Nexus</p>
Portugal	
<p>Mr. Ricardo Pereira E-mail: ricardo.pereira@fct.pt Phone: +351 21 391 15 96</p> <p>Foundation for Science and Technology (FCT), Ministry of Education and Science</p> <p>Themes: Energy, Water, Nexus</p>	
Spain	
<p>Dr. Luis Guasch Pereira E-mail: eranetmed@mineco.es</p> <p>Ministry of Economy and Competiveness (MINECO)</p> <p>Theme: Energy</p>	
Tunisia	
<p>Prof. Moez Jebara E-mail: moez.jebara@mes.mnu.tn</p> <p>Ministry of Higher Education Scientific Research and TIC TUNISIA</p> <p>Themes: Energy, Water, Nexus</p>	<p>Ms. Awatef Soltane E-mail: awatefsoltane@gmail.com</p> <p>Ministry of Higher Education Scientific Research and TIC TUNISIA</p> <p>Themes: Energy, Water, Nexus</p>



Turkey	
<p>Ms. Mina YEŞİLYURT E-mail: mina.yesilyurt@tubitak.gov.tr Phone: +90 312 468 5300 (ex.4571)</p> <p>The Scientific and Technological Research Council (TUBITAK)</p> <p>Themes: Energy, Water, Nexus</p>	



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Annex 2: National Rules of Funding Parties



Centre International de Hautes Etudes Agronomiques Méditerranéennes, (CIHEAM), Bari, International Organization

Annex 1 : Funding Parties national rules

1. Name of the Funding Party, address and country

CIHEAM-BARI
Via Ceglie, 9 – 70010 Valenzano, Bari – Italy
www.iamb.it

2. Participation criteria

Post graduates young researchers from Mediterranean Partner Countries and Associated Countries to EU Framework Programme (Algeria, Cyprus, Egypt, France, Germany, Greece, Italy, Jordan, Lebanon, Malta, Morocco, Portugal, Spain, Tunisia, Turkey) which are contributing countries in ERANETMED, are entitled to be included in a mobility plan foreseen in collaborative research proposals in themes “Water” and “Water-Energy nexus”.

3. Funding criteria

Up to eight mobility grants per project , of the duration of one year (9 months effective secondment) at 25.000 Euro each. Grants will be assigned to individuals (selected among young post graduate researchers attending the 1st year course at CIHEAM-Bari), whose name has been identified by the project co-ordinator in agreement with the partner(s) from the above mentioned countries participating in the successful project and CIHEAM-Bari, on the base of a clear research mobility module included in the research proposal(s). Two or more researchers might be seconded simultaneously in the frame of the same project.

Each grant will foresee and cover the following activities: mobility (including within project areas), research, training

Theme/s to be funded

Water and Water-energy nexus

4. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

Mobility, including training activities framed in the mobility plan foreseen for selected researchers.

5. List of eligible costs

Travel, accommodation and subsistence, medical insurance.

6. Funding Party Contact person



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Nicola Lamaddalena (Lamaddalena@iamb.it)

7. ERANETMED National Contact point

Maroun Elmoujabber (Elmoujabber@iamb.it)



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**ALGERIA, Direction la Recherche Scientifique et du Développement Technologique
(DGRSDT)**



CYPRUS, Research Promotion Foundation (RPF)

Funding Parties national rules

8. Name of the Funding Party, address and country

Research Promotion Foundation, P.O. Box 23422, 1683 Nicosia, Cyprus

9. Participation criteria

Indicate which type of organisations are eligible for funds and indicate special funding conditions.

The general terms and conditions regarding eligibility for participation and proposal submission, the categories of organisations and individuals and the roles of the participants are described in detail in Chapter 19 of the DESMI 2009-2010 Work Programme Document.

Beneficiaries

The Host Organisation (HO) of a project could be a Research / Academic Organisation (cat. A.1 and A.2) or a Public Benefit Organisation (cat. Γ.1 and Γ.2) located permanently in the areas under the control of the Republic of Cyprus (excluding the UK Sovereign Base Areas). Partner Organisations (PA) could be Research / Academic Organisations (cat. A.1 and A.2), Enterprises (cat. B.1, B.2, B.3 and B.4) and / or Public Benefit Organisations (cat. Γ.1 and Γ.2) located in Cyprus or abroad.

Consortium

The Consortium is composed of organisations and individuals that should be included in each proposal. For the present Call the following rules apply:

- It is compulsory to include at least one (1) PA located permanently in the areas under the control of the Republic of Cyprus, of the following categories: Enterprises (cat. B.1, B.2, B.3 and B.4) or Public Benefit Organisations (cat. Γ.1 and Γ.2).*
- Each project proposal may include up to four (4) Partner Organisations.*

Conditions for Participation

The general conditions for participation in project proposals are the following:

- Each individual is allowed to participate in two project proposals only under the same Call for Proposals, regardless of the role he/she undertakes in each proposal.*
- Each individual can participate in project proposals under one organisation only or as an Independent Researcher, regardless of his/her employment in more than one organisations.*
- The participation of organisations and individuals, which/whom the RPF's Board of Directors has decided to exclude from its Programmes, is forbidden.*
- The participation of Independent Researchers (IR) is forbidden.*



- *Key researchers should be included by name in the proposal to enable evaluation of their qualifications and experience. Researchers who have not been included by name at the proposal stage should be identified by scientific expertise.*

10. Funding criteria

Indicated maximum amount of contribution which can be given to an organisation in own country (in retained project) and - if any - indicate maximum contribution per single proposal

Budget of the Present Call

The total budget of the present Call is 200.000 Euro from the Research Promotion Foundation.

Funding per Project

The maximum funding per project amounts to 100.000 Euro from the Research Promotion Foundation.

Basic Aid Intensity

The Basic Aid Intensity that a project can receive is calculated for each participating organisation, according to Annex I and the provisions of Chapter 21 of the DESMI 2009-2010 Work Programme Document.

<i>Aid Intensity for Research and Development Activities</i>					
<i>A. BASIC AID INTENSITY</i>					
<i>According to the Type of Research Activities undertaken by each Participant</i>					
	<i>Type of Research Activities</i>	<i>Small Enterprise (B1)</i>	<i>Medium Enterprise (B2)</i>	<i>Large Enterprise (B3)</i>	<i>Research Organisation / Public Multi-beneficiary Organisation (A1 and Γ1)</i>
<i>A.1</i>	<i>Fundamental Research Activities</i>	<i>100%</i>			<i>100%¹</i>
<i>A.2</i>	<i>Industrial (Applied) Research Activities</i>	<i>70%</i>	<i>60%</i>	<i>50%</i>	
<i>A.3</i>	<i>Experimental Development Activities</i>	<i>45%</i>	<i>35%</i>	<i>25%</i>	
<i>B. ADDITIONAL AID INTENSITY</i>					

¹ This percentage is valid if the funding does not concern economic activities of the organisation in question (e.g. hiring out its infrastructures, providing services to enterprises, subcontracting research activities). Where the funding concerns economic activities, the organisation will be considered as an Enterprise for the purpose of calculating the percentage contribution. It is noted that, the Research Organisations as well as the Governmental Departments implementing both kinds of activities should keep separate accounts for each kind of activity.



<i>Added to the Basic Aid Intensity on the condition that at least one of the following special provisions is fulfilled by the proposed research project:</i>	<i>Enterprise (B1, B2, B3)</i>
<p><i>The project involves effective collaboration² between at least two enterprises which are independent of each other and the following conditions are fulfilled:</i></p> <ul style="list-style-type: none"> ▪ <i>no individual enterprise bears more than 70% of the eligible costs of the collaboration project,</i> ▪ <i>the project involves collaboration with at least an SME or is carried out in at least two different Member States.</i> 	<i>15%</i>
<p><i>The project involves effective collaboration between an enterprise and a public research organization and the following conditions are fulfilled:</i></p> <ul style="list-style-type: none"> ▪ <i>the research organisation bears at least 10% of the eligible project costs, and</i> ▪ <i>the research organisation has the right to publish the results of the research projects insofar as they stem from research implemented by that organisation.</i> 	
<p><i>In the case of Industrial (Applied) Research, the results of the project are widely disseminated through technical and scientific conferences or through publication in scientific or technical journals or in open access repositories (databases where raw research data can be accessed by anyone) or through free software or open sources software.</i></p>	

For Industrial Research projects or parts of projects, the maximum Aid Intensity for a beneficiary (cat. A.2, B.1, B.2, B.3, B4 and F.2) is up to 80%.

For the budget distribution the following rules apply:

- *All organizations participating in a project proposal are entitled for funding irrespective of their role.*
- *Expenses for project coordination and management should not exceed 5% of the total project budget.*
- *A Consortium Agreement must be submitted before signing the contract with RPF.*

11. Theme/s to be funded

*Indicate which theme or themes you intend to fund
All Themes and Sub-themes are eligible for funding.*

12. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

*Indicate the action/s which you do not intend to fund beside collaborative research
All Actions are eligible for funding besides Capacity Building.*

13. List of eligible costs

² For aiding intensity reckoning purposes, subcontracting does not qualify as "effective collaboration"



Indicate which type of costs (personnel, travel.....etc) are eligible for fund

Eligible Expenses

The eligible expenses (cf. DESMI 2009-2010 Work Programme Document) for the present Call are:

Personnel Costs: salaries for researchers and technicians. Personnel costs should conform to the usual practices of each organisation. Personnel of Research and Non-Profit Organisations, whose salaries are drawn directly or indirectly from the governmental budget, are not allowed to receive salaries even if they participate in a project under their personal status or other entity.

Research Instruments and Equipment Costs: costs for the purchase of instruments and equipment. Only depreciation costs corresponding to the duration of the project and the percentage of their usage for the purpose of the project will be deemed eligible.

Depreciation costs for existing equipment are not considered as eligible. This category involves two sub-categories:

- *“Durable Equipment” (Depreciation Period: 5 years).*
- *“Computer Hardware and Software” (Depreciation Period: 3 years)*

Costs for External Services (up to 10%): expenses for subcontracting costs including consultation fees and other related services.

Dissemination (at least 2%): expenses for dissemination of results to the scientific community and society (publication of articles in technical and scientific journals, organisation of meetings and seminars etc). At least one dissemination event should be held in Cyprus. It is noted that activities relating to product commercialization or the protection of intellectual property rights are not covered.

Travel: travel costs (maximum 5 days per trip) for the purposes of implementation and coordination of the research activity abroad.

Consumables: expenses for consumables that are necessary for project implementation. General office material, printing paper, ink, CDs etc are not included.

Overheads (up to 20%): general running expenses stemming from the implementation of the project (e.g. electricity, water, telephone, secretarial support, and accounting costs) and the maintenance of the durable equipment.

Specific Costs: eligible expenses that emerge directly from the project and are not included in the abovementioned categories.

14. Funding Party Contact person

Indicate the contact person for internal ERANETMED communication during the 1st call

Contact Persons:

Dr. Kalypso Sepou

Ms. Georgia Kleanthous

15. ERANETMED National Contact points

Indicate name and e-mail of the contact point/s to be contacted by national applicants for information during the 1st call.

National Contact Point:

Ms Georgia Kleanthous

gkleanthous@research.org.cy



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Date:



EGYPT, Academy of Scientific Research and Technology (ASRT)

Additional Guidelines for Egyptian Applicants

Name of the funding Party, address and country

Academy of Scientific Research and Technology (ASRT)
Address: 101 Kasr Al-Ainy Street, Cairo, Egypt.

Additional Terms of Participation for Egyptian partners:

- This call is open to Egyptian legal entities established and based in Egypt. The Egyptian partner could be: research institutes, academic, non-academic organizations including NGOs and innovation agencies, industry, with special attention to small-medium size enterprises (SMEs).

Type of actions: this call for proposals is open to the following types of projects:

- i) Collaborative research; ii) Capacity Building; iii) experimental development, demonstration and Innovation aspects including technology transfer. Mobility and private-public partnership (PPP) are encouraged.
- The Egyptian partners of a proposal must not be considered to infringe an intellectual property right characterizing a counterfeit within the meaning of intellectual property.

Minimum and/or maximum funding per project:

Egyptian applicant per project can request a minimum of 15,000€ and up to 100,000€. Egyptian applicants in joint consortia can receive up to €100,000 of funding per project.

Minimum and/or maximum project duration:

Proposed projects shall not exceed 36 months

Number of Submitted applications:

Each Egyptian applicant can participate in more than one proposal.

Themes to be funded:

The following topics of the call are open to Egyptian applicants: (for more details, check Scope of the call)

- Water Resources Management

Conditions of funding of companies and Private Sector

This call is also open for private sector including SMEs. Terms of funding companies are based on the local regulations of the Academy of Scientific Research and Technology, in Egypt where beneficiaries are required to either submit a Letter of Guarantee against the fund amount, or follow a post-activity milestone payment schedule.

Subcontracting rules

Beneficiaries may have the possibility to subcontract third parties outside the project for specific work. Third parties shall be located in one of ARIMNET partner countries. Local regulations of each Egyptian partner shall be respected.

Contractual obligations:

The conditions of execution and financing of the projects selected and funded by ASRT upon the completion of the selection process shall be defined in the grant award agreements, where the body selected for financing will sign an agreement with the Academy of Scientific Research and Technology.



Eligible costs

- a- Incentives
- b- Costs that are necessary for fulfilling the objectives of the project and include, but not restricted to, manufacturing of specimens & prototypes, IP protection and publication, acquiring access to specialized reference source databases or computer software, fees for use of facilities in other national institutions, etc.
- c- Materials, kits and consumables
- d- Equipment (shall be fully justified and must not exceed 25% of the total budget per Egyptian partner)
- e- Travel and subsistence costs. Daily subsistence costs are calculated according to the current governing rules of the Mission Directorate, Ministry of Higher Education, and depending on the visited country. Sometimes are limited by the host institution's internal regulations. The most economical means of transport consistent with effective pursuit of the project should be used. Upgrades to business class are to be done at one's own expense.
- f- Organisational costs of events and projects' meetings.

The funds will be transferred to the beneficiaries in two annual payments based on successful, submission and acceptance of the reports.

The budget of the following activities shouldn't exceed 15% of the Egyptian Applicant's requested funding: Activities that are supporting academic teaching programs, websites launch, design and development of original teaching tools.

ERANETMED National Contact Point

The contact point to be contacted by national applicants for information:

Dr. Amr Radwan, innov@sti.sci.eg +20227920126

Academy of Scientific Research and Technology. 101 Kasr Al-Ainy Street, 4th floor Egypt



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EGYPT, Science and Technology Development Fund (STDF)

ANNEX 1 - National rules of Funding Parties

1. Name of the Funding Party, address and country

Science and Technology Development Fund (STDF)
Address: 101 Kasr Al-Ainy Street, Cairo, Egypt.

2. Participation criteria

The applicant - Principal Investigator (PI) - must be an Egyptian and a PhD holder, affiliated to an Egyptian institution; these include universities and research institutes, non-governmental organizations (NGOs), and Joint Stock Egyptian companies (SAEs). Upon proposal acceptance, non-governmental beneficiaries are required to either submit a Letter of Guarantee against the fund amount, or follow a post-activity milestone payment schedule. The fund is allocated to both the host institution and the PI, administered by the host institution's financial department, and managed by the PI of the project. Project contracts are made between three parties; STDF, the legal representative of the host institution, and the PI of the project.

3. Funding criteria

Each project can receive up to 100,000 €

At any time, a contracted STDF project team member should only be participating in a maximum of 3 projects (or a maximum of 2 projects as a PI).

4. Theme/s to be funded

STDF intends to fund the following themes:

- Renewable energy and energy efficiency (call identifier: JC-ENERGY-2014)
- ENERGY-WATER nexus (call identifier: JC-NEXUS-2014)

5. List of eligible costs

- Incentives for the project team/Personnel cost:

Incentives are allowed for members of the project team, in accordance with their academic credentials and the percentage of time spent on performing project activities. These depend on the academic degree of the team member, such that they cannot exceed:

- o 10,000 Egyptian Pounds per month for a Full Professor
- o 8,000 Egyptian Pounds per month for an Associate Professor
- o 6,000 Egyptian Pounds per month for an Assistant Professor/Researcher
- o 4,800 Egyptian Pounds per month for a Master's degree holder
- o 3,200 Egyptian Pounds per month for a Bachelor degree holder
- o 3000 Egyptian Pounds per month for technicians

- Equipment, spare parts, expendable supplies and material:

Funds required for the purchase of equipment, spare parts, expendable supplies and material required to fulfil the objectives of the project are allowed.



- Services:

Services necessary for fulfilling the objectives of the project are allowed. These include manufacturing of specimens & prototypes, IP protection and publication, acquiring access to specialized reference source databases or computer software, fees for use of facilities in other national institutions, etc .

- Events:

In general all costs directly related to the preparation and the implementation and/or attendance of events like workshops, conferences, training courses etc. are eligible.

- Travel:

The most economical means of transport consistent with effective pursuit of the project should be used. Upgrades to business class are to be done at one's own expense.

- Daily subsistence (per diem) allowance:

Calculated according to the current governing rules of the Mission Directorate - Ministry of Higher Education- and depending on the visited country. Sometimes are limited by the host institution's internal regulations.

- Host institution:

Costs incurred by the host institution in order to facilitate performing project activities, support financial administration, manage the facilities, etc. (overheads). These are usually in the range of 10-20 % of the total project fund.

6. Special submission procedures:

In general, STDF necessitates that all proposals must be drafted using the exact formatting requirements for the specific call and then be uploaded to STDF website (www.stdf.org.eg), to which registration is required. The application must include a letter from the host institution's legal representative stating that the project idea was not funded or submitted to another funding agency (national or international), or declare otherwise, and that the institution approves the project. In the current case (ERANETMED), proposals will be drafted using the exact formatting requirements by ERANETMED, and proposals will be uploaded to the designate portal.

7. Funding Party Contact person

The contact person for internal ERANETMED communication during the 1st call:

Dr. Samer El Haw

Email: selhaw@stdf.org.eg

8. ERANETMED National Contact points

The contact point to be contacted by national applicants for information during the 1st call:

Ms. Nevine Nabil

Email: nevine.nabil@stdf.org.eg

Date: 10 Sept.,2014



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FRANCE, Agence nationale de la recherche (French National Research Agency) (ANR)

1. Name of the funding Party, address and country

Agence nationale de la recherche (French National Research Agency)

50 avenue Deaumesnil PARIS 75012 FRANCE

2. Participation Criteria

Applicants must include at least one French partner in the research organization category (university, EPST – Scientific or Technical Public Institution, EPIC – Industrial or Commercial Public Institution) and they can be associated to private French partners.

It is important to read carefully the call for proposals text, the present document in its entirety, and the regulations concerning the conditions of allocation of ANR funding (<http://www.agence-nationale-recherche.fr/RF>) before submitting a research project.

The French project coordinator is authorized to submit only one single research proposal to the ANR for all the 2015 edition ANR calls for proposals as coordinator.

The French part of the project proposal must not be judged similar to a project that is already financed by the ANR.

The French part of the project proposal must not be considered to infringe an intellectual property right characterizing a counterfeit within the meaning of intellectual property.

Type of research: this call for proposals is open to the following types of projects:

i) fundamental research; ii) industrial research; iii) experimental development

Composition of the consortium: the consortium must include at least one French partner in the research organization category (university, EPST – Scientific or Technical Public Institution, EPIC – Industrial or Commercial Public Institution) and it is recommended to be associated with a French private partner. ANR funding is limited to project partners residing in France, the associated international laboratories (LIA) of French research organizations and higher education and research institutions.

The consortium involving French partner must propose a new research project including a minimum of 2 partners from a minimum of 2 other countries from the Mediterranean and one from non EU, participating to the call which can receive new funding corresponding to new manpower, experimental, equipment and operating costs.

3. Funding criteria

ANR will participate to the call with 2M€ in cash.



For ERANETMED the present document in its entirety and the regulations concerning the conditions of allocation of ANR funding can be downloaded here: <http://www.agence-nationale-recherche.fr/RF>.

Minimum and/or maximum funding per project:

One project can require a minimum of 15,000€ and up to 200,000€

Minimum and/or maximum project duration:

ANR: Proposed projects may not exceed 36 months

Recommendations concerning the involvement of French personnel

- The French project coordinator should devote at least 30% of his/her research time to the project.
- The total (in person months) of the non-permanent personnel (postdocs, fixed-term contracts, temporary workers) receiving ANR funding should not exceed 30% of the total (in person months) of the staff (permanent and non-permanent personnel) of the French partners assigned to the project.
- The financing duration for each post-doctoral participant should not be less than 12 months.

4. Themes to be funded:

The 3 axes are open for French applicants: renewable energy , water and the nexus energy water .

5. Actions to be funded concerning scientific and technical culture, communication actions and capacity building

Actions relating to scientific and technical culture and communication are eligible for ANR funding inside the projects. They must be clearly linked to the project and have an ambitious target impact, specifying the specific audiences (e.g.: the media, youth, working populations, teaching professionals, etc.). It is recommended to associate scientific communication/mediation professionals in these actions for the conceptual design of the project (communication departments of research organizations and companies, scientific cultural operators, etc.). Training inside the project and exchange of PhD and post doc between the participants are recommended. The contribution of a project to the content of higher education courses can enhance its impact. Supporting the integration of current research themes in teaching programmes is a notable example. The projects funded by the ANR can integrate this type of initiative in their work programme. The proposed actions in favour of higher education must have a direct link with the content of the project. The actions can be diverse (construction of websites, design and development of original teaching tools based on research material, teaching conference cycles). The budget devoted to these **tasks should not exceed 10%** of the requested funding. These actions must form the subject of a clearly identified project task. They shall be evaluated as a factor in the overall impact of the project.

6. List of eligible costs



The funds allocated by the ANR to each French partner will be provided as a non-reimbursable grant in accordance with the provisions of the "Regulations relative to conditions of allocating ANR funds", which can be consulted on the ANR website.

Subcontracting rules

Beneficiaries may have the possibility to subcontract with third parties outside the project for specific work excluding fundamental research, industrial research, experimental development and technical feasibility study prior to industrial research and experimental development. The cost of these benefits is given on an individual from operating expenses and must be less than or equal to 50% of total costs used in the base of the Help the Beneficiary, unless waived by the General management team of the ANR reasoned request.

Conditions of funding of companies

The European Community Framework of State aids to companies places a number of conditions on the allocation of ANR funds to companies. If these conditions are not fulfilled by a company participating in a selected project, the ANR will not allocate funding to that company. General rules for funding companies are available on ANR website.

Regulatory and contractual obligations

The conditions of execution and financing of the research projects selected and funded by ANR on completion of the selection process shall be defined in the **grant award agreements** comprising general conditions available on the ANR website, and particular conditions. The particular conditions of the grant award agreements shall be signed between the ANR and each of the research project partners.

Consortium agreement

Under the supervision of the project coordinator, the partners must conclude an agreement specifying in particular:

- the sharing of the intellectual property rights of the project results;
- the conditions of publication / dissemination of the results;
- the technology transfer and the exploitation of the project results.

The French project partners will send a copy of this agreement to the ANR. A Consortium Agreement will need to be in place and reported to ANR before any payment will be made.

These agreements shall also enable the existence of any indirect financial support entering into the calculation of the maximum level of funding authorized under the European Community Framework for State aid for research, development and innovation (referred to hereinafter as the "EU Community Framework") to be determined.

It will be assumed that there is no indirect support if at least one of the following conditions is satisfied:

- the participating companies bear the totality of the research project costs;



- the results that do not give rise to intellectual property rights can be widely disseminated, and the research organization holds all the intellectual property rights, if any, resulting from its RDI activity;
- the research organization receives from the participating companies payment equivalent to the market price for the intellectual property rights resulting from the activities it carried out in the project and which are transferred to the participating companies. Any contribution of the participating companies to the research organization's expenses must be deducted from the said payment.

Scientific follow-up of the projects

The scientific aspects of the funded projects shall be monitored by the ANR, in addition to the call for proposals follow-up activities (annual activity report, review meetings, collection of project impact information), during project execution and until one year after their completion. The intermediate progress reports provided under the call for proposals substitute for the reports usually requested by the ANR. The specific scientific follow-up by the ANR comprises:

- supply of up-to-date summaries of the project objectives, work and results, intended for the ANR publications on all media,
- participation in the seminars organized by the ANR (one or two participations).

The project proposals shall include the corresponding work load in their work programme.

Moral responsibility

The funding of a project by the ANR does not relieve the French project partners of their obligations concerning the regulations and code of ethics and professional conduct applicable to their area of activity. The French partners undertake to keep the ANR informed of any change likely to modify the content, the partnership or the schedule of project performance between the time of project submission and publication of the list of selected projects. All the French partners undertake to follow the good research practices described in the code of ethics of the ANR project players available on the ANR website, equally well during the preparation of their submitted research project proposal as in its implementation if it is selected and funded by the ANR.

Performance of ad-hoc reviews for the ANR

The scientific and technical leader of each French partner of the submitted project proposals may be invited by the ANR to perform ad-hoc reviews in the context of other calls for proposals and/or programmes. They undertake to examine such requests with diligence.

Documents relative to the submission of project proposals

- **The guide for establishing the budgets** of the project proposals submitted to the ANR call for proposals is available on the "Frequently Asked Question" page (<http://www.agence-nationale-recherche.fr/FAQ>)
- **The code of ethics of the ANR project players** describes the good practices in terms of ethics and professional conduct to be applied by all the players involved in the research projects submitted to and



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financed by the ANR to guarantee the end-purposes of the work, the respect of partners, people, animals, the environment and the studied objects: <http://www.agence-nationale-recherche.fr/CharteDeontologieSoumission>.

Documents relative to project funding

The following documents are available on the page dedicated to the "financial regulations" (<http://www.agence-nationale-recherche.fr/RF>):

- the regulations pertaining to the conditions of allocation of ANR funding;
- the general conditions of grant award agreements;
- a model of the particular conditions of the grant award agreements

7.8 Funding Party Contact and ERANETMED National Contact points:

- Fabrice Dentressangle, Scientific Officer, Tel +33 1 , fabrice.dentressangle@agencerecherche.fr

- Maurice Héral, Programme Director, Tel: +33 1 78098033, maurice.herat@agencerecherche.fr



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France, Conseil National de la Recherche Scientifique (CNRS-F)



GERMANY, German Aerospace Centre, Project Management Agency (DLR)

National rules of Funding Parties

1. Name of the Funding Party, address and country

Germany

German Aerospace Center, Project Management Agency (DLR)
Department of European and International Cooperation
Heinrich-Konen-Str. 1
53227 Bonn

2. Participation criteria

Research proposals may be submitted by German research institutions and universities and companies based in Germany with preference on small and medium-sized enterprises (SMEs)

3. Funding criteria

German applicants in joint research consortia can receive up to a maximum €150.000 of funding per project for all German partners involved. The maximum grant of €150.000 covers direct and indirect costs.

4. Theme/s to be funded

Grants will only be issued in the area of **Renewable Energies and Energy Efficiency** (Call Identifier: JC-ENERGY-2014) and following the sub-themes:

- a) Hybrid renewable energy system integration
- b) Smart Micro-grids
- c) Renewable energy and energy efficiency for smart and rural communities

5. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

Collaborative research projects and Innovation projects

6. List of eligible costs

Funding Conditions:

As an absolute rule, only costs arising AFTER THE START of a project are eligible.

As a **consequence**, projects with German participation must not have started before the grant will be issued.

Direct Costs:

Costs of personnel

Funding towards the costs of scientific staff, technicians and/or student assistants, required in connection with the proposed project can be provided.



Under no condition are expenses for permanent staff eligible.

Machinery and equipment costs

Any consumables necessary for the implementation of the project can be provided.

Purchased services

The general rule is that applicants should implement the project and should have the necessary resources to that end. However, it may be accepted that as an exception certain parts that are not "core" parts of the project are subcontracted. Examples for minor services to be subcontracted are: rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc. It is not possible to subcontract foreign experts.

Events

Funding can be provided for the organization of workshops in Germany. With regard to the organization of workshops in Germany, grants can be provided towards expenses such as the accommodation of the foreign guests, transfers within Germany, personnel costs for the preparation of the workshop (no permanent staff), and rental of the venue and technical equipment, meals/beverages. For food and beverages per lunch/dinner a maximum amount of 30 € per person and meal prevails.

Travel and subsistence

Travel costs (economy class) of the German project partners to and from further the project partners or project related events are eligible.

German Experts abroad

The subsistence allowance/ per diem (full day) in 82-107 € including accommodation costs and meals are to be applied for as a lump sum given in the table link.

The day of arrival and the day of departure are treated each as a half day.

German Experts in Germany

Travel Costs of German Experts within Germany will be reimbursed in exceptional cases and according to the federal regulation concerning travel expenses (Bundesreisekostengesetz).

Foreign Guests in Germany

Experts from Mediterranean partners on a project related research visit to Germany may receive a subsistence allowance per diem of 107 € under the condition, that there are no other funds for foreigners travelling to Germany available. This condition has to be confirmed in writing by the Mediterranean project partner.

The subsistence allowance includes accommodation costs, meals and all other expenses. In case that the expert takes part in a funded workshop (see above "events"), costs for his food and beverages are not eligible twice. Thus, either the meals during the workshop or the subsistence allowance can be applied for.

The day of arrival and the day of departure are treated each as a half day.

Eligible Costs as indirect costs

Lump-sum for projects (20%) for the benefit of universities and university hospitals.

Grants for commercial companies are calculated on the basis of the total project-related costs eligible for funding, up to 50% (60% for SMEs) of which can, as a rule, be covered by government grants, depending on how near the project is to application. The BMBF's policy requires an appropriate own contribution towards the eligible costs incurred - as a rule at least 50% (40% for SMEs).



Grants for universities, research and science institutions and similar establishments are calculated on the basis of the eligible project-related expenditure (grants for Helmholtz centres and the Fraunhofer Gesellschaft (FhG) will be calculated on the basis of the project-related costs eligible for funding), up to 100% of which can be covered in individual cases.

7. Funding Party Contact person

N.N.

8. ERANETMED National Contact points

a) Institution:

German Aerospace Center, Project Management Agency
Department of European and International Cooperation (DLR)
Heinrich-Konen-Str. 1
53227 Bonn

b) Contact persons

for scientific issues:

Marion Korres
German Aerospace Center, Project Management Agency (DLR)
Department of European and International Cooperation
Heinrich-Konen-Str. 1
53227 Bonn
Phone: +49 228 3821 1421
E-mail: marion.korres@dlr.de

for administrative issues:

Petra Schumann (temp.)
German Aerospace Center, Project Management Agency (DLR)
Department of European and International Cooperation
Heinrich-Konen-Str. 1
53227 Bonn
Phone: +49 228 3821 1924
E-mail: petra.schumann@dlr.de

Date: 10.09.2014



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GREECE, General Secretariat of Research and Technology (GSRT)

Eligibility Criteria and funding:

- 1) **Type/nature of the participants:** All legal entities (public and private sector),
- 2) **Legal/administrative/financial conditions:**

2.1. Eligible enterprises are those having been operating for, at least, two (2) economic years and have published, at least, two (2) balance-sheets. For the "Spin-off" Enterprises eligible are considered those having been operating for, at least,

(1)The [previous] economic year and have published one balance-sheet.

2.2 For private Companies and SMEs the **Public contribution** may cover maximum **up to** 70%, of the total budget of each partner in a project. Their own contribution must cover at least 30% of the total budget of the project. In case of Fundamental/Basic Research the maximum contribution may amount to 100% of the total budget.

2.3. For the Public Research Institutes and Universities, the **Public contribution** may cover maximum **up to** 100,00 %.

2.4. In case a Greek eligible entity participates in more than one successful/funded projects in the frame of the same call and the total of its requested budget exceeds the 20% of the National contribution to the ERANetMed, the GSRT will examine the possibility of reducing the requested total amount, taking into account the total available national contribution and the number of the Greek beneficiaries in the successful/to be funded proposals.

2.5 The National contribution for all Greek legal entities located in: **a/** the Region of Attica (Source of funding: Operational Programme of Attica) and **b/** the Region of South Aegean cannot exceed 40% of the total available national contribution, for the Call of Proposals 2014 of the ERANetMed.

2.6. Subcontracting funding cannot exceed 25% of the budget for the specific part of the project undertaken by the Greek project partner. Concerning the quotas of the direct subcontracting and in order to ensure competition, the rules applied to all other European projects are also applied in this case. Subcontracting should also be eligible when it deals with the implementation of part of the research project which should not exceed 30% in terms of Large Enterprises and 60% in terms of Small and Medium Enterprises.

2.7. Activities already financed by other public funds are not allowed to be financed by the ERANetMed. A formal declaration is demanded from the applicants/participants, stating that they have not received nor will they receive any kind of additional Public funding/funds for the same purpose.

2.8. The Greek applicants are obliged to inform the General Secretariat for Research and Technology- GSRT/Ministry of Education and Religious Affairs (address: 14-18 Mesogeion Blv, 115 10 Athens – GR) on their participation in proposals in the frame of the 1st Call of Proposals of the ERANetMed. They are obliged to submit a document with the title of the project, the summary, the partners and the total requested budget for the project and (clearly) the part budget dedicated to the Greek partner. The Greek applicants are obliged to submit this document to the GSRT **within three days** following the closure date of the 1st Call of the ERANetMED.



Type of Activity Type of Organisation	Percentage of maximum costs (up to) covered by national funding			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Fundamental / Basic Research	100%	100%	100%	100%
(Industrial/Applied Research)	50%	60%	70%	100%
(Experimental Development)	25%	35%	45%	100%
Experimental Development, if covered one of the following conditions: 1.The project involves efficient collaboration between, at least two mutually independent Enterprises, on condition that: a) no single undertaking bears more than 70% of the eligible costs of the collaboration project, and b) the project involves collaboration with, at least, one SME from EU or with another partner from participating countries from EU 2.The project involves efficient collaboration between an undertaking and a research organization. The research organization bears, at least, 10% of eligible expenditure (the total budget), and	40%	50%	60%	100%



<p>) the research organization has the right to publish the results of the research projects in so far as they result from research conducted by itself. Notice/<i>Caution</i>: Note that the conditions 1 and 2, subcontracting is not considered efficient collaboration</p>				
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For Additional Information *for the ERANetMed please contact:*

- Mrs Paraskevi Afentaki & Mrs Afroditi Patroni
 International S&T Cooperation Directorate, European Union Division
 General Secretariat of Research and Technology,
 Ministry of Education and Religious Affairs
 14-18 Messogeion Avenue, 115 10 Athens – Greece
 Tel: +30 210 7458112, e-mail: pafe@gsrt.gr
<http://www.gsrt.gr>

Greece (GSRT) ANNEX TO THE ELIGIBILITY CRITERIA

Who can apply?

In Greece, all legal entities (public and private sector) are eligible for funding.

What type of funding are eligible for the Greek applicants?

1. Eligible costs as direct costs

- 1a Costs of personnel



Personnel costs are costs for scientific and administrative personnel, for researchers with employment contracts as well as for other supporting staff (temporary employees etc.) as they are employed on the research project.

The maximum monthly wage for a young researcher working in a project up to 24 months shall be 1200 € (gross, taxes and insurance included). Young researchers are considered to be persons, up to 35 year old, who are in the process of getting a doctoral degree/or already holding a doctoral degree.

Since this is a monthly wage, the maximum wage for half a month etc. is calculated on a pro-rata basis.

1b Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable at the completion of the project. The costs of durable equipment for a Greek participant are limited to 20% (up to 20.000 €) of the overall contribution of GSRT to the respective project.

1c Costs of use of buildings (that) are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable at the completion of the project. The costs of durable equipment for a Greek participant are limited to 10% (up to 10.000 €) of the overall contribution of GSRT to the respective project.

1d Subcontracting

Subcontracting should be eligible when deemed necessary for the implementation of the research project and must not exceed 30% of the project's budget for Large Enterprises and 60% for Small and Medium/SMEs Enterprises. For tasks that not considered being "core" tasks it is provided that they may be eligible when they refer/are support services e.g. rent of laboratories, market patents, marketing consulting services and other services for the implementation of the particular project (according to the proposal/work plan submitted and approved for funding).

2. General and operational costs

2a Events

In general all costs directly related to the preparation and implementation of events such as workshops, conferences etc. are eligible. Those costs must be in time requested and justified by the partner (s) in the hosting country (In this case Italy).

2b Travel

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of up to 1000 € (economy class & return ticket) for each travel to another ERANetMed project country will be requested. In addition to the above, domestic (in Greece) travel costs should be eligible up to an amount of 300 € (economy class & return ticket) per travel.

2c Subsistence allowance and accommodation

The general subsistence allowance including accommodation costs etc. For missions concerning the project must be in compliance with the national/Greek regulation according to the Ministerial Decision 14053/2008 in force. The day of arrival is considered as a full (mission) day and the day of departure (back to Greece) is



equally considered as a full day only on condition that there is a scheduled meeting for that day. If not, the subsistence allowance won't be reimbursed.

2d Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs.

2e Eligible costs as Indirect costs

Up to 5% of the total budget.

3. Upper funding limits for the eligible costs

The Upper limit of the total public funding will be 100.000 € per project. The maximum state aid intensity will be calculated according to the provisions of the European state aid rules and regulations in force (type of research activity, size of the participating enterprise, collaborative research).

For Additional Information for the ERANetMed please contact:

- Mrs Paraskevi Afentaki & Mrs Afroditi Patroni

International S&T Cooperation Directorate, European Union Division

General Secretariat of Research and Technology,

Ministry of Education and Religious Affairs

14-18 Messogeion Avenue, 115 10 Athens – Greece

Tel: +30 210 7458112, e-mail: pafe@gsrt.gr

<http://www.gsrt.gr>



ITALY, Ministero dell'Istruzione, dell'Università e della Ricerca (MIUR)

Funding Parties national rules

The criteria and provisions provided herewith are intended only for informative purpose. The complete list of criteria and provisions legally valid, which must be respected by all the Italian participants, is included in the “Avviso integrativo nazionale”, published on MIUR website and in the applicable Italian laws published on the Italian Official Journal.

1. Name of the Funding Party, address and country

Ministero dell'Istruzione, dell'Università e della Ricerca (MIUR)
Piazzale Kennedy 20
00144 ROMA
ITALY

2. Participation criteria

a) Type/nature of participants

Are eligible for funding all legal entities listed in art. 60 of the Decree-Law n. 83/2012 as detailed in the notices issued to implement each call.

According to art. 60 of the Decree-Law n. 83/2012 and art. 4 of its implementing Ministerial decree 115/2013, are eligible: enterprises, universities, research institutions, research organizations or any other legal entity which owns the requirements required by the “Avviso integrativo nazionale”, providing that they have stable organization in Italy.

b) Legal/administrative/financial conditions

- The participant must not be defaulting with regard to other funding received by the Ministry.
- The participant must not have requested/got any other funding for the same project.
- The participant must respect the Italian law against "mafia".
- Companies must have the financial means to execute the project and a potential to use the results.

c) Financial conditions

For any private entity, the following financial criteria, calculated using the data reported in the last approved balance sheet, must be fulfilled

$$CN > (CP - I)/2$$

Where:



ERANETMED is funded by the European Commission's 7th Framework Programme

CN = net assets (Capitale netto)

CP = sum of the costs of all the projects for which public funding has been requested by the participant during the year

I = sum of the contributions received, approved or requested for the same projects

OF/F < 8%

Where:

OF = financial charges (Oneri finanziari)

F = turnover (Fatturato)

d) Additional national documents

All Italian participants must send to MIUR a set of additional national documents as defined in the "Avviso integrativo nazionale".

Any participant who does not send its national documents by the pre-defined deadline, will be considered ineligible.

3. Funding criteria

Type of Organisation Type of activity	Percentage of costs covered by national funding	
	Enterprises, and any other private organization	Public Research Centers, Universities and any other public organization
Industrial/Applied Research	Grant: 20% Loan: 75%	Grant: 50% Loan: 0
Experimental development	Grant: 10% Loan: 70%	Grant: 25% Loan: 0

The "Avviso integrativo nazionale" may establish additional incentives for enterprises and any other private organization.

Theme/s to be funded

All themes supported by the international call are eligible for funding.

4. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

Only collaborative research actions are eligible for funding.



Industrial research and Experimental development activities are eligible for funding. Furthermore, within each project, Experimental development research activities cannot be predominant with respect to industrial research activities.

5. List of eligible costs

All costs listed in DM 115/2013 are eligible for this call.

6. Funding Party Contact person

Marco Pagnani – mail: marco.pagnani@miur.it – tel. +39 06 9772 7587

7. ERANETMED National Contact points

Aldo Covello – mail: aldo.covello@miur.it – tel +39 06 9772 6465 ; +39 338 9364371

Chiara Gliozzi – mail: chiara.gliozzi@miur.it – tel. +39 9772 7288

Date:14/10/2014



JORDAN, Higher Council for Science and Technology (HCST)

Funding Parties national rules

1. Name of the Funding Party, address and country

**Higher Council for Science and Technology
Jordan - P.O. Box 36 - Jubaiha – 11941**

2. JORDAN Participation criteria

- be a legal person
- be a specific type of organisation such as: non-governmental organisation, public sector operator, local authority
- be established in the Hashemite Kingdom of Jordan.

and

be directly responsible for the preparation and management of the action with the co-applicant(s) and affiliated entity(ies), not acting as an intermediary.

3. Funding criteria

Any grant requested under this Call for Proposals must fall between the following minimum and maximum percentages of total eligible costs of the action:

- Minimum percentage: 50% of the total estimated eligible costs of the action.
- Maximum percentage: 80% of the total estimated eligible costs of the action

The balance (i.e. the difference between the total cost of the action and the amount requested from the Contracting Authority) must be financed from sources other than ERANETMED

4. Theme/s to be funded

Type of applied research actions which may be financed under this call:

a) Renewable Energy and Energy Efficiency

- Hybrid renewable energy system integration
- Smart micro-grids
- Renewable energy and energy efficiency for smart and rural communities

b) Water resources management

- Integrated water management from the catchment to coastal zone
- Integrated water use efficiency



- Water reuse in agriculture
- Water desalination

5. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

- a. Collaborative research, accounting for an impact, demand and policy driven research, accounting also for a relevant technology dimension and inclusion of SMEs.
- b. Innovation, including prototype development and demonstration actions.
- c. Capacity building, including also support to build capacity for enhancing innovation.
- d. Mobility, mainly based on supporting grants to young researchers from MPCs to develop post-graduate studies linked with funded projects.

6. List of eligible costs

Eligible costs include: Personnel (maximum of 20% of the total budget), travel, transportation, lab material and equipment.

7. Funding Party Contact person

Omar Amawi
o.amawi@hcst.gov.jo
Higher Council for Science and Technology
P.O. Box 36 - Jubaiha – 11941
JORDAN

8. ERANETMED National Contact points

Omar Amawi
o.amawi@hcst.gov.jo

Date: 2/7/2014



ERANETMED is funded by the European Commission's
7th Framework Programme



LEBANON, National Council for Scientific Research - Lebanon (CNRS-L)

National rules of Funding Parties

1. Name of the Funding Party, address and country

National Council for Scientific Research - Lebanon (CNRS-L)

2. Participation criteria

Eligible organizations:

CNRS-L Research Centers & Associate Research Units (ARUs), Research Centers, Specialized NGOs and Enterprises.

Consortium:

The consortium is composed of organizations and individuals that should be included in each proposal. For the present call the following rules apply:

It is compulsory to include a least one partner located in Lebanon and each project proposal may include up to (3) three partner organizations

Conditions of proposals:

- Each individual can participate in more than one project but can be the main investigator in only one project.
- Key researchers should be included by name (and position) in the proposal to enable evaluation of their qualifications and experience. Researchers who have not been included by name at the proposal stage should be identified by scientific expertise.
- Applications should be submitted by full time staff through their institution. (Letters of Commitment from the hosting institution/s should be included – see below)

3. Funding criteria

- The total contribution of the National Council for Scientific Research-CNRS (Lebanon) for the present call is a maximum of 200,000 Euros.
- Three to five projects can be funded.
- The CNRS contribution for each project cannot exceed **50%** of the total Lebanese requested budget, for a maximum of **50,000 Euros** per project.
- All participating institutions are required to cost share in any proposal.
- A **Letter of Commitment** from the hosting institution is compulsory (during the application phase) and should indicate the commitment towards: (1) hosting the project activities (facilities, equipment and technical functions for project implementation), (2) commitment of staff time and effort for the project, (3) financial (in-cash) commitment of the organization and (4) commitment for financial reporting to the CNRS-L.
- A **Pre-Approval Letter** from the CNRS-L for any financial commitment is compulsory during the application phase.

(A Grant Agreement will be signed between the CNRS-L and Lebanese Institutions following the results of the evaluation)

4. Duration of the project

24 to 36 months



5. Themes to be funded

Renewable energy and energy efficiency, Water resources management and Trans-disciplinary theme. (Refer to Themes and Scientific Scope of the Call)

The National Council for Scientific Research will no longer fund any Grant Research Project with the mentioned themes for 2015-2016.

6. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

All proposals should cover collaborative research and at least two other actions from the following:

- ***Collaborative research***, accounting for an impact, demand and policy driven research, accounting also for a relevant technology dimension and encouraging the inclusion of enterprises.
- ***Innovation***, including prototype development and demonstration actions.
- ***Capacity building***, including also support to build capacity for enhancing innovation.
- ***Mobility***, mainly based on supporting grants to young researchers from MPCs to develop post-graduate studies linked with funded projects.

7. List of eligible costs

Personnel Costs (20-30%): indemnities for temporary research assistants and technicians only. Permanent staff is not allowed to charge salaries or any additional indemnities from the present project.

Dissemination (10%): expenses for local dissemination of results to the scientific community and society (publication of articles in technical and scientific journals, organization of meetings and seminars etc). At least one dissemination event should be held in Lebanon per project. It is noted that activities relating to product commercialization or the protection of intellectual property rights are not covered.

Travel (20 to 30%) travel costs (maximum 5 days per trip) for the purposes of implementation and coordination of the research activity abroad.

Small equipment and Consumables (up to 20%): Expenses for consumables that are necessary for project implementation. General office material, printing paper, ink, CDs etc. are not included.

Computer hardware and software are not considered as eligible.

Internal PhD scholarship can be included in the project (up to 25% of the total budget).

Overhead costs are not eligible.

For any additional information, CNRS rules on Grant Research Project and scholarships will be applied (www.cnrs.edu.lb).

8. Funding Party Contact person

Prof. Mouïh Hamzé
Secretary General



ERANETMED is funded by the European Commission's
7th Framework Programme

CNRS-Lebanon

9. ERANETMED National Contact points

Dr. Elise Noujeim
CNRS-Lebanon
enjeim@cnrs.edu.lb

Ms. Rula Atweh
CNRS-Lebanon
rula.atweh@cnrs.edu.lb

Date: 24/10/14



*ERANETMED is funded by the European Commission's
7th Framework Programme*

**MALTA, Malta Council for Science and Technology for and on behalf of the
Foundation for Science and Technology (MCST)**

ERANETMED Joint Initiative

National Rules for Participation

**Version 1.2
October 2014**

1. Introduction

Funding Party: Malta Council for Science and Technology for and on behalf of the Foundation for Science and Technology,
Villa Bighi, Kalkara, KKR 1320
Malta

1.1 ERANETMED

The ERA-NET scheme is an instrument of the European Commission's Seventh Framework Programme providing funding to improve the cooperation and coordination of national research activities and thus strengthen the European Research Area (ERA) with other regions of the world.

ERANETMED is an EU FP7 initiative that aims at co-ordinating research activities of the different national research programmes from EU Members States, Associated Countries and Mediterranean Partner Countries. In particular, the ERANETMED objective is to strengthen the collaboration and common capacity of research programme owners from above countries to address some of the major challenges that the Mediterranean is facing and strengthen Euro-Mediterranean research co-operation.

1.2 Scope and Focus

This initiative shall provide financial support for research, development and innovation in the field of science and technology. The focus shall be on Research and Innovation, with the intent to strengthen the collaboration and common capacity of research programme owners from Mediterranean Partner Countries to address some of the major challenges that the Mediterranean is facing and strengthen Euro-Mediterranean research co-operation.

The Joint Call will enable the implementation of collaborative research projects based on complementarities between scientists, disciplines, and exchange of expertise within the scope of the research themes addressed by the call.



Each project proposal must:

- Involve researchers from **at least three countries members of ERANETMED** (at least one from the EU/Associated Countries and one from the Mediterranean Partner Countries) providing funds for this Joint Call. Additional researchers from other countries are welcome in a project consortium, but will have to fund their own contribution to the research project
- Be of a duration comprised between 24 and 36 months.
- Be written in English, using the Application Forms provided on the submission website
- Be uploaded completed and correctly (including all required documents) via the submission website before the call deadline (31 January 2015, 5 PM CET).
- Fit the formal requirements for proposal submission.

Selection and funding of projects under this joint initiative shall be on a competitive basis.

Funding under this joint initiative is made available on the basis that a Partner does not benefit from any other grant or financial incentive in respect of the expenses related to execution of the project.

The call process is shown in table below:

Call action	Scheduled
Pre-announcement of the Call	July 2014
Launching of the call	1 November 2014
Deadline for submission of proposals	2 February 2015
Results of eligibility check	1 March 2015
Scientific evaluation	15 March – 15 June 2015
Meeting of the evaluators	July 2015
Meeting of the Executive Committee of Funding Parties for funding decision	15 July 2015
Informing applicants on call results	31 July 2015
Start contract negotiation	1 September 2015
End contract negotiation	15 November 2015
Estimated starting date of projects	End of February 2016

1.3 National Contact Points

Correspondence should be directed to:

Diana Spiteri,
 The Malta Council for Science & Technology
 Villa Bighi, Kalkara KKR 1320, Malta
 e-mail – diana.a.spiteri@gov.mt

Ian Gauci Borda,
 The Malta Council for Science and Technology,
 Villa Bighi, Kalkara KKR 1320, Malta



e-mail: ian.a.gauci-borda@gov.mt

1.4 Definitions

Research and Development is defined as the systematic investigation, work or research carried out in any field of science or technology through experiment, theoretical work or analysis undertaken in order to acquire new knowledge, primarily directed towards a specific practical aim or objective, and includes:

(a) Basic Research comprising activities undertaken for the advancement of scientific or technological knowledge that may draw on existing knowledge gained from research and practical experiences and is directed to produce new materials, products and devices, the implementation of new processes, systems or services, or to substantially improve those already produced, installed or existing;

(b) Applied Research where a final specific application is in view;

(c) Development involving the use of the results of basic or Applied Research as aforesaid for the purpose of creating new or of improving existing material, devices, products or processes, but excludes routine or periodic design, testing and analysis of equipment or products for the purposes of quality or quantity control, routine or periodic alterations to existing products or processes, or routine activities where there is no appreciable novelty or problem resolution.

Innovation is defined as the renewal and enlargement of the range of services and the associated markets; the establishment of new methods of design, production, supply and distribution; the introduction of changes in management, work organisation, and working conditions and skills of the workforce and covers technological, non-technological and organisational innovation.

Academia is defined as an entity included but not limited to a higher education entity or a research institute, whether as a whole body or as a component unit or department within such body, whose primary goal is to conduct Research and Development and to disseminate research results and other knowledge by way of teaching, publication or Knowledge Transfer, the surplus / profits of which entity are reinvested in these activities, the dissemination of their results or teaching; and which entity is structured in such a manner that undertakings that can exert influence upon such an entity, in the quality of, for example, shareholders or members, shall enjoy no preferential access to the research capacities of such an entity or to the research results generated by it.

Provided that the higher education entity must be in possession of a license for Higher Education according to Article 8(3) of the Act of Education, Chapter 327 of the Laws of Malta. This does not include the license for a tuition centre.

Provided further that the University of Malta and the Malta College of Arts, Science and Technology are deemed a priori as Academia in terms of the above and any Academic Institution shall be construed accordingly.

ERANETMED Project Consortium Involve researchers from at least three countries members of ERANETMED (at least one from the EU/Associated Countries and one from the Mediterranean Partner Countries) providing funds for this Joint Call. Additional researchers from other countries are welcome in a project consortium, but will have to fund their own contribution to the research project.



Industrial Entity is defined as a legal entity, included but not limited to, a privately owned company or commercial enterprise the objects and activities of which include the output of a specified product and service, and have the financial means to execute the project and a potential to use the results. In addition to research and innovation contributions and knowledge transfer, the entity may contribute to the consortium by developing, testing, commercializing or using such a product or service. Provided that a Public Sector Entity, a registered NGO, or a Professional Body as defined in this Section is considered as forming part of this definition.

Maltese Legal Entity means any entity created under the laws of Malta which has legal personality and which may, acting under its own name, exercise rights and be subject to obligations.

Maltese Private Body means any Maltese Legal Entity which has more than 50% private shareholding.

Maltese Public Body means any Maltese Public Service Department or Public Sector Entity, or any Maltese Legal Entity which has more than 50% government shareholding.

NGO means any Voluntary or Non-Governmental Organisation set up in accordance with The Voluntary Organisations Act (Cap. 492 of the Laws of Malta).

Professional Body may be an organisation, an association, a chamber, society, institute or a group of professional persons not being enrolled or registered in terms of The Voluntary Organisations Act (Cap. 492 of the Laws of Malta) or not being otherwise recognised in terms of Law, and which is generally recognised and acknowledged by the professional persons it seeks to represent as their representative Body. For the purposes of this Definition, a professional person is one who has undergone a period of study at a university or a recognised institution of higher learning and has obtained the formal qualification entitling the person to practise the respective profession; and who provides a specialised service to the public, based primarily on a fiduciary relationship between himself and the party to whom he provides such service on his own personal credibility and responsibility

Maltese Applicant means anyone eligible for participation in an ERANETMED Project in terms of these Rules for Participation and who consequently applies for funding under this joint initiative.

Project Contact Point is the individual, appointed to act on behalf of the Maltese Applicant and who is responsible for communicating with the Council about the ERANETMED Project.

Public Sector Entity means the Public Service and Entities. For the purposes of this definition (i) Public Service refers to all Ministries and Departments; and (ii) Entities refers to authorities, corporations, agencies and commercial public sector entities in which the Government has a majority shareholding and that are not listed on the stock exchange. Entities also include foundations and local councils.

2. Eligibility for Participation

2.1 Eligibility for Participation

Any Maltese Legal Entity, Maltese Public Body, Academia and Maltese Private Body as described in Section 1.4 may apply for an ERANETMED project and will be eligible for funding subject to the terms and conditions laid out in this document.



Professional Bodies and NGOs are eligible to participate in a project, but will only be eligible for funding under this joint initiative in respect of an activity which does not itself fall within the normal sphere of activity, or within the services and consultancy normally carried out or provided, by the said Professional Body or NGO in their ordinary course of operation.

Applicants, who fall within the definition of Maltese Private Body, will be required to provide (together with their application) the following documents which will be considered during the evaluation:

- Memorandum & Articles of Association
- Audited financial statements for last 3 fiscal years (2 sets).

In the event that the applicant is a start-up and the above documents are not available, the applicant shall provide the financial projections for three (3) years signed by an auditor, including:

- an income statement,
- a cash flow statement, and
- a statement of financial position

In the event that the evaluation may result in too high an exposure risk to the Council, the applicant will no longer be entitled to participate in a project.

2.2. Eligibility Under the State Aid Regime

This joint initiative operates under the *de minimis* State Aid regime, which stipulates that a single undertaking cannot receive more than €200,000 in aid over any 3-year period through schemes operating under this regime. Applicants are required to ensure they are eligible for the requested grant under State Aid rules before submitting an application.

For the purposes of this Section, an “undertaking” shall mean any entity engaged in an economic activity, regardless of its legal status and the way in which it is financed.

Each undertaking must complete and execute the State Aid *de minimis* declaration form given to them by the Council prior to benefitting from the funding under this joint initiative.

For the purposes of the *de minimis* Regulation, “Single Undertaking” includes all enterprises having at least one of the following relationships with each other:

- (a) One enterprise has a majority of the shareholders’ or members’ voting rights in another enterprise;
- (b) One enterprise has the right to appoint or remove a majority of the members of the administrative, management or supervisory body of another enterprise;
- (c) One enterprise has the right to exercise a dominant influence on another enterprise pursuant to a contract entered into with that enterprise or to a provision in its memorandum or articles of association;
- (d) One enterprise, which is a shareholder in or member of another enterprise, controls alone, pursuant to an agreement with other shareholders in or members of that enterprise, a majority of shareholders’ or members’ voting rights in that enterprise.

Enterprises having any of the relationships referred to in points (a) to (d) above through one or more other enterprises shall be considered to be a single undertaking,



The terms and conditions set out in these guidelines are in line with the Commission Regulation (EU) No 1407/2013 of 18 December 2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to *de minimis* aid³.

Specific sectors (agriculture⁴, fisheries and aquaculture⁵) are excluded from this regime and applications in these sectors are therefore outside the scope of this joint initiative. The successful applicants are expected to sign the necessary forms before any funds are transferred and provide the necessary reports in accordance with *de minimis* State Aid regulations.

This Scheme is not available to undertakings in difficulty within the meaning of the Community guidelines on State Aid for rescuing and restructuring firms in difficulty.

3 Funding Criteria

The funds for the national beneficiaries participating in the ERANETMED project consortium will be made available in accordance with these rules and regulations.

3.1 Submission of Project Proposals to ERANETMED

This call is a one step call, which implies the direct submission of a full proposal.

Proposals will be submitted, evaluated and decided upon according to the procedures described in Call.

Only submissions through the online submission system will be accepted.

3.2 Project Duration

The duration of a project can range between 24 and 36 months.

The projects are expected to start not later than three months after the conclusion of the Grant Agreement.

3.3 Grant Value

The total amount available for funding through National Contribution is of €400,000

⁴ *Undertakings active in the sector of agricultural production means undertakings active in the primary production of agricultural products. Agricultural products are those products listed in Annex I to the Treaty.*

⁵ *Undertakings active in the fisheries and aquaculture sector means undertakings active in the production, processing and marketing of fisheries products which covers both products caught at sea and the products of aquaculture. Fishery products are listed in Article 1 of Council Regulation (EC) No 104/2000 of 17 December 1999 on the common organisation of the markets in fishery and aquaculture products.*



grant or financial incentive in respect of the expenses related to execution of the project. The national contribution towards any project proposal submitted under the ERANETMED shall be capped at €200,000 and up to €100,000 per applicant..

Funding for successful project submissions will be on the basis of a periodic cash advance, and will be regulated through a contractual agreement establishing the terms and conditions governing the financing of the project.

Funding under this joint initiative is made available on the basis that an applicant does not benefit from any other

3.4 Co-Financing

The following rates of co-financing shall be applicable:

- The financial contribution to a Maltese Applicant which falls under the definition of Academia, Maltese Public Body, NGO or Professional Body shall be 100% of eligible costs incurred by that Applicant.
- The financial contribution to a Maltese Applicant which falls under the definition of Maltese Private Body shall be limited to 75% of eligible costs incurred by these Maltese Applicants. Therefore, such Applicants must contribute the remaining 25% to the Project. It is not possible for an Applicant to cover the contribution of 25% 'in-kind'.
- There shall be no funding for other Categories of Applicants.

3.5 Project Contact Point

The Maltese Applicant shall identify a locally based individual to act as the Project Contact Point.

The Project Contact Point shall have the following responsibilities:

- To ensure compliance with their obligations in terms of the Contractual Agreement;
- To compile Stage Reports including their timely submissions and effective execution of the project;
- To execute the project activities according to set timeframes and deliverables;

3.6 Deliverables

Mandatory deliverables

The project plan must give details of certain activities which are requested by the Council's R&I Unit. These should be included as deliverables in the project proposal and include:

1. A showcase of the project to the general public by the national project partner through:
 - The publication of at least two articles per year in local newspapers or magazines. These should not contain intellectual property but should raise awareness about the project and its benefits.
 - The organisation of at least one half-day event to be held at the Council's premises or as otherwise directed by the Council's R&I Unit.



2. Reporting on project progress as per the list hereunder in line with the templates provided by the Council;

- End of Stage Technical and Scientific reports;
- End of Stage Financial report;
- End of Project Technical and Scientific Report;
- End of Project Audited Financial Report

The reports are to include sufficient evidence on the achievement of the project objectives as well as the parameters indicated in the application.

Changes to the project objectives, work-packages and all the parameters committed in the applications are to be detailed, justified and approved by the Council's R&I Unit.

Recommended deliverables

Further to the mandatory deliverables, the Council invites applications to also include deliverables as recommended below:

1. Monograph/s and/or peer-reviewed paper/s for accepted publication in international journal/s of repute based on the work carried out through the Project. The subscription levels or Impact Factor (IF) of journals are important considerations. Similar papers published on open source media would also be considered favourably.
2. Oral presentation/s at international conference/s on the work carried out through the Project;
3. The attainment of undergraduate degrees and/or postgraduate degrees and/or post-doctoral research. In cases where the project duration is insufficient for the purpose of submitting a degree, there has to be a commitment to complete the degree outside the duration of the project utilising other sources of funding.
4. Registration of a patent or other Intellectual Property Rights (IPR) stemming from the Project, in Malta as well as in any other country;
5. Commercial commitments such as technology innovations to be included in a partner's existing product or service.
6. Commercial commitments such as technology transfer licences.

3.7 Confidentiality of Submissions

Unless otherwise indicated, all project application submissions except for the abstract shall be treated in strict confidence.

4. Theme/s to be funded

1. Renewable energy and energy efficiency (call identifier: JC-ENERGY-2014)

This Theme has the overarching objective of capitalizing on local large availability of renewable sources of energy, by developing adapted packages of solutions for the sustainability of vulnerable communities, both in terms of supply and demand, in addition to promoting the well integrated penetration of renewable energy



solutions in the inhabited areas, while taking into account local cultural heritage, cost-effectiveness, environmentally friendly and innovative approach.

Applicants should also take into consideration pressing challenges related to energy security, efficiency, supply and climate change. The active participation of key industrial partners and technology suppliers encouraged to form a multi-sector, multi-disciplinary consortium able to achieve the full impact on the theme. Awareness and innovative capacity building approaches are encouraged. Nuclear energy is not addressed by this call.

a. Hybrid renewable energy system integration

The objective is to support development and demonstration activities in the area of the integration of renewable energy sources to the electric utility. Hybrid integration of those technologies should be adapted to provide cost effective solutions to the increasing energy demand in the Mediterranean area. Different methods can be considered to increase the efficiency, power availability, flexibility, dispatchability, energy storage, etc. Projects will have to demonstrate the potential in these respects and will have to prove the concepts at least at demonstration or laboratory scale. The project should enhance the shared technology development between EU and Mediterranean researchers. Efficient hybridisation of two or more renewable energy systems, CSP, PV, Wind, Marine, Biomass and Biofuel is expected to broaden substantially the deployment area of renewable energy technology. Proposals with a clear plan for the commercial exploitation of the developed technology are preferred. The active participation of industrial partners and technology suppliers is essential to form a multi-sector, multidisciplinary consortium able to achieve the full impact of the project. Outcomes should also focus on reliability and increased system stabilisation and efficiency energy supply to minimise the effects of system failures.

b. Smart Micro-grids

Proposals should address demonstration of concepts for active micro-grid networks enabling the integration of increased numbers of small and medium-size distributed energy resources. Each successful project should test a specific concept at a single demonstration site under realistic conditions of inhabitants and users. The active participation of key partners from Mediterranean electricity utility and technology suppliers and authorities is essential to have impact on this topic. Applicants should also consider reducing the impact on the environment by relying on low-carbon energy resources (photovoltaic, wind, and concentrated solar power) that are either already in place or planned to be deployed in parallel with other initiatives.

c. Renewable energy and energy efficiency for smart and rural communities

The main objective is to increase and improve access to innovative, affordable and sustainable energy services for rural area by focusing on accessible renewable energy solutions as well as on energy efficiency measures. The development of closer and long-term links among the different Euro-Mediterranean stakeholders from research, industry and business sectors will foster technology and know-how sharing to solve bottlenecks of Mediterranean energy systems.

2 Water resources management (call identifier JC-WATER-2014)

This Theme must be addressed in the context of global change, particularly demographic, climate, new trends of water yields and availability. The problem related to water quality, water tariffs and economic aspects are also key as well as implication with policy and governance.

a. Integrated water management from the catchment to coastal zone

The scope is Integrated Water Resources Management (IWRM) from catchment – basin to coastal zone, thus account for both inland and coastal zone water resources, the multiple use of waters and the interlinks with basin scale socio-economic activities, policy and governance. In the coastal zone, coastal surface and ground-water quality as well as salinity in estuaries and lagoons due to changes of river flows caused by



irrigation, hydropower and water supply should be addressed. Applicant should also address catchment-basin scale land use changes in relation to water quality and quantity, reservoir management, intense agricultural activities as well as urban and industrial development depleting water resources and contaminating water.

One important impact expected is a substantial contribution to policy and governance, ensuring the sustainable management of water resources at catchment to basin scale, also establishing a systematic process of developing, allocating and monitoring the use of water resources. Therefore, it is expected that different management bodies should start to work together in order to find common approaches and tools to enhance good governance.

b. Integrated water use efficiency

The main objective is the development of new research and possibly enhancing new technologies in water use efficiency in the whole chain, from supply to end-users, coping with water scarcity, climate, water yields and water quality. Research will have to address water saving for different uses from civil to agricultural and industrial while identifying best water management practices to improve efficiency, increase water productivity, promoting the use of non-conventional water resources and identifying appropriate measures to face extreme events and conditions.

c. Water reuse in agriculture

The applicants should address the re-use of treated municipal waste water as well other low-quality water resources and its impact on future water availability. More specifically the objective is to foster innovative research on waste water treatment technology and re-use in agriculture accounting for public health, land management and environment. In addition, applicants should address most relevant constraints and barriers to the social acceptance, public awareness, socio-economic aspects including pricing and tariffs and the problems related to building good policies and governance.

d. Water desalination

The objective is to identify ways for developing adequate technologies or optimising existing technology for desalination accounting for cost-energy effective and environmentally friendly schemes. Social and political awareness and adequate governance should be addressed as a key for the successful and sustainable development desalination.

3. ENERGY-WATER nexus (call identifier: JC-NEXUS-2014)

Applicants could also decide to address energy and water issues in the same project. The objective is to develop research and enhance new innovative approaches and technologies in order to maximise the energy efficiency and use of renewable energy accounting for the reduction of impact on natural water yields.

Equally, the applicants should address ways to increase efficiency of water systems (including conventional and non-conventional water systems) through adequate energy saving and renewable energy technology.

development and application. Proposals should also explore and indicate ways to reduce impact on fossil energy sources and emissions through a better water and energy management. It is of paramount importance to take into account different socio-economic, cultural, geographical, climate and policy/governance framework conditions. Applications in rural and marginal areas are encouraged.

5. Actions to be funded

The following actions will be eligible for funding:



- a. **Collaborative research**, accounting for an impact, demand and policy driven research, accounting also for a relevant technology dimension and inclusion of SMEs.

The collaborative research is a joint undertaking by a partnership of institutions ("consortium") designed to produce new knowledge through scientific research, whereby each team within the partnership actively pursues specific task objectives with a view to pooling the results to contribute to the achievement of a set of common, well-defined project objectives. Collaborative research should take into account to develop an impact, demand and policy driven research, also accounting the inclusion of SMEs and enhancing innovation.

- b. **Innovation**, including prototype development and demonstration actions.

In particular, it is a joint undertaking by a partnership of institutions ("consortium") designed to bridge the gap between the outcomes of research projects and commercialisation, by supporting activities related to the first application and further market uptake of innovative techniques, processes, products or services, and helping overcome barriers that could hamper their commercial success.

Some combination of the above mentioned activities is possible or even desirable. As a basic condition, all projects must be collaborative research projects. Therefore, it will be mandatory for each proposal to include at least action a) (Collaborative research).

6. List of Eligible and Ineligible Costs

6.1 Eligible Costs

Eligible direct costs are those costs incurred directly by the national beneficiaries during the duration of the project and used primarily for the purpose of achieving the objectives of the project. All eligible expenses must be incurred between the Start Date and the End Date of the Project and must be limited to the budgeted value.

Start Date means the date which is stated on the grant agreement.

End Date means the date when the Project period, having commenced on the Start Date, expires, The Project period is the time required to execute the Project as indicated by the national beneficiaries, in terms of Section 0.

The Eligible costs are:

Actual Personnel Costs. There is no limit on the number of employees per project. Overall value of Existing Personnel Costs typically cannot exceed 20% of project value. Proposals with Personnel Costs exceeding 20% of the project value need to be discussed at application stage.

Researchers, operators and students employed specifically for the project would fall in the category of Operational/ Researcher and their salary would not form part of the personnel costs limit of 20% of the project value.

Personnel Costs related to Project Management are limited to 10% of the project value



Specialised equipment and research consumables: Purchase of specialised equipment including software. Overall value of **consumables typically cannot exceed 30% of project value**. Proposals with consumables exceeding 30% of the project value need to be discussed at application stage.

Travel and Subsistence: Applicants which are not regulated by the Public Contracts Regulations (Chapter 174.04 of the Laws of Malta) are required to obtain three quotations for purchases related to travel.

Other: Other operating expenses directly related to the project; **Scientific information:** Access to scientific information sources including databases and publications. Details have to be submitted at application stage.

Subcontracted Activities (max 25% of project value):

Subcontracted Activities shall be up to a limit of 25% of the project value, provided that prior approval is attained from the Council before subcontracting to ensure fair procurement procedures.

Subcontracted Activity means any activity related to the project, (including but not limited to consultancy), which is not carried out directly by the Maltese Applicant or their employees, but is carried out by any third party individual, company, partnership or entity, under whatsoever terms and conditions. Project management of the project cannot be subcontracted. The end of project financial audits can be part of the subcontracted activities and cannot exceed €2000 per project.

Eligible Costs are to conform to the following and are subject to the final audit scrutiny:

- Any expenses incurred during the course of the project must be consistent with the principles of economy, efficiency and effectiveness.
- Applicants which are not regulated by the Public Contracts Regulations (Chapter 174.04 of the Laws of Malta), are required to obtain three quotations from potential suppliers in the event of purchases of a value above two thousand five hundred Euro (€2,500).
- While it is not mandatory to select the cheapest offer, the choice of supplier should be justified in writing by means of a concise note.
- When it is not possible or feasible to obtain quotations, a concise justification note should be made by way of explanation.
- Commercial transactions between any Maltese Applicants or consortium partners, or between any Maltese Applicant or a consortium partner and a company with similar shareholding to a consortium partner, is not allowed.

Recruitment procedures are to be strict and transparent including the public call and interview process.

6.2 Eligible Indirect Costs

Overheads will be covered at 10% of direct eligible costs, excluding the costs of (1) subcontracting and (2) items of equipment and consumables. Audit expenses should be included in the indirect cost pool.

6.3 Ineligible Costs

The following expenditure shall be considered as ineligible costs:

- Expenses related to loans, interest, etc



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- Recoverable value added tax
- Expenses which are recoverable through other funding mechanisms
- Re-purchase of equipment originally procured through other funding mechanisms
- Purchase of equipment from partners or their subsidiaries
- Opportunity costs related to foregone production and production downtime arising from the allocation of resources to the Project
- Any activity related to the reproduction of a commercial product or process by a physical examination of an existing system or from plans, blueprints, detailed specifications or publicly available information
- Standard office equipment
- Personnel hours for travelling

7. Evaluation

Project applications will be evaluated by ERANETMED in accordance with their stipulated evaluation criteria.

The eligibility check phase is divided in two steps, each of them aimed at verifying the compliance with two sets of criteria: i) ERANETMED criteria, ii) National criteria.

7.1 ERANETMED criteria for eligibility check

Each project proposal must:

- Involve researchers from at least three countries members of ERANETMED (at least one from the EU/Associated Countries and one from the Mediterranean Partner Countries) providing funds for this Joint Call. Additional researchers from other countries are welcome in a project consortium, but will have to fund their own contribution to the research project
- Be of a duration comprised between 24 and 36 months.
- Be written in English, using the Application Forms provided on the submission website
- Be uploaded completed and correctly (including all required documents) via the submission website before the call deadline (31 January 2015, 5 PM CET).
- Fit the formal requirements for proposal submission.

The eligibility check of ERANETMED criteria is made by the Call Secretariat.

7.2 National eligibility criteria

As a second step, the Call Secretariat asks the members of the Executive Committee of Funding Parties to check and confirm the eligibility of applicants participating in a proposal consortium according to these rules and regulations.



To receive funding, applicants must be eligible for funding by the national funding organisations participating in the call. All participants in a bidding consortium should check their eligibility in the guide provided by their potential national funding organisations/agencies at the earliest possible stage.

7.3 Scientific Evaluation

Additionally a Scientific Evaluation will be carried out where the proposal will be evaluated in accordance with the established criteria. These will be ranked accordingly.

The following criteria will be at the base of the whole Scientific Evaluation process:

Criterion 1: Scientific and/or technological excellence (Threshold 3/5)

Criterion 2: Quality and efficiency of the implementation and the management (Threshold 3/5)

Criterion 3: Potential impact (Threshold 4/5)

Proposals recommended for funding will need to score above the threshold for each criteria and get a minimum aggregated whole score at least 10 out of 15 points. Half marks are permitted. The Review Board will aggregate the single evaluation reports and define the final score for each proposal, based on average.

8. Post Selection Process

8.1 The Grant Agreement

For each collaborative project approved for funding, individual **Grant Agreements** will be signed between the Maltese Applicant and the Malta Council for Science and Technology. This Agreement will regulate the transfer of funds to national beneficiaries based on these regulations. They will establish the legal ground for project funding according to these rules and regulations.

Following the final decision taken by the ERANETMED Executive Committee of Funding Parties, the Call Secretariat will present all necessary documents to the Council in order to start in-parallel contract negotiations with the national beneficiaries which have been selected for funding. The final consortium agreement must be signed before the conclusion of the national Grant Agreement.

Overall consistency between all contracts/agreements will be ensured by the Members of Executive Committee of Funding Parties.

The Call Secretariat will be responsible for the overall monitoring of the projects according to the terms set out in the Terms of Reference.

The outcome will contribute to the overall evaluation of the ERANETMED Joint Call.

The Council reserves the right not to proceed with signing any national grant agreement in the event that it results that doing so would be too high an exposure risk to the Council.

The Project Contact Point must provide two (2) images related to the project and an abstract upon signing the Grant Agreement. These will be used to publicise the award.



8.2 Start Date and End Date

The project will start on a pre-determined date as agreed by all the respective parties and determined in the Grant Agreement.

In view of the particular nature of the Grant Agreement, the said agreement will not be signed simultaneously by all parties but will be signed by all the parties separately. Each party will signify the date of signing and the Grant Agreement will come into force on the date on which the final signature is made thereon (hereinafter the “**Agreement Date**”).

The Council will endeavour to transfer the first tranche of funding to the Maltese Partners’ account as soon as possible after the Agreement Date, as described in the Grant Agreement.

Between the Agreement Date and the Start Date, the Project Contact Point should ensure that all activities required for a smooth project start are completed. These may include but not limited to:

- obtaining quotations for procurement purposes
- issuing a human resources call
- opening a bank account and depositing the first tranche

To be eligible for funding, all expenses must be incurred between the Start Date and the End Date of the Project.

8.3 Double Funding

Funding under this joint initiative is made available on the basis that the Maltese Applicant has not benefited and will not benefit from any other grant or financial incentive of whatever nature, applied for and/or utilised for the same scope as that subject of the funding requested under this joint initiative. Provided that, in the case where the application covers work that is part of a larger project, the Maltese Applicant must submit a table as an appendix to the application form that shows a comprehensive list of the items of work and the source of funding for each item.

The Maltese Applicant will be required to sign a declaration to this effect and authorising the Council to exchange essential information related to the project with other funding agencies, both local and overseas, for any necessary checks.

9. Funding, Management and Progress Monitoring

9.1 Allocation and Disbursement of Funding

For the purposes of funding and reporting, a project submission shall be divided into a number of Stages. **Each Stage shall be of 12 months duration.** Funding for any one Stage shall not exceed 80% of the total project financial contribution due.

Total financial contribution over the lifetime of the project shall not exceed the funding limit as established in the Grant Agreement, irrespective of actual expenditure.



The periodic funding will be allocated according to the following schedule:

1. For the first Stage, the Council will make an initial advance payment of 100% of the due financial contribution in relation to that particular Stage. This will be calculated on the Maltese Applicant's component of projected expenditure for that Stage, and will include both direct and indirect costs.

In the case of a **single-Stage project** (one 12 month period), the Council will make an advance payment to the Maltese Applicant equivalent to 80% of the due financial contribution calculated on the projected costs. This will include both direct and indirect eligible costs.

2. At the end of each Stage, the Project Contact Point will be required to submit a Technical and Scientific Stage Report and a Financial Stage Report to the Council's R&I Unit with details of actual expenditure over the past stage, together with an updated forecast of projected expenditure for the following stage.

Both stage reports have to be approved by the Council's R&I Unit before moving to the next stage. This should be in line with the templates for stage and final reports as provided by the Council.

3. For the second and subsequent Stage, the Council will calculate the due financial contribution in relation to that particular Stage based on the Financial Stage Report submitted. This contribution will be calculated as forecast eligible expenditure, adjusted for any overspend or underspend of the preceding Stage.

4. Except for the final Stage of the project, the Council will make an advance payment equivalent to 100% of the due financial contribution in relation to that particular Stage, calculated as in bullet (2) above.

5. For the final Stage of the project, the Council will make an advance payment of up to 80% of the due financial contribution calculated as in bullet (2) above. However, the Council shall retain 20% of the total project grant to be transferred only upon successful completion of the project.

6. Following the termination of the project or expiry of the Grant Agreement, the Project Contact Point will be required to submit a Final Technical and Scientific Project Report together with a Final Financial Report for the whole project, thus covering the work and expenditure undertaken. The Final Financial Report needs to be audited by a certified auditor appointed by the Maltese Applicant and approved by the Council's R&I Unit once submitted. The audit should determine the total eligible costs and compare these to funds forwarded. The Council reserves the right to appoint an auditor to audit the Project Financial Audit as submitted. Following finalisation of the financial audit, the technical audit will be performed.

7. As soon as the verifications and audits are finalised and cleared the Council will release the retention money due. In the case of overpayment, the Maltese Applicant will be required to refund the under-spend amount to the Council within a specific timeframe, or as agreed to with the Council.

The Council reserves the right to alter the funding parameters as deemed appropriate.



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9.2 Accountability

The Maltese Partners shall keep a separate bank account or records, clearly distinguishable from its other accounting records. All relevant expenses must be recorded in these accounts.

Eligible expenses must have been determined in accordance with the usual accounting and management principles and practices of the Maltese Applicant. Direct eligible costs must be backed up with the relevant documentation as specified in the Grant Agreement.

9.3 Dissemination & Externalisation

Within 12 months from the end of the Project, the Maltese Applicant shall publish, at least one (1) research paper based on the work carried out throughout the Project in a pre-peer reviewed open access repository. Provided that if the Maltese Applicant claims that an attempt to publish this research paper would disclose technical information that would render the possible patent application null then the Council's R&I Unit may exempt the said Partners from the obligation of publication.

Any articles and text material related to the project should include the words:

'Project <Project Name> funded through ERANETMED joint initiative of Members States, Associated Countries and Mediterranean Partner Countries'

Any websites or printed material related to the project should also include the Council logo or any other logo related to ERANETMED as provided by the Council's R&I Unit. Such material should follow the specifications described in the Council's **and FP7** Guidelines.

During the Term of Agreement and for five (5) years thereafter, the Maltese Applicant shall include and prominently feature the Council and ERANETMED in any publicity related to the project.

All publicity material shall be vetted and approved by the Council's R&I Unit before publication and should make mention of ERANETMED and the Council. In the case where printed material is published without a mention of ERANETMED and the Council, the Maltese Applicant shall be obliged to publish a correction at its own expense in the subsequent issue of the publication. This is also applicable for published material produced by persons who are not members of the ERANETMED project. In the case where such publicity does not mention the ERANETMED joint initiative and the Council, associated costs will be considered ineligible.

9.4 Subcontracting

Where a component of the project work is a Subcontracted Activity, the following considerations shall apply:

- the value of the subcontracting should not normally exceed 25% of the total project grant. In cases where the proposed subcontracting component exceeds this limit, the Project Contact Point must provide adequate justification for such subcontracting and obtain prior written approval from the Council's R&I Unit. The Council reserves the right to object to such a high subcontracting component.



- the Project Contact Point remains responsible for the timely delivery of the subcontracted tasks;
- the Project Contact Point shall ensure that such a third party is selected in a manner which is transparent, fair and impartial;
- the third party submits a signed Subcontractor's Declaration, with any offer or contract for services. The Council's R&I Unit reserves the right to object to the selection of the third party if the third party does not comply with the any statement contained in the declaration;

subcontracting to foreign companies should only be considered if suitable expertise is not available locally.

- the Maltese Applicant may consider joint bids from subcontractors as long as these are presented in the form of a supplier consortium. Preference will be given to partners who have previous experience working together on similar projects
- the Maltese Applicant shall ensure that there is no discrimination between bidders and that all bidders are treated equally and transparently in all calls for quotations.

9.5 Progress Reporting and Final Auditing

The Maltese Applicant shall set a schedule for regular progress meetings with the Council's R&I Unit to take place as part of the reporting work package.

At the end of each project stage, the Project Contact Point is required to submit a Stage Technical and Scientific Project Report and a Stage Financial Report to The Council's R&I Unit with the following details and in line with the templates provided by the Council:

- An account of project activity and achievements over the past stage compared with the originally submitted application ;
- An account of actual expenditure over the past stage compared with the originally submitted budgeted expenditure. All financial reports must be signed by the person responsible for the financial management, and assembled as per the instructions in the Grant Agreement;
- An updated forecast of project activity and projected achievements for the following stage;
- An updated forecast of projected expenditure for the following stage.

The Council's R&I Unit shall conduct a detailed audit consisting of a financial and a technical part, following the completion of the project. The 3-part audit will consist of the following:

- The financial audit will check the following:
 - Accounts
 - Physical Inventory
 - Time-sheets and payslips
 - Receipts for all equipment and consumables
 - Bank statements for the Project Account



- The Project Management audit will check the following:
 - Schedule management
 - Change management
 - Deliverables
 - Achievements compared with Key Performance Indicators
 - Technical Audit
 - Brief summary of the project including scientific hypothesis investigated
 - Interpretation of Research Results
 - Project's impact

The Council reserves the right to request additional project-related information and conduct intermediate audits at any time.

In the event that a project is found to be in breach of the Grant Agreement or to materially depart from the submitted application, the Council reserves the right to discontinue the award and the Maltese Applicant may be required to refund the Grant in part or in full. In any such event, the Council may also exclude an applicant from participating in future calls.

9.6 Supervening Circumstances

The Project Contact Point is obliged to immediately advise the Council's R&I Unit of any internal or extraneous significant event which might affect the validity or implementation of the project. This obligation applies to the entire period between the submission of the preliminary project application and the completion of the project.

The Council's R&I Unit shall then, at its own discretion either give such directives as it deems necessary for the furtherance on the project or re-assess the project in its entirety accordingly.

Failure on the part of the Project Contact Point to respect this obligation may lead the Council to suspend or terminate funding for the project and request a refund of funds already paid out.

If during the course of a project a Partner withdraws from the ERANETMED Project Consortium, the Maltese Applicant will immediately advise the Council's R&I Unit. In this event, the relevant articles of the ERANETMED Project Consortium Agreement shall apply.

9.7 Default

If the implementation of a project becomes impossible or if the Maltese Applicant fails to implement it, the Council shall be entitled to withdraw funding for the project and collect refunds of money already paid out.



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9.8 Interpretation of Rules

This document endeavours to establish comprehensive and unambiguous rules governing participation in the ERANETMED joint initiative. However, should circumstances arise where the rules are inadequate, unclear, ambiguous or conflicting, the Council's R&I Unit shall exercise its discretion in the interpretation of the rules or will extrapolate the rules as necessary through the setting up of ad hoc committees.



MOROCCO, Ministry of Higher Education, Scientific Research and Executive Training (MESRSFC)

Funding Parties national rules

1. Name of the Funding Party, address and country

Ministry of Higher Education, Scientific Research and Executive Training

2. Participation criteria

Universities
Schools for Executive Training
Moroccan Research Institutes

3. Funding criteria

No criteria

4. Theme/s to be funded

First call : Water Energy Nexus Water-Energy
Second call : Water Energy Nexus Water-Energy
Third call : Water Energy Nexus Water-Energy

5. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

Actions which our Ministry do not intend to fund beside collaborative research:

- ✓ Capacity building
- ✓ mobility

6. List of eligible costs

Rubriques	Montant en DH TTC
Dépenses du personnel	-
Indemnités liées aux travaux de recherche et de prestation de service : - Bourses des doctorants; - CDD NB : les enseignants chercheurs ne peuvent percevoir des indemnités complémentaires)	-
Taxes postales et frais d'affranchissement	-
Taxes et redevances pour l'utilisation des lignes de réseaux spécifiques	-
Taxes et redevances de télécommunications	-
Matériel, Mobilier de bureau et fournitures	-
Achat de fournitures de bureau, de papeterie et d'imprimés	-
Achat de fournitures informatiques	-



Achat de matériels informatiques	-
Achat de matériels scientifiques	-
Achat de matériels et mobilier de bureau	-
Entretien et réparation	-
Entretien et réparation de matériels scientifiques et informatiques	-
Transport et Déplacement	-
Indemnités de déplacement à l'intérieur du Royaume	-
Indemnités Kilométriques	-
Frais de transport au Maroc et à l'étranger	-
Frais de transport des missionnaires et chercheurs étrangers	-
Frais de mission à l'étranger	-
Frais de séjour des missionnaires étrangers au Maroc	-
Autres Dépenses	-
Frais de documentation, d'impression et de publication	-
Achat de matières premières et de petit outillage.	-
Frais de réalisation de maquettes et de prototype	-
Frais de démonstration et de publicité	-
Frais d'étude, d'analyse et de sous-traitance	-
Frais de participation aux séminaires	-
Frais d'organisation de séminaires	-
Frais de dépôt et de gestion des brevets, de licence et/ou de droit auteur	-
Prélèvement établissement (10%)	-
Total	-

NB :

- Les indemnités ainsi que les frais de déplacements et de missions ne pouvant dépasser 40% du budget alloué à la partie marocaine.
- Le déblocage du budget de l'année n+1 ne se fera qu'après validation du rapport annuel de l'année n.
- Pouvant bénéficier des financements : les universités, les établissements de formation des cadres et les établissements publics de recherche marocains.

7.Funding Party Contact person

Contact MESRSFC

Mr Afifi Abdelilah / Mr Chokairi Anas

abdelilahafifi20@gmail.com

chokairi@enssup.gov.ma/chokairi_anas@yahoo.fr

Tél : 05 37 21 76 53



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8. ERANETMED National Contact points

Contact MESRSFC

Mr. Mouradi Abdelhak / M. Ezzarfi Abdelouahid

abmouradi@gmail.com

a.ezzarfi@yahoo.fr

Tél : 05 37 21 76 49



PORTUGAL, Foundation for Science and Technology (FCT)

Funding Parties national rules

1. Name of the Funding Party, address and country

Foundation for Science and Technology (FCT)

Av. D. Carlos I, 126 - 7º

1249-074 Lisboa

Portugal

2. Participation criteria

Indicate which types of organisations are eligible for funds and indicate special funding conditions.

The following types of organisations are eligible (all funded up to 100% of costs incurred except companies):

- Higher Education Institutions, their institutes and R&D centres;
- Associate Laboratories;
- State Laboratories;
- Private non-profit institutions whose main objective is to carry out S&T activities;
- Companies, provided they participate in projects headed by public or private non-profit R&D institutions;*
- Other public and private non-profit institutions which carry out or participate in scientific research activities.

*Payments made to companies, directly or through the Principal Contractor, may not exceed 50% of the total cost of the company's participation. During the course of the project, any companies involved must submit proof of the total expenditure, both those funded through the call and those borne by the company itself.

Please refer to FCT regulations governing access to funding for scientific research and technological development projects [here](#).



3. Funding criteria

Indicated maximum amount of contribution which can be given to an organisation in own country (in retained project) and - if any - indicate maximum contribution per single proposal

Maximum funding available to this call: 500 000 €

Predictable number of funded projects: 1-4

For collaborative research projects:

- Up to 200 000 € per project with Portuguese coordination
- Up to 125 000 € per project with Portuguese participation (without coordination)

If the project includes an innovation action with demonstration taking place in Portugal, FCT provides an additional amount up to 300 000 €.

4. Theme/s to be funded

Indicate which theme or themes you intend to fund

All three themes stand equal.

5. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

Indicate the action/s which you ~~do not~~ intend to fund beside collaborative research

Innovation

6. List of eligible costs

Indicate which type of costs (personnel, travel.....etc) are eligible for fund

The following costs borne by the recipients and incurred exclusively in the execution of the project are considered eligible:

- Human resources dedicated to SR&TD activities, including costs of grant recipients and fixed-term contracts. The funding of grants shall comply with the norms for the award of



grants in SR&TD projects for the projects mentioned in Article 1, no. 3, paragraph a) and with the norms for the award of grants in SR&TD projects for the projects mentioned in Article 1, no. 3, paragraph b);

- Missions in Portugal and abroad directly related to the project;
- Consultants;
- Acquisition of goods and services and other current expenses directly related to the execution of the project, and the intervention of licensed auditors or accountants;
- Registration in Portugal and abroad of patents, copyrights, utility models and designs, national models or brands associated with other forms of intellectual property, namely fees, prior-art searches and consultants' fees;
- Adaptation of buildings and facilities when essential to carrying out the project, in particular for environmental and safety questions, provided that these costs do not exceed 10% of the total eligible cost of the project;
- Acquisition of scientific and technical instruments essential to the project and which shall remain attached to the project during the period of its execution;
- Overheads based on the real costs incurred due to execution of the project and which are imputable to it on a pro-rated basis according to a fair and equitable method of calculation duly justified and periodically reviewed, up to a limit of 20% of the eligible direct costs of the corresponding participation in the project; the methodology for clearing these charges may be replaced by the application of a flat rate system, on the basis of the direct expenditure resulting from the project, under conditions to be determined by the Instituto Financeiro para o Desenvolvimento Regional, IP (IFDR).

Please refer to FCT regulations governing access to funding for scientific research and technological development projects [here](#).

7. Funding Party Contact person

Indicate the contact person for internal ERANETMED communication during the 1st call

Ricardo Pereira

DRI – Departamento das Relações Internacionais

FCT – Fundação para a Ciência e a Tecnologia

Ministério da Educação e Ciência



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7th Framework Programme*

Av. D. Carlos I, 126 - 7º

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16. ERANETMED National Contact points

Indicate name and e-mail of the contact point/s to be contacted by national applicants for information during the 1st call.

Same as above.

Date: 1 September 2014



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SPAIN, Ministerio de Economía y Competitividad (MINECO)

1. SPAIN – Ministerio de Economía y Competitividad (MINECO)

a) National eligibility criteria

Funding Organisation	Ministry of Economy and Competitiveness (MINECO)
Funding Programme	<i>Programa Estatal de Investigación, Desarrollo e Innovación Orientada a los Retos de la Sociedad</i> in the framework of the <i>Plan Estatal de Investigación Científica y Técnica y de Innovación 2013-2016</i>
Initial funding pre-commitment	Maximum total funding: 500.000 €
National Contact Point	Subdirección General de Relaciones Internacionales y con Europa Dr. Luis Guasch Pereira erantmed@mineco.es Tel.: +34 916037960
Eligible entities	Universities, Public Research Institutions, Private non-profit Research Institutions, Technological Centres (Centros tecnológicos). Although enterprises cannot be funded through this Call by MINECO, the Spanish private sector is <u>very much welcome</u> to associate with Spanish research institutions in order to participate in this Call, using own funds or funding from other National or Regional calls or associated.
Additional eligibility criteria	Eligible projects: <ul style="list-style-type: none"> - <u>Mandatory</u>: minimum of four eligible partners from four different participating countries with at least two countries from each region. The consortium may not exceed a maximum of two partners per country (see the list of funding organisations in the call text). - <u>Not allowed</u>: Overlapping funding from other ERA-NET, EU National or Regional Calls. - <u>Not allowed</u>: Applying for funding in more than one proposal. <p>Only excellent projects where international collaboration is a clear added value will be funded.</p>
Eligible costs	<ul style="list-style-type: none"> - Personnel costs for temporary contracts (<u>fellowships are not eligible</u>) - Current costs such as those incurred in purchasing small scientific equipment, disposable materials, travelling expenses and other costs that can be properly justified as necessary to carry out the proposed activities. - <u>Overheads are not eligible for funding.</u> - <u>Maximum budgets</u>:



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100.000 € per project; 140.000 € if the Spanish institution leads the project. In case two Spanish institutions participate, the budget will be reduced to a maximum of 150.000 € for the two institutions, unless one of them is the project leader.
To see general conditions of MINECO calls designed for supporting ERANET participation please see the following [link](#).

b) National funding rates

Type of research	Large Enterprises	Medium Enterprises	Small Enterprises	Universities and research organizations
Fundamental/Basic Research	N/A	N/A	N/A	100%
Industrial/Applied Research	N/A	N/A	N/A	100%
Experimental development	N/A	N/A	N/A	100%

Purpose of funding:

ERANETMED is a EU FP7 initiative that aims at co-ordinating research activities of the different national research programmes from EU Members States, Associated Countries and Mediterranean Partner Countries. In particular, the ERANETMED objective is to strengthen the collaboration and common capacity of research programme owners from above countries to address some of the major challenges that the Mediterranean is facing and strengthen Euro-Mediterranean research co-operation.

On the first **ERANet-MED** Joint Transnational Call MINECO will be jointly funding with other funding organisations research proposals on the **Renewable energies and energy efficiency area** (call identifier: **JC-ENERGY-2014**) in the following topics:

- a. Hybrid renewable energy system integration**
- b. Smart Micro-grids**
- c. Renewable energy and energy efficiency for smart and rural communities**

MINECO's participation demonstrates its effort to support research on the Energy field and accomplishes the main objectives described in the *Programa Estatal de Investigación, Desarrollo e Innovación Orientada a los Retos de la Sociedad* in the frame of the *Plan Estatal de Investigación Científica y Técnica y de Innovación 2013-2016*, that fosters the participation of Spanish research groups in international cooperative projects, particularly those related with the societal challenges of "secure, clean and efficient energy"

Eligibility of applicants:

MINECO will support research institutions located in Spain and will be responsible for taking the final decision regarding the award of funds to the Spanish partners that apply to MINECO in this joint call. The final decision will take fully into account the transnational evaluation of the cooperative project and the financial resources available.



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The entities eligible for MINECO funding are universities and other public research institutions (including hospitals and public foundations), as well as private non-profit institutions that carry out R&D activities in Spain, including Technological Centres (*Centros Tecnológicos*).

Although enterprises cannot be funded through this Call by MINECO, the Spanish private sector is very much welcome to associate with Spanish research institutions in order to participate in this Call, using own funds or funding from other National or Regional calls.

Eligible consortia must be constituted by a minimum of four eligible partners from four different participating countries with at least two countries from each region. The consortium may not exceed a maximum of two partners per country (see the list of funding organisations in the call text).

Important: Only excellent projects where international collaboration is a clear added value will be funded.

It **is not allowed** either to apply for funding in more than one proposal of this call, or to overlap funding in this call with other ERA-NET running projects. In any case, **MINECO will avoid double funding and will not finance projects or parts of projects that have been funded through other national, regional or EU calls.**

Funding policy:

Up to 100% of the marginal project costs may be financed within this programme in the following categories:

- Personnel costs for temporary contracts (neither actual staff, nor fellowships are eligible)
- Direct costs (consumables, small scientific equipment, travel, other costs)

Up to 50% of total costs for those institutions that can apply under total costs scheme, and according to national regulations (Basic regulations: Orden de bases ECC/1780/2013, published in BOE 02.10.2013).

Indirect costs (overheads) are not eligible for funding from MINECO.

Maximum funding is 100.000 € per partner (140.000 € if the Spanish partner is the collaborative project coordinator). When two Spanish partners participate in the same proposal, the maximum total funding for the Spanish part should not exceed 150.000 €. If one of them is the project leader, this maximum could be higher than 150.000 €, depending on the objectives.

Project budgets are expected to be well balanced in relation to the relative weight of Spanish partners in the consortium and, for consortia with industrial partners, to the presence of Spanish industrial associates.

Further instructions:

As stated in the Call text, the project leader of each consortium must submit the full proposal electronically to the common Call Secretariat. Once the transnational evaluation procedure has been



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completed and the researchers involved have been notified, the Spanish applicants that are offered funding will be invited to formally apply to the 2015 Call of *Acciones de Programación Conjunta Internacional del Programa Estatal de Investigación, Desarrollo e Innovación Orientada a los Retos de la Sociedad* implemented by the *Subdirección General de Proyectos Internacionales, Dirección General de Investigación Científica y Técnica*. The Spanish partners awarded in this call will be obliged by the regulations established in the corresponding national call.

National Call Coordination and contact point:

Dr. Luis Guasch Pereira
Subdirección General de Relaciones Internacionales y con Europa.
E-mail: erandetmed@mineco.es



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TUNISIA, Ministry of Higher Education Scientific Research and TIC TUNISIA

National rules of Funding Parties

1. Name of the Funding Party, address and country

Ministry of Higher Education Scientific Research and TIC TUNISIA

2. Participation criteria

The Ministry of Higher Education Scientific Research and TIC (MHESRTIC) will fund activities which will be carried out by research teams belonging to one or more MHESRTIC research structure.

3. Funding criteria

MHESRTIC has reserved 40000DT/ year /project to fund joint research projects for 03 years. This amount may be revised by mutual agreement between the MHESRTIC and team leader.

The duration of the project should not exceed 3 years and maximum fund 120000 DT per project.

The release of the second year and the third year of the project budgets will be made after the evaluation of the annual report of the project. A final evaluation will be achieved at the end of the project.

4. Theme/s to be funded

Renewable energies and energy efficiency (call identifier: JC-ENERGY-2014)

- *Hybrid renewable energy system integration*
- *Smart Micro-grids*
- *Renewable energy and energy efficiency for smart and rural communities*

Water resources management (call identifier JC-WATER-2014)

- *Integrated water management from the catchment to coastal zone*
- *Integrated water use efficiency*
- *Water reuse in agriculture*
- *Water desalination*

ENERGY-WATER nexus (call identifier: JC-NEXUS-2014)

5. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

- Applicants are encouraged to propose collaborative projects including at least one socio-economic partner (SME's, NGO,.....)



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- Applicants should take into account for their research important cross-cutting issues, particularly:
socio-economic, governance, ICT and gender.

6. List of eligible costs

During this call, funding will only be made available for the conducting of joint research projects, only in the following natures of expenses will be covered:

- *Flight*
- *Accommodation and Subsistence based on Tunisian National rules*
- *Small equipment, logistics and consumables*
- *Contract provide services (personal subcontracting)*
- *Publication costs*
- *Organisation of scientific events*

Infrastructure equipment, project management fees, permanent staff contract and consultant fees will not be accommodated under this program.

7. Funding Party Contact person

Indicate the contact person for internal ERANETMED communication during the 1st call
Pr Moez Jebara (moez.jebara@mes.rnu.tn ; tel: 22583307)

8. ERANETMED National Contact points

For more information ERANETMED MESRSTIC contact point:
- **Pr Moez Jebara (moez.jebara@mes.rnu.tn ; tel: 22583307)**
- **Ms Awatef Soltane (awatefsoltane@gmail.com; Tel/Fax: 71 835 351)**

Date: 15-09-2015



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TURKEY, The Scientific and Technological Research Council of Turkey (TUBITAK)

National rules of Funding Parties

1. Name of the Funding Party, address and country

The Scientific and Technological Research Council of Turkey
Atatürk Bulvarı No.221
06100 Kavaklıdere Ankara, TURKEY

2. Participation criteria

In the frame of ERANET-MED 1st Call, TÜBİTAK funds the collaborative research projects. In this respect, the applicants from Turkey can be funded by ARDEB 1001 Research Projects Programme (The Support Programme for Scientific and Technological Research Projects).

Eligible applicants for the ARDEB 1001 Research Projects Programme:

Applicant may be from universities (public and private), research institutes, public and private corporations.

Project Personnel requirements:

Principal Investigator*, Researchers and Advisors:

- ✓ University personnel should have a PhD degree,
- ✓ Those working in a public institution or a private corporation should have an undergraduate diploma,
- ✓ The Principal Investigator (PI) should be the permanent staff of the organization making the project proposal,
- ✓ Except advisors, the PI and researchers (Co-PI) should reside and work in Turkey (Foreign nationals can be PI/researcher in the projects if they are working in an organization in Turkey),
- ✓ A researcher should have a contribution of at least 10% of the project workload,
- ✓ An advisor is allowed if the project requires special expertise on a specific subject. The number of advisors in a project is limited to the number of specific subjects in the project. The role of advisor in the projects should be explained in detail in the project proposal.

*University presidents and vice presidents, deans, academy and institute principles, surgeons general, general secretaries, general managers or state department heads, members of the executive committee and advisory board of TÜBİTAK groups cannot be the principal investigator if they are working in those positions as of the application date. They can be researchers in at most two projects.

In ARDEB 1001 Scientific Project Programme, there will be no national submission in the pre-proposal stage. In full proposal stage, those having a successful application will be contacted by



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TÜBİTAK and will be requested to provide national project budget form and CVs of the project personnel.

The applications made to the TÜBİTAK ARDEB 1001 Scientific Project Programme will be checked only in terms of eligibility and budgetary regulations. The scientific merit of the projects will only be evaluated by the independent international peer-reviewers in the frame of ERANET-MED.

In ARDEB 1001 Scientific Project Programme, the full proposals passed successfully from the international evaluation should be submitted to TÜBİTAK. However, this application will not be submitted online. For the required documents to be filled at this stage by Turkish researchers for applying ARDEB 1001 Programme, please contact the ERANET-MED National Contact Point of Turkey.

It should be noted that any project decided to be funded by TÜBİTAK in the framework of ARDEN 1001 Programme will have to fill in full ARDEB 1001 proposal forms and will have to provide all other requested accompanying documents and attain the necessary signatures and permits in order to be funded.

3. Funding criteria

4. The maximum funding per project is 360.000 TL (total for three years) to be shared between participating Turkish partners. There is no annual budget limit for the projects. This amount includes the scholarship payments, however, it excludes payments to the PI, Co-PIs and overhead costs. The total funding will not exceed 100% of the eligible costs.

5. Theme/s to be funded

TÜBİTAK intends to fund Energy, Water and Water-Energy Nexus themes for the 1st calls.

6. Action/s (innovation or capacity building or mobility) to be funded beside collaborative research

TÜBİTAK ARDEB 1001 Scientific Project Programme does not fund mobility activities.

7. List of eligible costs

Eligible direct costs for ARDEB 1001 Research Projects Programme

The maximum funding per project is 360.000 TL (total for three years) to be shared between participating Turkish partners. There is no annual budget limit for the projects. This amount includes the scholarship payments, however, it excludes payments to the PI, Co-PIs and overhead costs. The total funding will not exceed 100% of the eligible costs.

Eligible types of funding under this programme are limited to **personnel costs (scholarships), travel and subsistence, equipment and materials**. Please see below for a detailed description of each item. Projects that involve building infrastructure are not funded.

Research Material and small-scale research equipment



Proposals for machine/equipment purchases should be balanced with the total budget. Purchase of consumables should also constitute a reasonable amount balanced with the total budget.

Personnel Cost

Personnel costs include only the scholarships. The scholarships for master/PhD students and post-doc researchers are as follows:

If the scholar is not working for a certain fee:

Undergraduate student: 500 TL/month

Graduate student: 1.500 TL/month

PhD student: 1.800 TL/month

Post-doc researcher: 2.250 TL/month

If the scholar is working:

Graduate student: 400 TL/month

PhD: 500 TL/month

Total monthly budget for scholarships (personnel cost) should not exceed 4.800 TL.

Travel Costs

Budget for participation in scientific meetings (national/international conferences congresses, workshops etc.) and scientific visits is maximum 5.000 TL per year (maximum 10.000 throughout the project). An additional 5.000 TL can be proposed in ERANET projects.

The maximum 15.000 TL limit includes both travel expenses and living expenses (described below). Travels in business class are not acceptable.

Living Expenses

For the travel of Turkish researchers, the amount of allowances varies according to the destination country and the conditions of the personnel, which is described in the Travel Expense Law No.6245. Please check TÜBİTAK website for the daily allowances for the Turkish researchers from the [link of the law](#).

Expenses for the organization of small scientific events

Organization of dissemination events such as meetings, workshops for the project is eligible and limited to 10.000 TL.

Important Note 1: It should be noted that no overhead costs should be stated in international application. The overseas costs and all other payments to the Principle Investigator and Co-Principle Investigators (PTI payments) for the ARDEB 1001 Research Projects Programme application will be calculated later by TÜBİTAK.



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Note 2: ARDEB 1001 Scientific Project Programme terms about funding of researchers situated abroad for a certain time in the project are not valid for international cooperation projects.

For ARDEB 1001 Scientific Projects Programme, eligibility of indirect costs should be checked from the programme web page: <http://www.tubitak.gov.tr/tr/destekler/akademik/ulusal-destek-programlari/icerik-1001-bilimsel-ve-teknolojik-arastirma-projelerini-destekleme-pr>

8. Funding Party Contact person

Mina YEŞİLYURT

Tel: +90 312 468 5300 (ex. 4571)

E-mail: mina.yesilyurt@tubitak.gov.tr

9. ERANET-MED National Contact point

Mina YEŞİLYURT

Tel: +90 312 468 5300 (ex.4571)

E-mail: mina.yesilyurt@tubitak.gov.tr

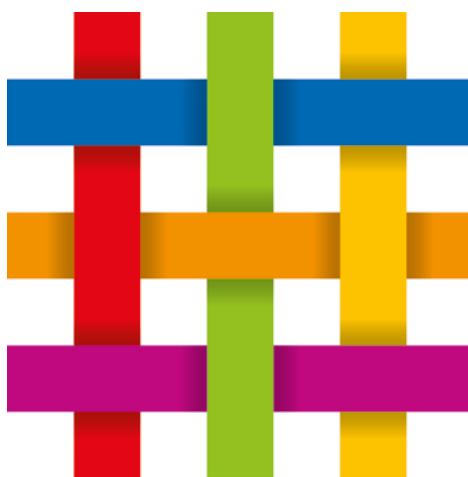
Date: 25.08.2014



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Annex 3: Template for the Consortium Agreement

The workinggroup provides this model Consortium Agreement as draft without assuming any warranty or responsibility. The use of the text in total or in part takes place on the users own risk and does not release users from legal examination to cover their interests and protect their rights.



DESCA
**Horizon 2020 Model
Consortium Agreement**
www.DESCA-2020.eu

Version 1.1,
May 2014

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Version	Date	Changes	Author
Version 1	February 2014		DESCA
Version 1.1	May 2014	"Remarks", item 4: reference to RfP updated	DESCA

REMARKS

This Consortium Agreement model is created for projects which will be governed by a [“Multi-beneficiary General Grant Agreement”](#) (MGA), i.e. notably “Research and Innovation Actions” and “Innovation Actions”. A use for other types of projects will likely require adaptations.

The new DESCA model addresses the features of Horizon 2020, which is intended to be a considerable evolution as compared to previous Framework Programmes. Following the feedback of many stakeholders, the explicit aim of the update for H2020 was to adapt where necessary and to keep the continuity of the DESCA FP7 text where possible.

In order to facilitate coordination and collaboration, this model provides for internal arrangements between beneficiaries, governance of the project and financial issues.

In order to be as user-friendly as possible, the model and the elucidations focus on a “mainstream” project and are not intended to give all alternatives for a given situation. The wording aims to be accessible and easy to understand notably for non-lawyers.

The H2020 MGA contains several options which will be adapted to the individual project. DESCA 2020 is based on what we expect to be the “default setting” of MGA options.

The model should be adapted in order to suit specific features of each single project. The Rules for Participation, all MGAs, and the other related documents are available at: http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html#h2020-legal-basis-rfp.

It is strongly advised to read the MGA and the related documents, and it is important to be aware of the fact that DESCA is supplementary to the Rules for Participation and the Grant Agreement. Many items regulated there are NOT repeated here, but should be carefully taken into account and re-read in case of doubt.

The DESCA model is presented with two columns: the left side with legal text and the right side with elucidation, remarks and references to the H2020 Multi-beneficiary General Model Grant Agreement (MGA). A version without elucidations is available on the website www.desca-2020.eu.

DESCA provides a core text, modules and several options, which can be used as follows:

1. Core text: The main body of the text.

2. Two modules for Governance Structure:

Module GOV LP for Medium and Large Projects:

Complex governance structure: two governing bodies, General Assembly and Executive Board

[Module GOV LP].

Module GOV SP for Small Projects:

Simple governance structure: only a General Assembly [Module GOV SP].

If the project implies just a modest number of work packages, and is not very complicated, Module GOV SP will normally do.

However, if the project is more complicated and has many work packages, the Module GOV LP, which includes an Executive Board, is advised.

3. Module IPR SC - special clauses for Software:

If your project has a strong focus on software issues, you may wish to use the software module which provides more detailed provisions regarding software (sublicensing rights, open source code software etc.) [Module IPR SC].

4. Options:

The core text contains different options in some clauses, especially in the IPR section. Any optional parts of the text are marked grey; so are other items where variable numbers/data should be adapted to the project.

Option 1 in the IPR clauses reflects the preference of most stakeholders (some Industry sectors as well as universities and research organisations) where fair and reasonable remuneration for having access to other partners' project results for exploitation is foreseen.

Option 2 in the IPR clauses reflects a situation preferred by some industries, where all project results are available for Exploitation without any form of remuneration to the owners.

Advice note: A mix of Option 1 and Option 2 can in some cases lead to inconsistencies.

A note on Innovation Procurement: In H2020, pre-commercial procurement (PCP) or public procurement of innovative solutions (PPI) will be more frequent than in FP7. For such actions, there are specific rules in accordance with Article 51 of the Rules for Participation and the multi-beneficiary model grant agreement for PCP-PPI Cofund. For such projects, a Party may enter into a procurement procedure and will have to ensure that the specific rules will be taken into account. For the later tender processes a separate procurement agreement is recommended.

The DESCA Core Group recognizes that users of the DESCA Model Consortium Agreement may wish to adapt the original DESCA text to their own needs and accordingly invites them, in the interests of transparency and integrity, to freely and clearly indicate for their actual or potential partners the adaptations which they have made.

CONSORTIUM AGREEMENT

- THIS CONSORTIUM AGREEMENT is based upon
- REGULATION (EU) No 1290/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 11 December 2013 laying down the rules for the participation and dissemination in “Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)” (hereinafter referred to as “the Rules”), and the European Commission Multi-beneficiary General Model Grant Agreement and its Annexes, and is made on <Project start date // other agreed date>, hereinafter referred to as the Effective Date

BETWEEN:

[OFFICIAL NAME OF THE COORDINATOR AS IDENTIFIED IN THE GRANT AGREEMENT],
the Coordinator

[OFFICIAL NAME OF THE PARTY AS IDENTIFIED IN THE GRANT AGREEMENT],

[OFFICIAL NAME OF THE PARTY AS IDENTIFIED IN THE GRANT AGREEMENT],

[Insert identification of other Parties ...]

hereinafter, jointly or individually, referred to as “Parties” or “Party”

relating to the Action entitled

[NAME OF PROJECT]

in short

[Insert: acronym]

hereinafter referred to as “Project”

WHEREAS:

The Parties, having considerable experience in the field concerned, have submitted a proposal for the Project to the Funding Authority as part of the Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)

The Parties wish to specify or supplement binding commitments among themselves in addition to the provisions of the specific Grant Agreement to be signed by the Parties and the EC (hereinafter “Grant Agreement”).

The Parties are aware that this Consortium Agreement is based upon the DESCA model consortium agreement.

NOW, THEREFORE, IT IS HEREBY AGREED AS FOLLOWS:

(u) **Section 1: Definitions**

1.1 Definitions

Words beginning with a capital letter shall have the meaning defined either herein or in the Rules or in the Grant Agreement including its Annexes.

1.2 Additional Definitions

“Consortium Plan”

Consortium Plan means the description of the action and the related agreed budget as first defined in the Grant Agreement and which may be updated by the General Assembly.

"Funding Authority"

Funding Authority means the body awarding the grant for the Project.

"Defaulting Party"

Defaulting Party means a Party which the General Assembly has identified to be in breach of this Consortium Agreement and/or the Grant Agreement as specified in Section 4.2 of this Consortium Agreement.

"Needed"

means:

For the implementation of the Project:

Access Rights are Needed if, without the grant of such Access Rights, carrying out the tasks assigned to the recipient Party would be impossible, significantly delayed, or require significant additional financial or human resources.

For exploitation of own Results:

Access Rights are Needed if, without the grant of such Access Rights, the Exploitation of own Results would be technically or legally impossible.

"Software"

Software means sequences of instructions to carry out a process in, or convertible into, a form executable by a computer and fixed in any tangible medium of expression.

(v) **Section 2: Purpose**

The purpose of this Consortium Agreement is to specify with respect to the Project the relationship among the Parties, in particular concerning the organisation of the work between the Parties, the management of the Project and the rights and obligations of the Parties concerning inter alia liability, Access Rights and dispute resolution.

(w) **Section 3: Entry into force, duration and termination**

3.1 Entry into force

An entity becomes a Party to this Consortium Agreement upon signature of this Consortium Agreement by a duly authorised representative.

This Consortium Agreement shall have effect from the Effective Date identified at the beginning of this Consortium Agreement.

An entity becomes a Party to the Consortium Agreement upon signature of the accession document (Attachment 2) by the new Party and the Coordinator. Such accession shall have effect from the date identified in the accession document.

3.2 Duration and termination

This Consortium Agreement shall continue in full force and effect until complete fulfilment of all obligations undertaken by the Parties under the Grant Agreement and under this Consortium Agreement.

However, this Consortium Agreement or the participation of one or more Parties to it may be terminated in accordance with the terms of this Consortium Agreement.

If the Grant Agreement

- is not signed by the Funding Authority or a Party, or
- is terminated,

or if a Party's participation in the Grant Agreement is terminated,

this Consortium Agreement shall automatically terminate in respect of the affected Party/ies, subject to the provisions surviving the expiration or termination under Section 3.3 of this Consortium Agreement.

3.3 Survival of rights and obligations

The provisions relating to Access Rights and Confidentiality, for the time period mentioned therein, as well as for Liability, Applicable law and Settlement of disputes shall survive the expiration or termination of this Consortium Agreement.

Termination shall not affect any rights or obligations of a Party leaving the Consortium incurred prior to the date of termination, unless otherwise agreed between the General Assembly and the leaving Party. This includes the obligation to provide all input, deliverables and documents for the period of its participation.

(x) **Section 4: Responsibilities of Parties**

4.1 General principles

Each Party undertakes to take part in the efficient implementation of the Project, and to cooperate, perform and fulfil, promptly and on time, all of its obligations under the Grant Agreement and this Consortium Agreement as may be reasonably required from it and in a manner of good faith as prescribed by Belgian law.

Each Party undertakes to notify promptly, in accordance with the governance structure of the Project, any significant information, fact, problem or delay likely to affect the Project.

Each Party shall promptly provide all information reasonably required by a Consortium Body or by the Coordinator to carry out its tasks.

Each Party shall take reasonable measures to ensure the accuracy of any information or materials it supplies to the other Parties.

4.2 Breach

In the event that a responsible Consortium Body identifies a breach by a Party of its obligations under this Consortium Agreement or the Grant Agreement (e.g. improper implementation of the project), the Coordinator or, if the Coordinator is in breach of its obligations, the Party appointed by the General Assembly, will give formal notice to such Party requiring that such breach will be remedied within 30 calendar days.

If such breach is substantial and is not remedied within that period or is not capable of remedy, the General Assembly may decide to declare the Party to be a Defaulting Party and to decide on the consequences thereof which may include termination of its participation.

4.3 Involvement of third parties

A Party that enters into a subcontract or otherwise involves third parties (including but not limited to Affiliated Entities) in the Project remains responsible for carrying out its relevant part of the Project and for such third party's compliance with the provisions of this Consortium Agreement and of the Grant Agreement. It has to ensure that the involvement of third parties does not affect the rights and obligations of the other Parties under this Consortium Agreement and the Grant Agreement.

(y) **Section 5: Liability towards each other**

5.1 No warranties

In respect of any information or materials (incl. Results and Background) supplied by one Party to another under the Project, no warranty or representation of any kind is made, given or implied as to the sufficiency or fitness for purpose nor as to the absence of any infringement of any proprietary rights of third parties.

Therefore,

- the recipient Party shall in all cases be entirely and solely liable for the use to which it puts such information and materials, and
- no Party granting Access Rights shall be liable in case of infringement of proprietary rights of a third party resulting from any other Party (or its Affiliated Entities) exercising its Access Rights.

5.2 Limitations of contractual liability

No Party shall be responsible to any other Party for any indirect or consequential loss or similar damage such as, but not limited to, loss of profit, loss of revenue or loss of contracts, provided such damage was not caused by a wilful act or by a breach of confidentiality.

A Party's aggregate liability towards the other Parties collectively shall be limited to **Insert: once or twice** the Party's share of the total costs of the Project as identified in Annex 2 of the Grant Agreement provided such damage was not caused by a wilful act or gross negligence.

The terms of this Consortium Agreement shall not be construed to amend or limit any Party's statutory liability.

5.3 Damage caused to third parties

Each Party shall be solely liable for any loss, damage or injury to third parties resulting from the performance of the said Party's obligations by it or on its behalf under this Consortium Agreement or from its use of Results or Background.

5.4 Force Majeure

No Party shall be considered to be in breach of this Consortium Agreement if it is prevented from fulfilling its obligations under the Consortium Agreement by Force Majeure.

Each Party will notify the competent Consortium Bodies of any Force Majeure without undue delay. If the consequences of Force Majeure for the Project are not overcome within 6 weeks after such notification, the transfer of tasks - if any - shall be decided by the competent Consortium Bodies.

(z) **Section 6: Governance structure**

[Module GOV LP]

Governance structure for Medium and Large Projects

6.1 General structure

- The organisational structure of the Consortium shall comprise the following Consortium Bodies:

- General Assembly as the ultimate decision-making body of the consortium

- Executive Board as the supervisory body for the execution of the Project which shall report to and be accountable to the General Assembly

- The Coordinator is the legal entity acting as the intermediary between the Parties and the Funding Authority. The Coordinator shall, in addition to its responsibilities as a Party, perform the tasks assigned to it as described in the Grant Agreement and this Consortium Agreement.

- [Option: The Management Support Team assists the Executive Board and the Coordinator.]

6.2 General operational procedures for all Consortium Bodies

6.2.1 Representation in meetings

- Any Party which is a member of a Consortium Body (hereinafter referred to as "Member"):
- should be represented at any meeting of such Consortium Body;
- may appoint a substitute or a proxy to attend and vote at any meeting;
- and shall participate in a cooperative manner in the meetings.

6.2.2 Preparation and organisation of meetings

6.2.2.1 Convening meetings:

- The chairperson of a Consortium Body shall convene meetings of that Consortium Body.

	Ordinary meeting	Extraordinary meeting
General Assembly	At least once a year	At any time upon written request of the Executive Board or 1/3 of the Members of the General Assembly
Executive Board	At least quarterly	At any time upon written request of any Member of the Executive Board

6.2.2.2 Notice of a meeting:

- The chairperson of a Consortium Body shall give notice in writing of a meeting to each Member of that Consortium Body as soon as possible and no later than the minimum number of days preceding the meeting as indicated below.

	Ordinary meeting	Extraordinary meeting
General Assembly	45 calendar days	15 calendar days
Executive Board	14 calendar days	7 calendar days

- 6.2.2.3 Sending the agenda:

The chairperson of a Consortium Body shall prepare and send each Member of that Consortium Body a written (original) agenda no later than the minimum number of days preceding the meeting as indicated below.

- General Assembly	- 21 calendar days, 10 calendar days for an extraordinary meeting
- Executive Board	- 7 calendar days

- 6.2.2.4 Adding agenda items:

- Any agenda item requiring a decision by the Members of a Consortium Body must be identified as such on the agenda.

Any Member of a Consortium Body may add an item to the original agenda by written notification to all of the other Members of that Consortium Body up to the minimum number of days preceding the meeting as indicated below.

- General Assembly	- 14 calendar days, 7 calendar days for an extraordinary meeting
- Executive Board	- 2 calendar days

- 6.2.2.5 During a meeting the Members of a Consortium Body present or represented can unanimously agree to add a new item to the original agenda.

- 6.2.2.6 Any decision may also be taken without a meeting if the Coordinator circulates to all Members of the Consortium Body a written document which is then agreed by the defined majority (see Section 6.2.3.) of all Members of the Consortium Body. Such document shall include the deadline for responses.

- 6.2.2.7 Meetings of each Consortium Body may also be held by teleconference or other telecommunication means.

- 6.2.2.8 Decisions will only be binding once the relevant part of the Minutes has been accepted according to Section 6.2.5.

6.2.3 Voting rules and quorum

- 6.2.3.1 Each Consortium Body shall not deliberate and decide validly unless two-thirds (2/3) of its Members are present or represented (quorum).

- If the quorum is not reached, the chairperson of the Consortium Body shall convene another ordinary meeting within 15 calendar days. If in this meeting the quorum is not reached once more, the chairperson shall convene an extraordinary meeting which shall be entitled to decide even if less than the quorum of Members are present or represented.

- 6.2.3.2 Each Member of a Consortium Body present or represented in the meeting shall have one vote.

- 6.2.3.3 Defaulting Parties may not vote.

- 6.2.3.4 Decisions shall be taken by a majority of two-thirds (2/3) of the votes cast.

6.2.4 Veto rights

- 6.2.4.1 A Member which can show that its own work, time for performance, costs, liabilities, intellectual property rights or other legitimate interests would be severely affected by a decision of a

Consortium Body may exercise a veto with respect to the corresponding decision or relevant part of the decision.

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- 6.2.4.2 When the decision is foreseen on the original agenda, a Member may veto such a decision during the meeting only.
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- 6.2.4.3 When a decision has been taken on a new item added to the agenda before or during the meeting, a Member may veto such decision during the meeting and within 15 calendar days after the draft minutes of the meeting are sent.

- 6.2.4.4 In case of exercise of veto, the Members of the related Consortium Body shall make every effort to resolve the matter which occasioned the veto to the general satisfaction of all its Members.
-

- 6.2.4.5 A Party may not veto decisions relating to its identification as a Defaulting Party. The Defaulting Party may not veto decisions relating to its participation and termination in the consortium or the consequences of them.
-

6.2.4.6 A Party requesting to leave the consortium may not veto decisions relating thereto.

6.2.5 Minutes of meetings

- 6.2.5.1 The chairperson of a Consortium Body shall produce written minutes of each meeting which shall be the formal record of all decisions taken. He shall send the draft minutes to all Members within 10 calendar days of the meeting.
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- 6.2.5.2 The minutes shall be considered as accepted if, within 15 calendar days from sending, no Member has sent an objection in writing to the chairperson with respect to the accuracy of the draft of the minutes.
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- 6.2.5.3 The chairperson shall send the accepted minutes to all the Members of the Consortium Body and to the Coordinator, who shall safeguard them.
If requested the Coordinator shall provide authenticated duplicates to Parties.

6.3 Specific operational procedures for the Consortium Bodies

6.3.1 General Assembly

- In addition to the rules described in Section 6.2, the following rules apply:

(aa) 6.3.1.1 Members

- 6.3.1.1.1 The General Assembly shall consist of one representative of each Party (hereinafter General Assembly Member).
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- 6.3.1.1.2 Each General Assembly Member shall be deemed to be duly authorised to deliberate, negotiate and decide on all matters listed in Section 6.3.1.2. of this Consortium Agreement.
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- 6.3.1.1.3 The Coordinator shall chair all meetings of the General Assembly, unless decided otherwise in a meeting of the General Assembly.
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- 6.3.1.1.4 The Parties agree to abide by all decisions of the General Assembly.
This does not prevent the Parties to submit a dispute to resolution in accordance with the provisions of Settlement of disputes in Section 11.8.

6.3.1.2 Decisions

- The General Assembly shall be free to act on its own initiative to formulate proposals and take decisions in accordance with the procedures set out herein. In addition, all proposals made by the Executive Board shall also be considered and decided upon by the General Assembly.

- The following decisions shall be taken by the General Assembly:

- Content, finances and intellectual property rights

- Proposals for changes to Annexes 1 and 2 of the Grant Agreement to be agreed by the Funding Authority

- Changes to the Consortium Plan

- Modifications to Attachment 1 (Background Included)

- Additions to Attachment 3 (List of Third Parties for simplified transfer according to Section 8.2.2)

- Additions to Attachment 4 (Identified Affiliated Entities)]

- Evolution of the consortium

- Entry of a new Party to the consortium and approval of the settlement on the conditions of the accession of such a new Party

- Withdrawal of a Party from the consortium and the approval of the settlement on the conditions of the withdrawal

- Identification of a breach by a Party of its obligations under this Consortium Agreement or the Grant Agreement

- Declaration of a Party to be a Defaulting Party

- Remedies to be performed by a Defaulting Party

- Termination of a Defaulting Party's participation in the consortium and measures relating thereto

- Proposal to the Funding Authority for a change of the Coordinator

- Proposal to the Funding Authority for suspension of all or part of the Project

- Proposal to the Funding Authority for termination of the Project and the Consortium Agreement

- Appointments

- On the basis of the Grant Agreement, the appointment if necessary of:

- Executive Board Members

6.3.2 Executive Board

- In addition to the rules in Section 6.2, the following rules shall apply:

(bb) 6.3.2.1 Members

- The Executive Board shall consist of the Coordinator and the Parties appointed by the General Assembly (hereinafter Executive Members).

- The **Coordinator** shall chair all meetings of the Executive Board, unless decided otherwise by a majority of two-thirds.

6.3.2.2 Minutes of meetings

- Minutes of Executive Board meetings, once accepted, shall be sent by the Coordinator to the General Assembly Members for information.

(cc) 6.3.2.3 Tasks

- 6.3.2.3.1 The Executive Board shall prepare the meetings, propose decisions and prepare the agenda of the General Assembly according to Section 6.3.1.2.

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- 6.3.2.3.2 It shall seek a consensus among the Parties.

6.3.2.3.3 The Executive Board shall be responsible for the proper execution and implementation of the decisions of the General Assembly.

- 6.3.2.3.4 The Executive Board shall monitor the effective and efficient implementation of the Project.

- 6.3.2.3.5 In addition, the Executive Board shall collect information at least every 6 months on the progress of the Project, examine that information to assess the compliance of the Project with the Consortium Plan and, if necessary, propose modifications of the Consortium Plan to the General Assembly.

- 6.3.2.3.6 The Executive Board shall:

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agree on the Members of the Management Support Team, upon a proposal by the Coordinator support the Coordinator in preparing meetings with the Funding Authority and in preparing related data and deliverables

prepare the content and timing of press releases and joint publications by the consortium or proposed by the Funding Authority in respect of the procedures of the Grant Agreement Article 29.

6.3.2.3.7 In the case of abolished tasks as a result of a decision of the General Assembly, the Executive Board shall advise the General Assembly on ways to rearrange tasks and budgets of the Parties concerned. Such rearrangement shall take into consideration the legitimate commitments taken prior to the decisions, which cannot be cancelled.

6.4 Coordinator

- 6.4.1 The Coordinator shall be the intermediary between the Parties and the Funding Authority and shall perform all tasks assigned to it as described in the Grant Agreement and in this Consortium Agreement.

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- 6.4.2 In particular, the Coordinator shall be responsible for:

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monitoring compliance by the Parties with their obligations

keeping the address list of Members and other contact persons updated and available

collecting, reviewing to verify consistency and submitting reports, other deliverables (including financial statements and related certifications) and specific requested documents to the Funding Authority

transmitting documents and information connected with the Project to any other Parties concerned

administering the financial contribution of the Funding Authority and fulfilling the financial tasks described in Section 7.3

providing, upon request, the Parties with official copies or originals of documents which are in the sole possession of the Coordinator when such copies or originals are necessary for the Parties to present claims.

-

- If one or more of the Parties is late in submission of any project deliverable, the Coordinator may nevertheless submit the other parties' project deliverables and all other documents required by the Grant Agreement to the Funding Authority in time.

- 6.4.3 If the Coordinator fails in its coordination tasks, the General Assembly may propose to the Funding Authority to change the Coordinator.

- 6.4.4 The Coordinator shall not be entitled to act or to make legally binding declarations on behalf of any other Party or of the consortium, unless explicitly stated otherwise in the Grant Agreement or this Consortium Agreement

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- 6.4.5 The Coordinator shall not enlarge its role beyond the tasks specified in this Consortium Agreement and in the Grant Agreement.

(dd) [Option: 6.5 Management Support Team

- (Optional, where foreseen in Grant Agreement or otherwise decided by the consortium)
- The Management Support Team shall be proposed by the Coordinator. It shall be appointed by the Executive Board and shall assist and facilitate the work of the Executive Board and the Coordinator for executing the decisions of the General Assembly as well as the day-to-day management of the Project.]

(ee) [Option: 6.6 External Expert Advisory Board (EEAB)

- (Optional, where foreseen in the Grant Agreement or otherwise decided by the consortium)
- An External Expert Advisory Board (EEAB) will be appointed and steered by the Executive Board. The EEAB shall assist and facilitate the decisions made by the General Assembly. The Coordinator is authorised to execute with each member of the EEAB a non-disclosure agreement, which terms shall be not less stringent than those stipulated in this Consortium Agreement, no later than 30 calendar days after their nomination or before any confidential information will be exchanged, whichever date is earlier. The Coordinator shall write the minutes of the EEAB meetings and prepare the implementation of the EEAB's suggestions. The EEAB members shall be allowed to participate in General Assembly meetings upon invitation but have not any voting rights.]

(ff) **Section 7: Financial provisions**

(gg) 7.1 General Principles

(hh) 7.1.1 Distribution of Financial Contribution

The financial contribution of the Funding Authority to the Project shall be distributed by the Coordinator according to:

- the Consortium Plan
- the approval of reports by the Funding Authority, and
- the provisions of payment in Section 7.3.

A Party shall be funded only for its tasks carried out in accordance with the Consortium Plan.

7.1.2 Justifying Costs

In accordance with its own usual accounting and management principles and practices, each Party shall be solely responsible for justifying its costs with respect to the Project towards the Funding Authority. Neither the Coordinator nor any of the other Parties shall be in any way liable or responsible for such justification of costs towards the Funding Authority.

7.1.3 Funding Principles

A Party which spends less than its allocated share of the budget as set out in the Consortium Plan or – in case of reimbursement via unit costs - implements less units than foreseen in the Consortium Plan will be funded in accordance with its actual duly justified eligible costs only.

A Party that spends more than its allocated share of the budget as set out in the Consortium Plan will be funded only in respect of duly justified eligible costs up to an amount not exceeding that share.

7.1.4 Financial Consequences of the termination of the participation of a Party

A Party leaving the consortium shall refund all payments it has received except the amount of contribution accepted by the Funding Authority or another contributor. Furthermore a Defaulting Party shall, within the limits specified in Section 5.2 of this Consortium Agreement, bear any reasonable and justifiable additional costs occurring to the other Parties in order to perform its and their tasks.

(ii) 7.2 Budgeting

The budget set out in the Consortium Plan shall be valued in accordance with the usual accounting and management principles and practices of the respective Parties.

7.3 Payments

7.3.1 Payments to Parties are the exclusive tasks of the Coordinator.

In particular, the Coordinator shall:

- notify the Party concerned promptly of the date and composition of the amount transferred to its bank account, giving the relevant references
- perform diligently its tasks in the proper administration of any funds and in maintaining financial accounts
- undertake to keep the Community financial contribution to the Project separated from its normal business accounts, its own assets and property, except if the Coordinator is a Public Body or is not entitled to do so due to statutory legislation.

With reference to Articles 21.2 and 21.3.2 of the Grant Agreement, no Party shall before the end of the Project receive more than its allocated share of the maximum grant amount from which the amounts retained by the Funding Authority for the Guarantee Fund and for the final payment have been deducted.

7.3.2

The payment schedule, which contains the transfer of pre-financing and interim payments to Parties, will be handled according to the following:

[Option 1:]

Funding of costs included in the Consortium Plan will be paid to Parties after receipt from the Funding Authority in separate instalments as agreed below:

Xx %	on receipt of Advance Payment
..	...

Funding for costs accepted by the Funding Authority will be paid to the Party concerned.

[end of option 1]

[Option 2:]

Funding of costs included in the Consortium Plan will be paid to Parties after receipt from the Funding Authority without undue delay and in conformity with the provisions of the Grant Agreement. Costs accepted by the Funding Authority will be paid to the Party concerned.

[end of option 2]

The Coordinator is entitled to withhold any payments due to a Party identified by a responsible Consortium Body to be in breach of its obligations under this Consortium Agreement or the Grant Agreement or to a Beneficiary which has not yet signed this Consortium Agreement. The Coordinator is entitled to recover any payments already paid to a Defaulting Party. The Coordinator is equally entitled to withhold payments to a Party when this is suggested by or agreed with the Funding Authority.

(jj) **Section 8: Results**

8.0 Ownership of Results

Results are owned by the Party that generates them.

8.1 Joint ownership

(kk) [Option 1:]

Unless otherwise agreed:

- each of the joint owners shall be entitled to use their jointly owned Results for non-commercial research activities on a royalty-free basis, and without requiring the prior consent of the other joint owner(s), and
- each of the joint owners shall be entitled to otherwise Exploit the jointly owned Results and to grant non-exclusive licenses to third parties (without any right to sub-license), if the other joint owners are given:
 - (a) at least 45 calendar days advance notice; and
 - (b) Fair and Reasonable compensation.

(ll) [Option 2:]

In case of joint ownership, each of the joint owners shall be entitled to Exploit the joint Results as it sees fit, and to grant non-exclusive licences, without obtaining any consent from, paying compensation to, or otherwise accounting to any other joint owner, unless otherwise agreed between the joint owners.

The joint owners shall agree on all protection measures and the division of related cost in advance.

8.2 Transfer of Results

8.2.1 Each Party may transfer ownership of its own Results following the procedures of the Grant Agreement Article 30.

8.2.2 It may identify specific third parties it intends to transfer the ownership of its Results to in Attachment (3) to this Consortium Agreement. The other Parties hereby waive their right to prior notice and their right to object to a transfer to listed third parties according to the Grant Agreement Article 30.1.

8.2.3 The transferring Party shall, however, at the time of the transfer, inform the other Parties of such transfer and shall ensure that the rights of the other Parties will not be affected by such transfer. Any addition to Attachment (3) after signature of this Agreement requires a decision of the General Assembly.

8.2.4 The Parties recognize that in the framework of a merger or an acquisition of an important part of its assets, it may be impossible under applicable EU and national laws on mergers and acquisitions for a Party to give the full 45 calendar days prior notice for the transfer as foreseen in the Grant Agreement.

8.2.5 The obligations above apply only for as long as other Parties still have - or still may request - Access Rights to the Results.

(mm) 8.3 Dissemination

8.3.1 Dissemination of own Results

8.3.1.1 During the Project and for a period of 1 year after the end of the Project, the dissemination of own Results by one or several Parties including but not restricted to publications and presentations, shall be governed by the procedure of Article 29.1 of the Grant Agreement subject to the following provisions.

Prior notice of any planned publication shall be given to the other Parties at least 45 calendar days before the publication. Any objection to the planned publication shall be made in accordance with the Grant Agreement in writing to the Coordinator and to the Party or Parties proposing the dissemination within 30 calendar days after receipt of the notice. If no objection is made within the time limit stated above, the publication is permitted.

8.3.1.2 An objection is justified if

- (a) the protection of the objecting Party's Results or Background would be adversely affected
- (b) the objecting Party's legitimate academic or commercial interests in relation to the Results or Background would be significantly harmed.

The objection has to include a precise request for necessary modifications.

8.3.1.3 If an objection has been raised the involved Parties shall discuss how to overcome the justified grounds for the objection on a timely basis (for example by amendment to the planned publication and/or by protecting information before publication) and the objecting Party shall not unreasonably continue the opposition if appropriate measures are taken following the discussion.

The objecting Party can request a publication delay of not more than 90 calendar days from the time it raises such an objection. After 90 calendar days the publication is permitted, provided that Confidential Information of the objecting Party has been removed from the Publication as indicated by the objecting Party.

8.3.2 Dissemination of another Party's unpublished Results or Background

A Party shall not include in any dissemination activity another Party's Results or Background without obtaining the owning Party's prior written approval, unless they are already published.

(nn) 8.3.3 Cooperation obligations

The Parties undertake to cooperate to allow the timely submission, examination, publication and defence of any dissertation or thesis for a degree which includes their Results or Background subject to the confidentiality and publication provisions agreed in this Consortium Agreement.

(oo) 8.3.4 Use of names, logos or trademarks

Nothing in this Consortium Agreement shall be construed as conferring rights to use in advertising, publicity or otherwise the name of the Parties or any of their logos or trademarks without their prior written approval.

[Option: 8.4 Exclusive licenses

Where a Party wishes to grant an exclusive licence to its Results and seeks the written waiver of the other Parties pursuant to Grant Agreement Article 30.2, the other Parties shall respond to the requesting Party within 45 calendar days of the request. Any Party's failure to respond (whether in the

negative or the positive) to the request within such 45 calendar days shall be deemed to constitute written approval of the waiver by the non-responding Party.]

(pp) **Section 9: Access Rights**

(qq) 9.1 Background included

9.1.1 In Attachment 1, the Parties have identified and agreed on the Background for the Project and have also, where relevant, informed each other that Access to specific Background is subject to legal restrictions or limits.

Anything not identified in Attachment 1 shall not be the object of Access Right obligations regarding Background.

9.1.2 Any Party can propose to the General Assembly to modify its Background in Attachment 1.

(rr) 9.2 General Principles

9.2.1 Each Party shall implement its tasks in accordance with the Consortium Plan and shall bear sole responsibility for ensuring that its acts within the Project do not knowingly infringe third party property rights.

9.2.2 Any Access Rights granted expressly exclude any rights to sublicense unless expressly stated otherwise.

9.2.3 Access Rights shall be free of any administrative transfer costs.

9.2.4 Access Rights are granted on a non-exclusive basis.

9.2.5 Results and Background shall be used only for the purposes for which Access Rights to it have been granted.

9.2.6 All requests for Access Rights shall be made in writing.

The granting of Access Rights may be made conditional on the acceptance of specific conditions aimed at ensuring that these rights will be used only for the intended purpose and that appropriate confidentiality obligations are in place.

9.2.7 The requesting Party must show that the Access Rights are Needed.

(ss) 9.3 Access Rights for implementation

Access Rights to Results and Background Needed for the performance of the own work of a Party under the Project shall be granted on a royalty-free basis, unless otherwise agreed for Background in Attachment 1.

9.4 Access Rights for Exploitation

[Option 1:]

9.4.1 Access Rights to Results if Needed for Exploitation of a Party's own Results shall be granted on Fair and Reasonable conditions.

Access rights to Results for internal research activities shall be granted on a royalty-free basis.

[end of option 1]

[Option 2:]

9.4.1 Access Rights to Results if Needed for Exploitation of a Party's own Results shall be granted on a royalty-free basis.

[end of option 2]

9.4.2 Access Rights to Background if Needed for Exploitation of a Party's own Results, including for research on behalf of a third party, shall be granted on Fair and Reasonable conditions.

9.4.3 A request for Access Rights may be made up to twelve months after the end of the Project or, in the case of Section 9.7.2.1.2, after the termination of the requesting Party's participation in the Project.

(tt) 9.5 Access Rights for Affiliated Entities

Affiliated Entities have Access Rights under the conditions of the Grant Agreement Articles 25.4 and 31.4. [Optional: if they are identified in [Attachment 4 (Identified Affiliated Entities) to this Consortium Agreement].

Such Access Rights must be requested by the Affiliated Entity from the Party that holds the Background or Results. Alternatively, the Party granting the Access Rights may individually agree with the Party requesting the Access Rights to have the Access Rights include the right to sublicense to the latter's Affiliated Entities [listed in Attachment 4]. Access Rights to Affiliated Entities shall be granted on Fair and Reasonable conditions and upon written bilateral agreement.

Affiliated Entities which obtain Access Rights in return fulfil all confidentiality and other obligations accepted by the Parties under the Grant Agreement or this Consortium Agreement as if such Affiliated Entities were Parties.

Access Rights may be refused to Affiliated Entities if such granting is contrary to the legitimate interests of the Party which owns the Background or the Results.

Access Rights granted to any Affiliated Entity are subject to the continuation of the Access Rights of the Party to which it is affiliated, and shall automatically terminate upon termination of the Access Rights granted to such Party.

Upon cessation of the status as an Affiliated Entity, any Access Rights granted to such former Affiliated Entity shall lapse.

Further arrangements with Affiliated Entities may be negotiated in separate agreements.

9.6 Additional Access Rights

[Option 1:]

For the avoidance of doubt any grant of Access Rights not covered by the Grant Agreement or this Consortium Agreement shall be at the absolute discretion of the owning Party and subject to such terms and conditions as may be agreed between the owning and receiving Parties.

[Option 2:]

The Parties agree to negotiate in good faith any additional Access Rights to Results as might be asked for by any Party, upon adequate financial conditions to be agreed.

9.7 Access Rights for Parties entering or leaving the consortium

9.7.1 New Parties entering the consortium

As regards Results developed before the accession of the new Party, the new Party will be granted Access Rights on the conditions applying for Access Rights to Background.

(uu) 9.7.2 Parties leaving the consortium

(vv) 9.7.2.1 Access Rights granted to a leaving Party

(ww) 9.7.2.1.1 Defaulting Party

Access Rights granted to a Defaulting Party and such Party's right to request Access Rights shall cease immediately upon receipt by the Defaulting Party of the formal notice of the decision of the General Assembly to terminate its participation in the consortium.

9.7.2.1.2 Non-defaulting Party

A non-defaulting Party leaving voluntarily and with the other Parties' consent shall have Access Rights to the Results developed until the date of the termination of its participation. It may request Access Rights within the period of time specified in Section 9.4.3.

(xx) 9.7.2.2 Access Rights to be granted by any leaving Party

(yy) Any Party leaving the Project shall continue to grant Access Rights pursuant to the Grant Agreement and this Consortium Agreement as if it had remained a Party for the whole duration of the Project.

(zz) 9.8 Specific Provisions for Access Rights to Software

For the avoidance of doubt, the general provisions for Access Rights provided for in this Section 9 are applicable also to Software.

Parties' Access Rights to Software do not include any right to receive source code or object code ported to a certain hardware platform or any right to receive respective Software documentation in any particular form or detail, but only as available from the Party granting the Access Rights.

(aaa) **Section 10: Non-disclosure of information**

10.1 All information in whatever form or mode of communication, which is disclosed by a Party (the "Disclosing Party") to any other Party (the "Recipient") in connection with the Project during its implementation and which has been explicitly marked as "confidential" at the time of disclosure, or when disclosed orally has been identified as confidential at the time of disclosure and has been confirmed and designated in writing within 15 calendar days from oral disclosure at the latest as confidential information by the Disclosing Party, is "Confidential Information".

10.2 The Recipients hereby undertake in addition and without prejudice to any commitment of non-disclosure under the Grant Agreement, for a period of 4 years after the end of the Project:

not to use Confidential Information otherwise than for the purpose for which it was disclosed;

not to disclose Confidential Information to any third party without the prior written consent by the Disclosing Party;

to ensure that internal distribution of Confidential Information by a Recipient shall take place on a strict need-to-know basis; and

to return to the Disclosing Party on demand all Confidential Information which has been supplied to or acquired by the Recipients including all copies thereof and to delete all information stored in a machine readable form. The Recipients may keep a copy to the extent it is required to keep, archive or store such Confidential Information because of compliance with applicable laws and regulations or for the proof of on-going obligations.

10.3 The Recipients shall be responsible for the fulfilment of the above obligations on the part of their employees or third parties involved in the Project and shall ensure that they remain so obliged, as far as legally possible, during and after the end of the Project and/or after the termination of the contractual relationship with the employee or third party.

10.4 The above shall not apply for disclosure or use of Confidential Information, if and in so far as the Recipient can show that:

the Confidential Information becomes publicly available by means other than a breach of the Recipient's confidentiality obligations;

the Disclosing Party subsequently informs the Recipient that the Confidential Information is no longer confidential;

the Confidential Information is communicated to the Recipient without any obligation of confidence by a third party who is to the best knowledge of the Recipient in lawful possession thereof and under no obligation of confidence to the Disclosing Party;

the disclosure or communication of the Confidential Information is foreseen by provisions of the Grant Agreement;

the Confidential Information, at any time, was developed by the Recipient completely independently of any such disclosure by the Disclosing Party; or

the Confidential Information was already known to the Recipient prior to disclosure or

the Recipient is required to disclose the Confidential Information in order to comply with applicable laws or regulations or with a court or administrative order, subject to the provision Section 10.7 hereunder.

10.5 The Recipient shall apply the same degree of care with regard to the Confidential Information disclosed within the scope of the Project as with its own confidential and/or proprietary information, but in no case less than reasonable care.

10.6 Each Party shall promptly advise the other Party in writing of any unauthorised disclosure, misappropriation or misuse of Confidential Information after it becomes aware of such unauthorised disclosure, misappropriation or misuse.

10.7 If any Party becomes aware that it will be required, or is likely to be required, to disclose Confidential Information in order to comply with applicable laws or regulations or with a court or administrative order, it shall, to the extent it is lawfully able to do so, prior to any such disclosure

- notify the Disclosing Party, and
- comply with the Disclosing Party's reasonable instructions to protect the confidentiality of the information.

(bbb) **Section 11: Miscellaneous**

11.1 Attachments, inconsistencies and severability

This Consortium Agreement consists of this core text and

Attachment 1 (Background included)

Attachment 2 (Accession document)

Attachment 3 (List of Third Parties for simplified transfer according to Section 8.2.2)

Attachment 4 (Identified Affiliated Entities)

In case the terms of this Consortium Agreement are in conflict with the terms of the Grant Agreement, the terms of the latter shall prevail. In case of conflicts between the attachments and the core text of this Consortium Agreement, the latter shall prevail.

Should any provision of this Consortium Agreement become invalid, illegal or unenforceable, it shall not affect the validity of the remaining provisions of this Consortium Agreement. In such a case, the Parties concerned shall be entitled to request that a valid and practicable provision be negotiated which fulfils the purpose of the original provision.

11.2 No representation, partnership or agency

Except as otherwise provided in Section 6.4.4, no Party shall be entitled to act or to make legally binding declarations on behalf of any other Party or of the consortium. Nothing in this Consortium Agreement shall be deemed to constitute a joint venture, agency, partnership, interest grouping or any other kind of formal business grouping or entity between the Parties.

11.3 Notices and other communication

Any notice to be given under this Consortium Agreement shall be in writing to the addresses and recipients as listed in the most current address list kept by the Coordinator.

Formal notices:

If it is required in this Consortium Agreement (Sections 4.2, 9.7.2.1.1, and 11.4) that a formal notice, consent or approval shall be given, such notice shall be signed by an authorised representative of a Party and shall either be served personally or sent by mail with recorded delivery or telefax with receipt acknowledgement.

Other communication:

Other communication between the Parties may also be effected by other means such as e-mail with acknowledgement of receipt, which fulfils the conditions of written form.

Any change of persons or contact details shall be notified immediately by the respective Party to the Coordinator. The address list shall be accessible to all concerned.

(ccc) 11.4 Assignment and amendments

Except as set out in Section 8.2, no rights or obligations of the Parties arising from this Consortium Agreement may be assigned or transferred, in whole or in part, to any third party without the other Parties' prior formal approval.

Amendments and modifications to the text of this Consortium Agreement not explicitly listed in **Section 6.3.1.2 (LP)/ 6.3.6 (SP)** require a separate written agreement to be signed between all Parties.

(ddd) 11.5 Mandatory national law

Nothing in this Consortium Agreement shall be deemed to require a Party to breach any mandatory statutory law under which the Party is operating.

(eee) 11.6 Language

This Consortium Agreement is drawn up in English, which language shall govern all documents, notices, meetings, arbitral proceedings and processes relative thereto.

11.7 Applicable law

This Consortium Agreement shall be construed in accordance with and governed by the laws of Belgium excluding its conflict of law provisions.

(fff) 11.8 Settlement of disputes

The parties shall endeavour to settle their disputes amicably.

[Please choose an appropriate method of dispute resolution, possibly one of the options 1 (WIPO), 2 (bMediation) or 3 (ICC), and within these options between 1.1. and 1.2 or 2.1 and 2.2]

[Option 1: WIPO Mediation Followed, in the Absence of a Settlement, by WIPO Expedited Arbitration or by Court Litigation]

Any dispute, controversy or claim arising under, out of or relating to this contract and any subsequent amendments of this contract, including, without limitation, its formation, validity, binding effect,

interpretation, performance, breach or termination, as well as non-contractual claims, shall be submitted to mediation in accordance with the WIPO Mediation Rules. The place of mediation shall be Brussels unless otherwise agreed upon. The language to be used in the mediation shall be English unless otherwise agreed upon.

[Please choose one of the following options.]

[Option 1.1. WIPO Mediation Followed, in the Absence of a Settlement, by WIPO Expedited Arbitration]

If, and to the extent that, any such dispute, controversy or claim has not been settled pursuant to the mediation within 60 calendar days of the commencement of the mediation, it shall, upon the filing of a Request for Arbitration by either Party, be referred to and finally determined by arbitration in accordance with the WIPO Expedited Arbitration Rules. Alternatively, if, before the expiration of the said period of 60 calendar days, either Party fails to participate or to continue to participate in the mediation, the dispute, controversy or claim shall, upon the filing of a Request for Arbitration by the other Party, be referred to and finally determined by arbitration in accordance with the WIPO Expedited Arbitration Rules. The place of arbitration shall be Brussels unless otherwise agreed upon. The language to be used in the arbitral proceedings shall be English unless otherwise agreed upon.

[Option 1.2. WIPO Mediation Followed, in the Absence of a Settlement, by Court Litigation]

If, and to the extent that, any such dispute, controversy or claim has not been settled pursuant to the mediation within 60 calendar days of the commencement of the mediation, the courts of Brussels shall have exclusive jurisdiction.

[Option 2: Mediation by bMediation Followed, in the absence of a settlement, by CEPANI Arbitration or by the courts of Brussels]

Should a dispute arise between the Parties concerning the validity, the interpretation and/or the implementation of this Consortium Agreement, they will try to solve it through mediation, according to the rules of bMediation, Brussels. The Parties undertake not to put an end to the mediation before the introductory statement made by each Party in joint session.

Should the mediation fail to bring about a full agreement between the Parties putting an end to the dispute,

[Please choose one of the following options.]

Option 2.1 said dispute will be finally settled by arbitration, according to the rules of the Belgian Centre for Arbitration and Mediation (in short: CEPANI).

Option 2.2 sole competent courts will be the courts of Brussels.

[Option 3: ICC Arbitration]

- All disputes arising out of or in connection with this Consortium Agreement, which cannot be solved amicably, shall be finally settled under the Rules of Arbitration of the International Chamber of Commerce by one or more arbitrators appointed in accordance with the said Rules.
-
- The place of arbitration shall be Brussels if not otherwise agreed by the conflicting Parties.
-
- The award of the arbitration will be final and binding upon the Parties.

Nothing in this Consortium Agreement shall limit the Parties' right to seek injunctive relief in any applicable competent court.

(ggg) **Section 12: Signatures**

AS WITNESS:

The Parties have caused this Consortium Agreement to be duly signed by the undersigned authorised representatives in separate signature pages the day and year first above written.

[INSERT NAME OF PARTY]

Signature(s)

Name(s)

Title(s)

Date

[INSERT NAME OF PARTY]

Signature(s)

Name(s)

Title(s)

Date

[INSERT NAME OF PARTY]

Signature(s)

Name(s)

Title(s)

Date

(hhh)[**Attachment 1: Background included**]

According to the Grant Agreement (Article 24) Background is defined as “data, know-how or information (...) that is needed to implement the action or exploit the results”. Because of this need, Access Rights have to be granted in principle, but parties must identify and agree amongst them on the Background for the project. This is the purpose of this attachment.

PARTY 1

As to [NAME OF THE PARTY], it is agreed between the parties that, to the best of their knowledge (*please choose*),

Option 1: The following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions, shall be as mentioned hereunder:

- Describe Background	- Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	- Specific limitations and/or conditions for exploitation (Article 25.3 Grant Agreement)

Option 2: No data, know-how or information of [NAME OF THE PARTY] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 2

As to [NAME OF THE PARTY], it is agreed between the parties that, to the best of their knowledge (*please choose*)

Option 1: The following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions, shall be as mentioned hereunder:

- Describe Background	- Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	- Specific limitations and/or conditions for exploitation (Article 25.3 Grant Agreement)

Option 2: No data, know-how or information of [NAME OF THE PARTY] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

Etc.

(iii) **[Attachment 2: Accession document]**

ACCESSION

of a new Party to

[Acronym of the Project] Consortium Agreement, version [..., YYYY-MM-DD]

[OFFICIAL NAME OF THE NEW PARTY AS IDENTIFIED IN THE Grant Agreement]

hereby consents to become a Party to the Consortium Agreement identified above and accepts all the rights and obligations of a Party starting [date].

[OFFICIAL NAME OF THE COORDINATOR AS IDENTIFIED IN THE Grant Agreement]

hereby certifies that the consortium has accepted in the meeting held on [date] the accession of [the name of the new Party] to the consortium starting [date].

This Accession document has been done in 2 originals to be duly signed by the undersigned authorised representatives.

[Date and Place]

[INSERT NAME OF THE NEW PARTY]

Signature(s)
Name(s)
Title(s)

[Date and Place]

[INSERT NAME OF THE COORDINATOR]

Signature(s)
Name(s)
Title(s)

(ijj) **[Attachment 3: List of Third Parties for simplified transfer according to Section 8.2.2.]**

(kkk) **[Option: Attachment 4: Identified Affiliated Entities according to Section 9.5]**

(III) [Module GOV SP]

Governance structure for Small Collaborative Projects

6.1 General structure

The General Assembly is the decision-making body of the consortium

The Coordinator is the legal entity acting as the intermediary between the Parties and the Funding Authority. The Coordinator shall, in addition to its responsibilities as a Party, perform the tasks assigned to it as described in the Grant Agreement and this Consortium Agreement.

[Option: The Management Support Team assists the General Assembly and the Coordinator.]

6.2 Members

The General Assembly shall consist of one representative of each Party (hereinafter referred to as "Member").

Each Member shall be deemed to be duly authorised to deliberate, negotiate and decide on all matters listed in Section 6.3.6 of this Consortium Agreement.

The Coordinator shall chair all meetings of the General Assembly, unless decided otherwise by the General Assembly.

The Parties agree to abide by all decisions of the General Assembly.

This does not prevent the Parties from submitting a dispute for resolution in accordance with the provisions of settlement of disputes in Section 11.8 of this Consortium Agreement.

6.3 Operational procedures for the General Assembly

6.3.1 Representation in meetings

Any Member:

should be present or represented at any meeting;
may appoint a substitute or a proxy to attend and vote at any meeting;
and shall participate in a cooperative manner in the meetings.

6.3.2 Preparation and organisation of meetings

6.3.2.1 Convening meetings:

- The chairperson shall convene ordinary meetings of the General Assembly at least once every six months and shall also convene extraordinary meetings at any time upon written request of any Member.

6.3.2.2 Notice of a meeting:

- The chairperson shall give notice in writing of a meeting to each Member as soon as possible and no later than 14 calendar days preceding an ordinary meeting and 7 calendar days preceding an extraordinary meeting.

6.3.2.3 Sending the agenda:

- The chairperson shall send each Member a written original agenda no later than 14 calendar days preceding the meeting, or 7 calendar days before an extraordinary meeting.

6.3.2.4 Adding agenda items:

- Any agenda item requiring a decision by the Members must be identified as such on the agenda.
- Any Member may add an item to the original agenda by written notification to all of the other Members no later than 7 calendar days preceding the meeting.
-
- 6.3.2.5 During a meeting of the General Assembly the Members present or represented can unanimously agree to add a new item to the original agenda.
- 6.3.2.6 Any decision may also be taken without a meeting if the chairperson circulates to all Members a written document which is then signed by the defined majority of Members (see Section 6.3.3 of this Consortium Agreement). Such document shall include the deadline for responses.
-
- 6.3.2.7 Meetings of the General Assembly may also be held by teleconference or other telecommunication means.
-
- 6.3.2.8 Decisions will only be binding once the relevant part of the minutes has been accepted according to Section 6.3.5 of this Consortium Agreement.

6.3.3 Voting rules and quorum

- 6.3.3.1 The General Assembly shall not deliberate and decide validly unless two-thirds (2/3) of its Members are present or represented (quorum).
-
- 6.3.3.2 Each Member shall have one vote.
-
- 6.3.3.3 Defaulting Parties may not vote.
-
- 6.3.3.4 Decisions shall be taken by a majority of two-thirds (2/3) of the votes cast.

6.3.4 Veto rights

- 6.3.4.1 A Member which can show that its own work, time for performance, costs, liabilities, intellectual property rights or other legitimate interests would be severely affected by a decision of the General Assembly may exercise a veto with respect to the corresponding decision or relevant part of the decision.
-
- 6.3.4.2 When the decision is foreseen on the original agenda, a Member may veto such a decision during the meeting only.
-
- 6.3.4.3 When a decision has been taken on a new item added to the agenda before or during the meeting, a Member may veto such decision during the meeting and within 15 days after the draft minutes of the meeting are sent.
-
- 6.3.4.4 In case of exercise of veto, the Members shall make every effort to resolve the matter which occasioned the veto to the general satisfaction of all Members.
-
- 6.3.4.5 A Party may not veto decisions relating to its identification as a Defaulting Party. The Defaulting Party may not veto decisions relating to its participation and termination in the consortium or the consequences of them.
-
- 6.3.4.6 A Party requesting to leave the consortium may not veto decisions relating thereto.

(mmm) 6.3.5 Minutes of meetings

- 6.3.5.1 The chairperson shall produce written minutes of each meeting which shall be the formal record of all decisions taken. He shall send draft minutes to all Members within 10 calendar days of the meeting.

- 6.3.5.2 The minutes shall be considered as accepted if, within 15 calendar days from sending, no Member has sent an objection in writing to the chairperson with respect to the accuracy of the draft of the minutes.

- 6.3.5.3 The chairperson shall send the accepted minutes to all the Members of the General Assembly, and to the Coordinator, who shall safeguard them. If requested the Coordinator shall provide authenticated duplicates to Parties.

(nnn)6.3.6 Decisions of the General Assembly

The General Assembly shall be free to act on its own initiative to formulate proposals and take decisions in accordance with the procedures set out herein.

- The following decisions shall be taken by the General Assembly:

- Content, finances and intellectual property rights

- Proposals for changes to Annexes 1 and 2 of the Grant Agreement to be agreed by the Funding Authority

- Changes to the Consortium Plan

- Modifications to Attachment 1 (Background Included)

- Additions to Attachment 3 (List of Third Parties for simplified transfer according to Section 8.2.2)

- Additions to Attachment 4 (Identified Affiliated Entities)

- Evolution of the consortium

- Entry of a new Party to the consortium and approval of the settlement on the conditions of the accession of such a new Party

- Withdrawal of a Party from the consortium and the approval of the settlement on the conditions of the withdrawal

- Identification of a breach by a Party of its obligations under this Consortium Agreement or the Grant Agreement

- Declaration of a Party to be a Defaulting Party

- Remedies to be performed by a Defaulting Party

- Termination of a Defaulting Party's participation in the consortium and measures relating thereto

- Proposal to the Funding Authority for a change of the Coordinator

- Proposal to the Funding Authority for suspension of all or part of the Project

- Proposal to the Funding Authority for termination of the Project and the Consortium Agreement

- Appointments

- [Option: Agree on the Members of the Management Support Team, upon a proposal by the Coordinator.]

- In the case of abolished tasks as a result of a decision of the General Assembly, Members shall rearrange the tasks of the Parties concerned. Such rearrangement shall take into consideration the legitimate commitments taken prior to the decisions, which cannot be cancelled.

6.4 Coordinator

- 6.4.1 The Coordinator shall be the intermediary between the Parties and the Funding Authority and shall perform all tasks assigned to it as described in the Grant Agreement and in this Consortium Agreement.

-
- 6.4.2 In particular, the Coordinator shall be responsible for:
-

monitoring compliance by the Parties with their obligations

keeping the address list of Members and other contact persons updated and available

collecting, reviewing and submitting information on the progress of the Project and reports and other deliverables (including financial statements and related certification) to the Funding Authority

preparing the meetings, proposing decisions and preparing the agenda of General Assembly meetings, chairing the meetings, preparing the minutes of the meetings and monitoring the implementation of decisions taken at meetings

transmitting promptly documents and information connected with the Project,,

administering the financial contribution of the Funding Authority and fulfilling the financial tasks described in Section 7.3

providing, upon request, the Parties with official copies or originals of documents which are in the sole possession of the Coordinator when such copies or originals are necessary for the Parties to present claims.

If one or more of the Parties is late in submission of any project deliverable, the Coordinator may nevertheless submit the other parties' project deliverables and all other documents required by the Grant Agreement to the Funding Authority in time.

6.4.3 If the Coordinator fails in its coordination tasks, the General Assembly may propose to the Funding Authority to change the Coordinator.

- 6.4.4 The Coordinator shall not be entitled to act or to make legally binding declarations on behalf of any other Party or of the consortium, unless explicitly stated otherwise in the Grant Agreement or this Consortium Agreement.
-

- 6.4.5 The Coordinator shall not enlarge its role beyond the tasks specified in this Consortium Agreement and in the Grant Agreement.
-

(ooo)[Option: 6.5 Management Support Team

- (Optional, where foreseen in Grant Agreement or otherwise decided by the consortium)

- The Management Support Team shall be proposed by the Coordinator. It shall be appointed by the General Assembly and shall assist and facilitate the work of the General Assembly.
-

- The Management Support Team shall provide assistance to the Coordinator for executing the decisions of the General Assembly. It shall be responsible for the day-to-day management of the Project.]

(ppp)[Option: 6.6 External Expert Advisory Board (EEAB)

- (Optional, where foreseen in Grant Agreement or otherwise decided by the consortium)

An External Expert Advisory Board (EEAB) will be appointed and steered by the Executive Board. The EEAB shall assist and facilitate the decisions made by the General Assembly. The Coordinator is authorised to execute with each member of the EEAB a non-disclosure agreement, which terms shall be not less stringent than those stipulated in this Consortium Agreement, no later than 30 days after their nomination or before any confidential information will be exchanged, whichever date is earlier.

The Coordinator shall write the minutes of the EEAB meetings and prepare the implementation of the EEAB's suggestions. The EEAB members shall be allowed to participate in General Assembly meetings upon invitation but have not any voting rights.]

(qqq) **[MODULE IPR SC]**

(rrr) **Specific Software provisions**

(sss) 9.8 Specific provisions for Access Rights to Software

9.8.1 Definitions relating to Software

“Application Programming Interface”

means the application programming interface materials and related documentation containing all data and information to allow skilled Software developers to create Software interfaces that interface or interact with other specified Software.

"Controlled Licence Terms" means terms in any licence that require that the use, copying, modification and/or distribution of Software or another work (“Work”) and/or of any work that is a modified version of or is a derivative work of such Work (in each case, “Derivative Work”) be subject, in whole or in part, to one or more of the following:

(where the Work or Derivative Work is Software) that the Source Code or other formats preferred for modification be made available as of right to any third party on request, whether royalty-free or not; that permission to create modified versions or derivative works of the Work or Derivative Work be granted to any third party; that a royalty-free licence relating to the Work or Derivative Work be granted to any third party.

For the avoidance of doubt, any Software licence that merely permits (but does not require any of) the things mentioned in (a) to (c) is not a Controlled Licence (and so is an Uncontrolled Licence).

“Object Code” means software in machine-readable, compiled and/or executable form including, but not limited to, byte code form and in form of machine-readable libraries used for linking procedures and functions to other software.

“Software Documentation” means software information, being technical information used, or useful in, or relating to the design, development, use or maintenance of any version of a software programme.

“Source Code” means software in human readable form normally used to make modifications to it including, but not limited to, comments and procedural code such as job control language and scripts to control compilation and installation.

9.8.2. General principles

For the avoidance of doubt, the general provisions for Access Rights provided for in this Section 9 are applicable also to Software as far as not modified by this Section 9.8.

Parties’ Access Rights to Software do not include any right to receive Source Code or Object Code ported to a certain hardware platform or any right to receive Source Code, Object Code or respective Software Documentation in any particular form or detail, but only as available from the Party granting the Access Rights.

The intended introduction of Intellectual Property (including, but not limited to Software) under Controlled Licence Terms in the Project requires the approval of the General Assembly to implement such introduction into the Consortium Plan.

9.8.3. Access to Software

Access Rights to Software which is Results shall comprise:

Access to the Object Code; and,

where normal use of such an Object Code requires an Application Programming Interface (hereafter API), Access to the Object Code and such an API; and,

if a Party can show that the execution of its tasks under the Project or the Exploitation of its own Results is technically or legally impossible without Access to the Source Code, Access to the Source Code to the extent necessary.

Background shall only be provided in Object Code unless otherwise agreed between the Parties concerned.

(ttt) 9.8.4. Software licence and sublicensing rights

(uuu)9.8.4.1 Object Code

9.8.4.1.1 Results- Rights of a Party

Where a Party has Access Rights to Object Code and/or API which is Results for Exploitation, such Access shall, in addition to the Access for Exploitation foreseen in Section 9.4, as far as Needed for the Exploitation of the Party's own Results, comprise the right:

to make an unlimited number of copies of Object Code and API; and
to distribute, make available, market, sell and offer for sale such Object Code and API **alone or** as part of or in connection with products or services of the Party having the Access Rights;

provided however that any product, process or service has been developed by the Party having the Access Rights in accordance with its rights to exploit Object Code and API for its own Results.

If it is intended to use the services of a third party for the purposes of this Section 9.8.4.1.1, the Parties concerned shall agree on the terms thereof with due observance of the interests of the Party granting the Access Rights as set out in Section 9.2 of this Consortium Agreement.

9.8.4.1.2 Results - Rights to grant sublicenses to end-users

In addition, Access Rights to Object Code shall, as far as Needed for the Exploitation of the Party's own Results, comprise the right to grant in the normal course of the relevant trade to end-user customers buying/using the product/services, a sublicense to the extent as necessary for the normal use of the relevant product or service to use the Object Code **alone or** as part of or in connection with or integrated into products and services of the Party having the Access Rights and, as far as technically essential:

to maintain such product/service;

to create for its own end-use interacting interoperable software in accordance with the Directive 2009/24/EC of the European Parliament and of the Council of 23 April 2009 on the legal protection of computer programs

9.8.4.1.3 Background

For the avoidance of doubt, where a Party has Access Rights to Object Code and/or API which is Background for Exploitation, Access Rights exclude the right to sublicense. Such sublicensing rights may, however, be negotiated between the Parties.

9.8.4.2 Source Code

(vvv)9.8.4.2.1 Results - Rights of a Party

Where, in accordance with Section 9.8.3, a Party has Access Rights to Source Code which is Results for Exploitation, Access Rights to such Source Code, as far as Needed for the Exploitation of the Party's own Results, shall comprise a worldwide right to use, to make copies, to modify, to develop, to adapt Source Code for research, to create/market a product/process and to create/provide a service.

If it is intended to use the services of a third party for the purposes of this Section 9.8.4.2.1, the Parties shall agree on the terms thereof, with due observance of the interests of the Party granting the Access Rights as set out in Section 9.2 of this Consortium Agreement.

(www) 9.8.4.2.2 Results – Rights to grant sublicenses to end-users

In addition, Access Rights, as far as Needed for the Exploitation of the Party's own Results, shall comprise the right to sublicense such Source Code, but solely for purpose of adaptation, error correction, maintenance and/or support of the Software.
Further sublicensing of Source Code is explicitly excluded.

(xxx) 9.8.4.2.3 Background

For the avoidance of doubt, where a Party has Access Rights to Source Code which is Background for Exploitation, Access Rights exclude the right to sublicense. Such sublicensing rights may, however, be negotiated between the Parties.

(yy) 9.8.5 Specific formalities

Each sublicense granted according to the provisions of Section 9.8.4 shall be made by a traceable agreement specifying and protecting the proprietary rights of the Party or Parties concerned.

